

06-27-2003

FORM PTO-1595
(REV 6-93)

OMB No. 0651-011 (exp. 4/94)



102484862

COVER SHEET
LYU.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

6-16-03

To the Honorable Commissioner of Patents and Trademarks, please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Bin Wu
Steven C. Rizzo
Navid R. Zagari
Yuan XiaoAdditional name(s) of conveying
party(ies) attached? [] Yes [X] No

3. Nature of conveyance:

☒ Assignment [] Merger
[] Security Agreement [] Change of Name
[] Other _____

Execution Date: 3/23/01, 4/3/01

2. Name and address of receiving party(ies):

Name: Rockwell Technologies, LLC

Internal Address: _____

Street Address: 1049 Camino Dos Rios

City: Thousand Oaks

State/Country: California ZIP: 91360

Additional name(s) & address(es) attached? [] Yes [X] No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: 3/23/01, 4/3/01

A. Patent Application No.(s)

B. Patent No.(s)

10463946

Additional Numbers attached? [] Yes [X] No

5. Name and address of party to whom
correspondence concerning document
should be mailed:

Name: Susan Donahue

Internal Address: _____

Rockwell Automation

Street Address: 1201 South Second Street

City: Milwaukee

State: Wisconsin ZIP: 53204

6. Total number of applications and patents involved: [1]

7. Total fee (37 CFR 3.41)\$ 40.00

[] Enclosed

[X] Authorized to be charged to deposit account

8. Deposit account number:

50-1063

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy
of the original document.

Himanshu S. Amin

Name of Person Signing

Signature

June 18, 2003

Date

Reg. No. 40,894 Total number of pages including cover sheet attachments, and document: [6]

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231PATENT
REEL: 014203 FRAME: 027017707 U.S. PTO
10/463986

06/18/03

10/463986

50-1063

04000149

40.00 DA

06/26/2003 ECD/EPER

01 FC-8021

ASSIGNMENT OF INVENTION
To
ROCKWELL TECHNOLOGIES, LLC

WHEREAS, Bin Wu of Toronto, Ontario; Steven C. Rizzo of Cambridge, Ontario; Navid R. Zagari of Cambridge, Ontario; and Yuan Xiao of Kitchener, Ontario; (hereinafter sometimes referred to as "said inventors"), have invented certain new and useful improvements in INTEGRATED DC LINK CHOKE AND METHOD FOR SUPPRESSING COMMON-MODE VOLTAGE IN A MOTOR DRIVE which invention (hereinafter sometimes referred to as "said invention") has been disclosed to and filed, under Docket No. 00AB086, in the patent files of ROCKWELL TECHNOLOGIES, LLC and which invention is covered by a patent application, executed and filed concurrently herewith in the Patent and Trademark Office for the United States of America.


NOW THEREFORE, it is agreed that, for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, said inventors have sold, assigned and transferred and by these present do sell, assign and transfer unto ROCKWELL TECHNOLOGIES, LLC, the entire right, title and interest in said invention in the United States of America and all foreign countries and in and to said U.S. provisional patent application and all patent applications, divisions, substitutions, continuations and continuations-in-part thereof, and in all United States Letters Patents which may be granted thereon and all reissues and extensions thereof, and in all priority rights under the International Convention for the Protection of Industrial Property for every member country, and in all applications for patents (including related rights such as utility-model registrations, inventor's certificates, and the like) heretofore or hereafter filed for said invention in any foreign countries, and in all patents (including all extensions, renewals and reissues thereof) granted for said invention; said applications and patents to be held and enjoyed by the said ROCKWELL TECHNOLOGIES, LLC, for its own use and for the use of its successors and assigns, to the full end of the term for which Letters Patent on same may be granted, as fully and entirely as the same would have been held by said inventors had this assignment and sale not been made.

FURTHER, said inventors hereby agrees to sign all lawful papers necessary or helpful in obtaining patents on said invention or in filing any divisional, continuation, substitution, or reissue patent application or re-examination proceedings on said invention whether in the United States of America or in any foreign country.

