

PATENT ASSIGNMENT

Electronic Version v07

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SUBMISSION TYPE:

NEW ASSIGNMENT

NATURE OF CONVEYANCE:

ASSIGNMENT OF ASSIGNOR'S INTEREST

CONVEYING PARTY DATA

Name	Execution Date
Mark A. Kay	2003-10-03
Zhi-ying Chen	2003-10-03

RECEIVING PARTY DATA

Name	Street Address	Internal Address	City	State/Country	Postal Code
The Board of Trustees of the Leland Stanford Junior University	1705 El Camino Real		Palo Alto	CALIFORNIA	94306-1106

PROPERTY NUMBERS Total: 1

Property Type	Number
Application Number	10652729

CORRESPONDENCE DATA

FAX NUMBER: 6503273231

*Correspondence will be sent via US Mail when the fax attempt is unsuccessful.*

When the customer number has been provided, the Office of Public Records will obtain the correspondence data from the official record on file at the USPTO.

CUSTOMER NUMBER: 024353

NAME OF PERSON SIGNING:

Bret E. Field

DATE SIGNED:

2003-12-16

Total Attachments: 1

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PATENT

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REEL: 014197 FRAME: 0721

**ASSIGNMENT OF APPLICATION  
(JOINT)**

Address to:  
Mail Stop Assignment and Recordation Services  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Attorney Docket Number	STAN-275
First Named Inventor	KAY, MARK A.
Application Number	10/652,729
Filing Date	August 28, 2003
Group Art Unit	N/A
Examiner Name	N/A
Title:	"CIRCULAR NUCLEIC ACID VECTORS, AND METHODS FOR MAKING AND USING THE SAME"

THIS ASSIGNMENT, by MARK A. KAY, and ZHI-YING CHEN (hereinafter referred to as the assignors), residing in Los Altos, California, and Mountain View, California, respectively, witnesseth:

WHEREAS, the said assignors have invented certain new and useful improvements in:

"CIRCULAR NUCLEIC ACID VECTORS, AND METHODS FOR MAKING AND USING THE SAME"

X for which an application for a United States Patent was filed on August 28, 2003, Application No. 10/652,729.  
— for which an application for a United States Patent was executed on —, and  
— for which an international application was filed on \*, Application No. \*, designating the United States;

WHEREAS, The Board of Trustees of the Leland Stanford Junior University, a non-profit organization duly organized under and pursuant to the laws of California, and having its principal place of business at 1705 El Camino Real, Palo Alto, California 94306-1106 (hereinafter referred to as the assignee) is desirous of acquiring the entire right, title and interest in and to said invention and said application for Letters Patent of the United States, and in and to any Letters Patent or Patents, United States or foreign, to be obtained therefor and thereon:

NOW THEREFORE, in consideration of One Dollar (\$1.00) and other good and sufficient considerations, the receipt of which is hereby acknowledged, said assignors have sold, assigned, transferred and set over, and by these presents do sell, assign, transfer and set over, unto the assignee, its successors, legal representatives and assigns, the entire right, title and interest in and to the above-mentioned invention, application for Letters Patent, and any and all Letters Patent or Patents in the United States of America and all foreign countries which may be granted therefor and thereon, and in and to any and all divisions, continuations, and continuations-in-part of said application, or reissues or extensions of said Letters Patent or Patents, and all rights under the International Convention for the Protection of Industrial Property, the same to be held and enjoyed by said assignee, for its own use and behalf and the use and behalf of its successors, legal representatives and assigns, to the full end of the term or terms for which Letters Patent or Patents may be granted, as fully and entirely as the same would have been held and enjoyed by the assignors, had this sale and assignment not been made.

AND for the same consideration, said assignors hereby covenant and agree to and with said assignee, its successors, legal representatives and assigns, that, at the time of execution and delivery of these presents, said assignors are the sole and lawful owners of the entire right, title and interest in and to said invention and the application for Letters Patent above-mentioned, and that the same are unencumbered and that said assignors have good and full right and lawful authority to sell and convey the same in the manner herein set forth.

AND for the same consideration, said assignors hereby covenant and agree to and with said assignee, its successors, legal representatives and assigns, that said assignors will, whenever counsel of said assignee, or the counsel of its successors, legal representatives and assigns, shall advise that any proceeding in connection with said invention, or said application for Letters Patent, or any proceeding in connection with Letters Patent for said invention in any country, including interference proceedings, is lawful and desirable, or that any division, continuation or continuation-in-part of any application for Letters Patent or any reissue or extension of any Letters Patent, to be obtained thereon, is lawful and desirable, sign all papers and documents, take all lawful oaths, and do all acts necessary or required to be done for the procurement, maintenance, enforcement and defense of Letters Patent for said invention, without charge to said assignee, its successors, legal representatives and assigns, but at the cost and expense of said assignee, its successors, legal representatives and assigns.

AND said assignors hereby request the Commissioner of Patents to issue said Letters Patent of the United States to said assignee as the assignee of said invention and the Letters Patent to be issued thereon for the sole use and behalf of said assignee, its successors, legal representatives and assigns.

Date 10/3/03

Name of Inventor

Mark A. Kay  
MARK A. KAY

Date 10/3/03

Name of Inventor

Zhi-Ying Chen  
ZHI-YING CHEN