

OMB No. 0651-0011 (exp. 4/94)

FORM PTO-1595
(Rev. 6-93)

06-30-2003

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

OFFICE OF PATENT AND TRADEMARKS
RECC
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FINANCE SECTION



EET

102485594

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Lothar HENNIGHAUSEN
Heiner WESTPHAL

6.26.03

2. Name and address of receiving party(ies):

Name: The Government of the United States of America, as represented by the Secretary, Department of Health and Human Services

Internal Address: _____

City: _____ State: _____ Zip Code: _____ Country: _____

Street Address: Street Address: 6011 Executive Boulevard, Suite 325
City: Rockville State: MD Zip Code: 20852 Country: US

Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No

3. Nature of Conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other:

Execution Date(s): June 22, 2003 and June 23, 2003

Additional name(s) & address(es) attached?

☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No(s):

08/246,259, filed May 19, 1994

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Peter F. Corless
Street Address: EDWARDS & ANGELL, LLP
P.O. Box 9169
Boston, MA 02209

6 Total number of applications and patents involved: [1]

7. Total fee (37 CFR 3.41):.....\$40.00

☒ Enclosed is a check in the amount of \$40.00

☒ Authorized to be charged to deposit account 04-1105 for additional or excess fees.

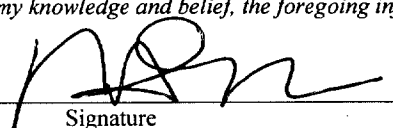
8. Deposit account number:

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature. *To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

Peter F. Corless (33,860)
Name of Person Signing


Signature

June 24, 2003
Date

Total number of pages including cover sheet, attachments, and documents: 4

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PATENT TRADEMARK OFFICE

PATENT

REEL: 014209 FRAME: 0941

Docket No. 58263 (47992)

Page 1

ASSIGNMENT

WHEREAS, We, , hereinafter referred to as "ASSIGNOR", have invented certain new and useful improvements as described and set forth in the below-identified application for United States Letters Patent:

Title of Invention: **TRANSGENIC ANIMALS SECRETING DESIRED PROTEINS INTO MILK**

Filing Date: **May 19, 1994** Serial No.: **08/246,259**

WHEREAS, the conditions under which said invention was made are such as to entitle the Government under Paragraph 1(a) of Executive order 10096 to the entire right, title, and interest herein, both domestic and foreign; and

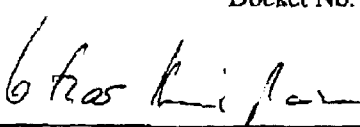
WHEREAS, the Government of the United States is desirous of acquiring all domestic and foreign right, title, and interest in the above-mentioned invention described in the provisional application for Letters Patent; and

NOW, THEREFORE, for good and valuable consideration the receipt of which is hereby acknowledged, I hereby assign and transfer to the United States of America, represented by the Secretary, Department of Health and Human Services, the full and exclusive rights in and to said invention in the U.S. and within each and every foreign country in which the Government elects to file and the entire right, title, and interest in and to such application, and any continuations, continuations-in-part, divisions, reissues or extensions thereof, and including priority rights as may be filed in the U.S. and foreign countries, and such Letters Patent as may be granted to be held by the Government to the end of the term for which the same would have been held by the inventors had this assignment not been made.

I further agree to make, execute, and deliver to the Secretary, Department of Health and Human Services, upon request, any and all papers, documents, affidavits, or other instruments that may be necessary in the prosecution of any application or applications for improvements or reissues of Letters Patent, and to assist the Government in every way as may be requested in protecting said invention, provided that any expense of extending such assistance shall be paid by the Government.

IN TESTIMONY WHEREOF, ASSIGNOR has hereunto signed ASSIGNOR's names to this assignment on the date indicated below.

Docket No. 58263 (47992)
Page 2



Lothar HENNIGHAUSEN
Date: 6-22-2001

STATE OF _____)
COUNTY OF _____) ss.

On this _____ day of _____, in the year of _____, before me, the undersigned notary public, personally appeared the above-named ASSIGNOR, known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument, and acknowledged that he/she executed the same.

SEALNOTARY PUBLIC

STATE OF _____)
COUNTY OF _____) ss.

Heiner WESTPHAL
Date: _____

On this _____ day of _____, in the year of _____, before me, the undersigned notary public, personally appeared the above-named ASSIGNOR, known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument, and acknowledged that he/she executed the same.

SEALNOTARY PUBLIC

Docket No. 58263 (47992)

Page 1

ASSIGNMENT

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Title of Invention: **TRANSGENIC ANIMALS SECRETING DESIRED PROTEINS INTO MILK**

Filing Date: **May 19, 1994** Serial No.: **08/246,259**

WHEREAS, the conditions under which said invention was made are such as to entitle the Government under Paragraph 1(a) of Executive order 10096 to the entire right, title, and interest herein, both domestic and foreign; and

WHEREAS, the Government of the United States is desirous of acquiring all domestic and foreign right, title, and interest in the above-mentioned invention described in the provisional application for Letters Patent; and

NOW, THEREFORE, for good and valuable consideration the receipt of which is hereby acknowledged, I hereby assign and transfer to the United States of America, represented by the Secretary, Department of Health and Human Services, the full and exclusive rights in and to said invention in the U.S. and within each and every foreign country in which the Government elects to file and the entire right, title, and interest in and to such application, and any continuations, continuations-in-part, divisions, reissues or extensions thereof, and including priority rights as may be filed in the U.S. and foreign countries, and such Letters Patent as may be granted to be held by the Government to the end of the term for which the same would have been held by the inventors had this assignment not been made.

I further agree to make, execute, and deliver to the Secretary, Department of Health and Human Services, upon request, any and all papers, documents, affidavits, or other instruments that may be necessary in the prosecution of any application or applications for improvements or reissues of Letters Patent, and to assist the Government in every way as may be requested in protecting said invention, provided that any expense of extending such assistance shall be paid by the Government.

IN TESTIMONY WHEREOF, ASSIGNOR has hereunto signed ASSIGNOR's names to this assignment on the date indicated below.

Docket No. 58263 (47992)

Page 2

STATE OF _____)
COUNTY OF _____) ss.

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Date: _____

On this _____ day of _____, in the year of _____, before me, the undersigned notary public, personally appeared the above-named ASSIGNOR, known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument, and acknowledged that he/she executed the same.

SEAL

NOTARY PUBLIC

STATE OF _____)
COUNTY OF _____) ss.

Heiner WESTPHALDate: 6/23/2003

On this _____ day of _____, in the year of _____, before me, the undersigned notary public, personally appeared the above-named ASSIGNOR, known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument, and acknowledged that he/she executed the same.

SEAL

NOTARY PUBLIC