ASSIGNMENT OF INVENTION
TO
ROCKWELL TECHNOLOGIES, LLC

Signed:

Date:


Bin Wu

March 23/01

CERTIFICATE OF ACKNOWLEDGEMENT

State of ONTARIO

County of CANADA

On March 23/2001 before me, Robert B. Aaron, Notary Public
Name and Title of Officer (e.g., "Jane Doe, Notary Public")

personally appeared Bin Wu

Name(s) of Signer(s)

☐ personally known to me - OR - ☒ proved to me on
the basis of satisfactory evidence to be the person whose
name is subscribed to the within instrument and
acknowledged to me that he executed the same in his
authorized capacity, and that by his signature on the
instrument the person, or the entity upon behalf of which
the person acted, executed the instrument.

WITNESS my hand and official seal.

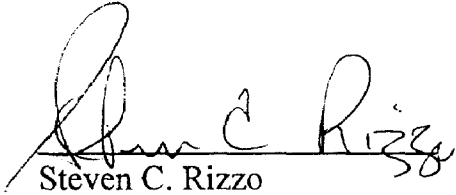

Signature of Notary Public



ASSIGNMENT OF INVENTION
To
ROCKWELL TECHNOLOGIES, LLC

Signed:

Date:


Steven C. Rizzo

April 3/2001

CERTIFICATE OF ACKNOWLEDGEMENT

Province
State of Ontario

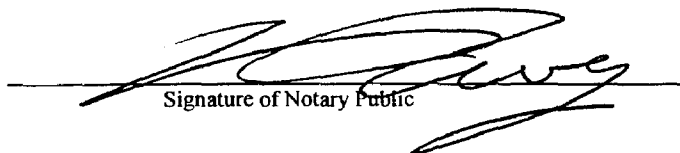
County of Canada

On April 3, 2001 before me, Donovan W. Pavey
Name and Title of Officer (e.g., "Jane Doe, Notary Public")

personally appeared Steven C. Rizzo
Name(s) of Signer(s)

☒ personally known to me - OR - ☐ proved to me
on the basis of satisfactory evidence to be the
person whose name is subscribed to the within
instrument and acknowledged to me that he
executed the same in his authorized capacity, and
that by his signature on the instrument the person, or
the entity upon behalf of which the person acted,
executed the instrument.

WITNESS my hand and official seal.


Signature of Notary Public

ASSIGNMENT OF INVENTION
TO
ROCKWELL TECHNOLOGIES, LLC

Signed:

Date:

Navid R. Zagari
Navid R. Zagari

April 03/2001

CERTIFICATE OF ACKNOWLEDGEMENT

Province
State of Ontario

County of Canada

On April 3, 2001 before me, Donovan W. Pavey, Notary Public
Name and Title of Officer (e.g., "Jane Doe, Notary Public")

personally appeared Navid R. Zagari

Name(s) of Signer(s)

☐ personally known to me - OR - ☒ proved to me
on the basis of satisfactory evidence to be the
person whose name is subscribed to the within
instrument and acknowledged to me that he
executed the same in his authorized capacity, and
that by his signature on the instrument the person, or
the entity upon behalf of which the person acted,
executed the instrument.

WITNESS my hand and official seal.

Donovan W. Pavey
Signature of Notary Public

ASSIGNMENT OF INVENTION
To
ROCKWELL TECHNOLOGIES, LLC

Signed:

Date:

Yuan Xiao
Yuan Xiao

Apr 3/2001

CERTIFICATE OF ACKNOWLEDGEMENT

Province
State of Ontario

County of Canada

On April 3, 2001 before me, Donovan W. Povey Notary Public
Name and Title of Officer (e.g., "Jane Doe, Notary Public")

personally appeared Yuan Xiao
Name(s) of Signer(s)

☐ personally known to me - OR - ☒ proved to me
on the basis of satisfactory evidence to be the
person whose name is subscribed to the within
instrument and acknowledged to me that he
executed the same in his authorized capacity, and
that by his signature on the instrument the person, or
the entity upon behalf of which the person acted,
executed the instrument.

WITNESS my hand and official seal.

[Signature]
Signature of Notary Public