

06-27-2003



Attorney's Case No. 3797-5

RECORDED 102484621

SHEET - PATENTS ONLY

Mail Stop Assignment Recordation Services
Director of the US Patent and Trademark Office
PO Box 1450
Alexandria VA 22313-1450

6-23-03

OFFICE OF PUBLIC RECORDS
2003 JUN 23 AM 11:17
FINANCE SECTION

Dear Sir:

Please record the attached original document(s) or copy thereof.

1. **Name of party(ies) conveying the interest:**

TEKTRONIX, INC.

Additional names of conveying party(ies) attached? ☐ Yes ☒ No

2. **Name and address of party(ies) receiving the interest:**

THORLABS, INC.
435 Route 206 North
Newton NJ 07860

Additional name(s) and address(es) attached? ☐ Yes ☒ No

3. **Nature of conveyance:**

- ☒ Assignment
☐ Security Agreement
☐ Merger
☐ Change of Name
☐ Other _____

Execution Date: May 28, 2003

4. **Application number(s) or patent number(s):**

If this document is being filed together with a new application, the execution date of the application is _____

A. **Patent Application No(s).** 10/271,273; 10/155,383; 10/393,642; 10/417,742.

B. **Patent No(s).** 6,560,554; 6,570,894.

Additional numbers attached? ☐ Yes ☒ No

06/24/2003 LMEILLER 00000132 10271273

240.00 DP

01 FC:0021

5. Name and address of party to whom correspondence concerning document should be mailed:

Robert P. Regimbal, Esq.
Graham, Curtin & Sheridan
4 Headquarters Plaza
PO Box 1991
Morristown NJ 07962-1991

6. Total number of applications and patents involved: Six (6)

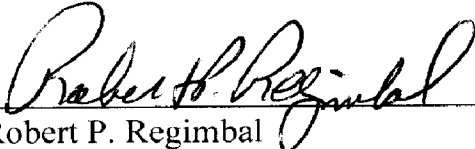
7. Total fee (37 CFR 3.41): \$240.00

 x Enclosed
 Authorized to be charged to deposit account

8. Deposit account number: _____
(Attach duplicate copy of this page if paying by deposit account)

9. Statement and signature:

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.


Robert P. Regimbal

Date: June 18, 2003

ASSIGNMENT & AGREEMENT

FOR AND IN consideration of the sum of One (\$1.00) Dollar to Tektronix, Inc., an Oregon corporation ("Assignor"), in hand paid by Thorlabs, Inc., a company having a principal place of business at 435 Route 206 North, Newton, New Jersey 07860 ("Assignee"), and for other good and sufficient consideration, receipt of which is hereby acknowledged, Assignor does hereby sell, assign and convey to Assignee, its successors, assigns and legal representatives, its entire right, title and interest in and to certain inventions relating to:

1. United States Patent No. 6,560,554 for automatic testing of dense wavelength division multiplexing systems;
2. United States Patent No. 6,570,894 for real-time wavelength calibration for swept lasers;
3. United States Patent Application bearing Serial No. 10/271,273 for vector representation of polarization dependent loss;
4. United States Patent Application bearing Serial No. 10/155,383 for swept wavelength meter;
5. United States Patent Application bearing Serial No. 10/393,642 for single sweep polarization dependent loss measurement; and
6. United States Patent Application bearing Serial No. 10/417,742 for single sweep measurement of multiple optical characteristics.

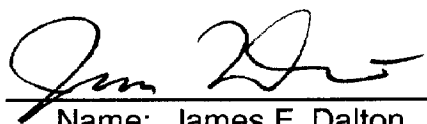
including any and all benefits under the International Convention for the Protection of Intellectual Property, under any and all Letters Patent which may be granted therefore, and under any and all extensions, divisions, reissues and continuations of said Letters Patents.

Assignor requests that any and all Patents for said inventions be issued to Assignee, its successors, assigns and legal representatives, or to such other nominees as Assignee may designate.

Assignor will, when requested, without charge to Assignee but at its expense, sign all papers, take all rightful oaths, and do all acts which may be necessary, desirable or convenient for securing and maintaining Patents for said inventions in any and all countries and for vesting title thereto in Assignee, its successors, assigns and legal representatives or nominees.

IN WITNESS WHEREOF, Assignor has hereunto signed this Assignment & Agreement on the date indicated below.

TEKTRONIX, INC.

By: 
Name: James F. Dalton
Title: Vice President, General
Counsel and Secretary

Dated: May 28, 2003