

Att'y Dkt: 003-097

RECORDATION FORM COVER SHEET PATENTS ONLY

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or true copy thereof.

- | | |
|--------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <p>1. Name(s) of conveying party(ies):
ALSTOM (Schweiz) AG</p> | <p>2. Name(s) and address(es) of receiving party(ies):
Name: ALSTOM Technology Ltd
Address: Brown Boveri Strasse 7

City: CH-5400 Baden
State:
Country: Switzerland</p> |
|--------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|

Additional conveying party(ies) attached? ☐ Yes ☒ No Additional receiving party(ies) attached? ☐ Yes ☒ No

3. Nature of Conveyance:

- | | |
|------------------------------------------------|-----------------------------------------|
| <input checked="" type="checkbox"/> Assignment | <input type="checkbox"/> Merger |
| <input type="checkbox"/> Security Agreement | <input type="checkbox"/> Change of Name |
| <input type="checkbox"/> Other: _____ | |

Execution Date(s): 14 November 2003

4. Application number(s) or patent number(s): Additional numbers attached? ☐ Yes ☒ No

- | | |
|-------------------------------------------------------------------------------------|----------------------------------------------------|
| <input type="checkbox"/> A new patent application, executed on even date herewith | |
| <input checked="" type="checkbox"/> Application Nos. <u>10/168,174, 10/168,129,</u> | , filed <u>21 December 2000, 21 December 2000,</u> |
| <u>10/168,620, 10/168,625</u> | <u>21 December 2000, 21 December 2000</u> |
| <input type="checkbox"/> Patent No. _____ | , granted _____ |

5. Name and address of party to whom
correspondence concerning document should be mailed:
Name: **Law Office of Adam J. Cermak**
Attn: **Adam J. Cermak**
Street Address: **P.O. Box 7518**
Alexandria, VA 22307

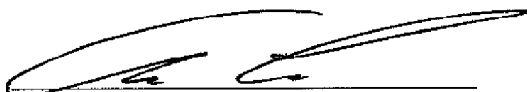
6. Total number of applications and patents involved: 4
7. Total Fee (37 C.F.R. § 3.41): \$160.00
☐ Enclosed
☒ Authorized to be charged to deposit account
8. Deposit Account No.: 50-2821

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Adam J. Cermak, 40391
Typed Name, Reg. No.


Signature

15 Dec. 2003
Date

Total number of pages including cover sheet, attachments, and document: 2

ASSIGNMENT

THIS ASSIGNMENT is effective as of the first day of November 2003.

THIS ASSIGNMENT is made between **ALSTOM (Schweiz) AG**, a Swiss corporation, having its principal place of business at Brown Boveri Strasse 7, CH-5401 Baden, Switzerland; herein referred to as *the Assignor*, and **ALSTOM Technology Ltd**, a Swiss corporation, having its principal place of business at Brown Boveri Strasse 7, CH-5400 Baden, Switzerland; herein referred to as *the Assignee*.

WHEREAS, *the Assignor* is the record owner of the pending United States Patent Applications listed below and of the inventions described and claimed therein

<i>U.S. Pat. Appln. No.</i>	<i>Filing Date</i>	<i>Atty. Docket No.</i>	<i>Our Reference</i>
10/168,174	21.12.2000	003-013	99/267 US
10/168,129	21.12.2000	003-014	99/268 US
10/168,620	21.12.2000	003-015	99/269 US
10/168,625	21.12.2000	003-016	99/296 US

and

WHEREAS, *the Assignee* is desirous of acquiring the entire and exclusive right, title, and interest in and to the said applications, including any reissues, reexamined patents, divisions or continuations of said patents;

NOW, THEREFORE, for and in consideration of good and valuable consideration paid to *the Assignor* by *the Assignee*, the receipt of which is hereby acknowledged, *the Assignor* hereby sells, assigns and transfers, nunc pro tunc as of November 1, 2003, to *the Assignee*, the entire right, title and interest in and to the said Patent Applications, including any reissues, reexamined patents, continuations and divisions of said Patent Applications, said Patent Applications and any and all Letters Patent to be granted thereon to be held and enjoyed by *the Assignee* for its own use and for the use of its legal representatives, successors and assigns, to the full end of the terms for which the said Patent Applications are granted, as fully and entirely as the same would have been held by *the Assignor* had this assignment not been made, together with all claims for past infringement of said Patent Applications and with the right to sue for and to collect damages for such infringement.

G. Bolis

Date: November 14, 2003

Name: Giacomo Bolis

Title: Authorized Representative

Company: ALSTOM (Schweiz) AG