cument ID No. 102363644

U.S. DEPARTMENT OF COMMERCE

U.S. Patent and Trademark Office



Form **PTO-1595** RECC (Rev. 10/02)

OMB No. 0651-0027 (exp. 6/30/2005)	N F
102486940	
Tab settings	
To the Honorable Commissioner of Patents and Trademarks: Please Record the attached original documents or copy thereof.	
1. Name of conveying party(ies): Wade T. Cathey, Jr.	Name and address of receiving party(ies) Name: The Regents of the University of Colorado
Additional name of conveying party(ies) attached? ☐ Yes ☒ No	Internal Address: 588 SYS
3. Nature of conveyance:	Street Address: 4001 Discovery Drive
	Suite 390
☐ Security Agreement ☐ Change of Name	City: Boulder State: CO Zip: 80309
☐ Other Execution Date: 31 January 2003	Additional Name(s) & address(es) attached? ☐ Yes ☒ No
Execution Date. 31 January 2003	.
If this document is being filed together with a new application A. Patent Application No.(s) 09/814,197 Additional numbers atta	B. Patent No.(s) B. Patent No.(s)
Name and address of party to whom correspondence concerning this document should be mailed:	6. Total number of applications and patents involved: 1
Name: Curtis A. Vock	7. Total fee (37 CFR 3.41) \$ 40 Previously Submitted
Internal Address:	☐ Enclosed ☐ Authorized to be charged to deposit account
Street Address: Lathrop & Gage L.C. 4845 Pearl East Circle, Suite 300 City: Boulder State: CO Zip: 80301	8. Deposit account number: 12-0600 (Attach duplicate copy of this page if paying by deposit account)
DO NOT USE THIS SPACE	
9. Statement and signature. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Curtis A. Vock Name of Person Signing Signature Date	

Mail documents to be recorded with required cover sheet information to:

Total number of pages including cover sheet, attachments, and documents: 5

Commissioner of Patents & Trademarks, Box Assignments Washington, D.C. 20231

REEL: 014214 FRAME: 0362

Form PTO-1595 RE . 02 - 12 -	U.S. DEPARTMENT OF COMMERCE U.S. Patent and Trademark Office
OMB No. 0651-0027 (exp. 6/30/2005)	
Tab settings	3644
To the Honorable Commissioner of Facette and Tracette and	Tiease record the attached original documents or copy thereof.
1. Name of conveying party(ies): 2-10-03 Wade T. Cathey, Jr.	2. Name and address of receiving party(ies)
	Name: The Regents of the University of Colorado
	Internal Address: 588 SYS
Additional name of conveying party(ies) attached? ☐ Yes ☒ No	
3. Nature of conveyance:	Street Address: 4001 Discovery Drive
⊠ Assignment □ Merger	Suite 390
☐ Security Agreement ☐ Change of Name	City: Boulder State: CO Zip: 80309
Other Quitclaim of Patent	Additional Name(s) & address(es) attached? ☐ Yes ☑ No
Execution Date: 31 January 2003	
4. Application number(s) or patent number(s): If this document is being filed together with a new applicatio A. Patent Application No.(s) 09/814,197	n, the execution date of the application is: B. Patent No.(s)
Additional numbers attached? Yes No	
Name and address of party to whom correspondence concerning this document should be mailed:	6. Total number of applications and patents involved: 1
Name: Curtis A. Vock	7. Total fee (37 CFR 3.41) \$ 40
Internal Address:	
	Authorized to be charged to deposit account
	Deposit account number:
Street Address: Lathrop & Gage L.C.	12-0600
	(Attach duplicate copy of this page if paying by deposit account)
	(Attach duplicate copy of this page if paying by deposit account)
DO NOT USE THIS SPACE	
9. Statement and signature.	
To the best of my knowledge and belief, the foregoing infoniss a true copy of the original document. Curtis A. Vock	mation is true and correct and any attached copy 2-05-03
Name of Person Signing Signature Date	
Total number of pages including cover sheet, attachments, and documents: 3	

Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

02/11/2003 ECOOPER 00000254 09814197

01 FC:8021

40.00 BP

PATENT

REEL: 014214 FRAME: 0363

Docket No.: 402797

Patent

ASSIGNMENT

For good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, I, the undersigned, Wade Thomas Cathey, Jr. do hereby sell, assign, and transfer to: the Regents of the University of Colorado, a body corporate, having a place of business at 4001 Discovery Drive, Suite 390, 588 SYS, Boulder, Colorado 80309, ("Assignee"), its successors, assigns, and legal representatives, the entire right, title and interest for the United States and all foreign countries, in and to any and all inventions and improvements which are disclosed in the application for United States Letters Patent which was filed on March 21, 2001 and assigned Serial No. 09/814,197 and is entitled:

HIGH SPEED CONFOCAL MICROSCOPE

and in the PCT application for patent which was filed on March 20, 2002 and assigned Serial No. PCT/US02/08461, and is entitled:

HIGH SPEED CONFOCAL MICROSCOPE

and in and to said applications and all divisional, continuing, substitute, renewal, reissue, and all other applications for Letters Patent which have been or shall be filed in the United States and all foreign countries on any of said improvements; and in and to all original and reissued patents which have been or shall be issued in the United States and all foreign countries on said improvements; and in and to all rights of priority resulting from the filing of said United States and PCT applications; and

Agree that said Assignee may apply for and receive Letters Patent for said improvements in its own name; and that, when requested, without charge to, but at the expense of, said Assignee, its successors, assigns and legal representatives, to carry out in good faith the intent and purpose of this Assignment, the undersigned will execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all said improvements; execute all rightful oaths, assignments, powers of attorney and other papers; communicate to said Assignee, its successors, assigns, and representatives, all facts known to the undersigned relating to said improvements and the history thereof, and generally do everything possible which said Assignee, its successors, assigns or representatives shall consider desirable for aiding in securing and maintaining proper patent protection for said improvements and for vesting title to said improvements and all applications to patents and all patents on said improvements, in said Assignee, its successors, assigns and legal representatives; and

Covenant, with said Assignee, its successors, assigns and legal representatives that no assignment, grant mortgage, license, or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.

PATENT

REEL: 014214 FRAME: 0364

QUITCLAIM OF PATENT

This QUITCLAIM is by and between CDM Optics, Inc., a Colorado corporation with offices at 4001 Discovery Drive, Suite 2110, Boulder, CO 80303 ("Grantor"), and Wade Thomas Cathey, Jr. of Boulder, Colorado ("Grantee").

WHEREAS, Grantee has invented new and useful improvements (the "Inventions") as fully set forth and described in U.S. Patent Application No. 09/814,197 titled "High Speed Confocal Microscope" filed on March 21,2001 and PCT Patent Application No. PCT/US02/08461 titled "High Speed Confocal Microscope" filed on March 20, 2002 (the "Applications"); and

WHEREAS, Grantee inadvertently granted to Grantor, pursuant to an assignment executed on March 20, 2001 and filed with the U.S. Patent Office on March 21, 2001 (the "Assignment"), his full and exclusive, right, title, and interest, throughout the world, in and to (i) the Inventions, (ii) the Applications, (iii) any and all refilings, divisions, continuations, continuations-in-part, and foreign counterparts of said Applications (the "Family Applications"), (iv) any and all patents which may issue from the Applications or the Family Applications, including reissues and reexaminations of such patents, and (v) any and all extensions of, and additions to the patents;

NOW, THEREFORE, for good and valuable consideration, the receipt and sufficiency of which are acknowledged, Grantor releases and quitclaims to Grantee the entire right, title, and interest whatsoever which Grantor might have acquired in and to the Inventions and the Applications pursuant to the Assignment.

IN WITNESS WHEREOF, the parties hereto have caused this QUITCLAIM to be duly executed as follows:

By: Wade Thomas Cathey, Jr., President

State of Colorado

) ss: County of Boulder)

The preceding Quitclaim was acknowledged before me this 3/2 day of Jan, 2003

My Commission Expires: 6 - 19 - 06

RECORDED: 02/10/2003

Notary Public

1101217 1 4011

402797

PATENT REEL: 014214 FRAME: 0365