

06-26-2003



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☐ Assignment ☐ Security Agreement  
☐ License ☐ Change of Name  
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Month Day Year  
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Name (line 1)  University of Chicago

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**Second Party**

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Execution Date  
Month Day Year

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Name (line 1)  United States Department of Energy

Name (line 2)

Address (line 1)  1000 Independence Avenue

Address (line 2)

Address (line 3)  Washington

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Area Code and Telephone Number

630-252-2042

Name Robert J. Fisher

Address (line 1) U.S. Department of Energy

Address (line 2) Intellectual Property Law Division

Address (line 3) 9800 S. Cass Ave

Address (line 4) Argonne, IL 60439

**Pages**

Enter the total number of pages of the attached conveyance document including any attachments.

# 01

**Application Number(s) or Patent Number(s)**

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Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)

10/371,214

If this document is being filed together with a new Patent Application, enter the date the patent application was

Month Day Year

**Patent Cooperation Treaty (PCT)**

Enter PCT application number only if a U.S. Application Number has not been assigned.

PCT PCT PCT  
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**Number of Properties**

Enter the total number of properties involved.

#

**Fee Amount**

Fee Amount for Properties Listed (37 CFR 3.41): \$

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Brain J. Lally

Name of Person Signing

Signature

Date

6-20-03

## CONFIRMATORY LICENSE

Application for: COATINGS AND FILMS DERIVED FROM CLAY/WAX  
NANOCOMPOSITES  
Inventors: David J. Chaiko and Argentina A. Leyva  
Serial No.: 10/371,214  
Filing Date: February 20, 2003  
Contract No.: W-31-109-ENG-38  
Contractor: The University of Chicago

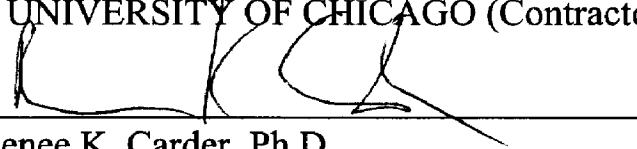
The invention identified above is a "Subject Invention" under the above-numbered contract. A copy of the provisions of the above-numbered contract (certified on February 8, 1996) governing patent rights in the Subject Invention at the time the invention was made has been submitted to the U.S. Department of Energy.

The Contractor hereby confirms that under the provisions of the above-numbered contract governing patent rights, it has granted to the Government a nonexclusive, nontransferable, irrevocable, paid-up license to practice or to have practiced for or on behalf of the United States the subject invention throughout the world. This license applies to the invention in the above-identified patent application and any and all divisions or continuations thereof and any resulting patent or reissue patent which may be granted thereon.

It is understood and agreed that this document does not preclude the Government from asserting rights under the provisions of said contract or any other agreement between the Government and the Contractor, or any other rights of the Government with respect to the above-identified invention.

The Contractor hereby grants the Government an irrevocable power to inspect and make copies of the above-identified application.

Signed on May 20, 2003  
THE UNIVERSITY OF CHICAGO (Contractor)

By:   
Renee K. Carder, Ph.D.  
Assistant Vice President  
for Strategic Research Activities  
Office of the Vice President for Research

**CERTIFICATE OF MAILING BY FIRST CLASS MAIL (37 CFR 1.8)**

Applicant(s):

Docket No.

S-99,443

Serial No.

10/371,214

Filing Date

February 20, 2003

Examiner

Group Art Unit

Invention:

Coatings and Films Derived from Clay/Wax Nanocomposites

I hereby certify that this RECORDATION FORM COVER SHEET FOR CONFIRMATORY LICENSE

(Identify type of correspondence)

is being deposited with the United States Postal Service as first class mail in an envelope addressed to: The  
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