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RECORDATION FORM COVER SHEET

U.S. DEPARTMENT OF COMMERCE

U.S. Patent and Trademark Office

PATENTS ONLY

FINANCE SECTION

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof

1. Name of conveying party(ies):

Knorr-Bremse Management (U.K.) Limited changed its name to Knorr-Bremse Westinghouse Brakes (U.K.) Limited, followed by a name change to Westinghouse Brakes (U.K.) Limited.

Additional name(s) of conveying party(ies) attached? ☒ Yes ☐ No

2. Name and address of receiving party(ies)

Name: Westinghouse Brakes (U.K.) Limited

Internal Address: _____

Street Address: _____

Foundry Lane

City: Chippenham, Wiltshire SN15 1JB

Country: United Kingdom Zip: _____

Additional name(s) & address(es) attached: ☐ Yes ☒ No

3. Nature of Conveyance:

☐ Assignment

☐ Merger

☐ Security Agreement

☒ Change of Name

☐ Other _____

Execution Date: October 30, 2000

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the new application is: _____

A. Patent Application No.(s):

08/617,913 filed July 15, 1996

B. Patent No.(s):

5,845,579 issued December 8, 1998

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Carl E. Moore, Jr.
MARSHALL, GERSTEIN & BORUN

Internal Address: Atty. Dkt.: 30678/33183

Street Address:
233 S. Wacker Drive, Suite 6300
Sears Tower

City: Chicago State: IL Zip: 60606-6357

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41) \$ 40.00

☒ Enclosed

☐ Authorized to be charged to deposit account

☐ Authorized to be charged to credit card
(Form 2038 enclosed)

8. Deposit account number:

13-2855

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Carl E. Moore, Jr. - Reg. No. 26,487

Name of Person Signing

Carl E. Moore
Signature

June 27, 2003

Date

Total number of pages including cover sheet, attachments, and documents: 3

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I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail, in an envelope addressed to: Mail Stop Assignment Recordation Services, Director of the US Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below.

Dated: June 27, 2003

Signature: Carl E. Moore (Carl E. Moore, Jr.)

PATENT
REEL: 014214 FRAME: 0650



THE COMPANIES ACT 1985

Company No. 3974921

The Registrar of Companies for England and Wales hereby certifies that

WESTINGHOUSE BRAKES (UK) LIMITED (originally called KNORR-BREMSE MANAGEMENT (UK) LIMITED changed its name on 2nd May 2000 to KNORR-BREMSE WESTINGHOUSE BRAKES (UK) LIMITED which was changed on 30th October 2000 to WESTINGHOUSE BRAKES (UK) LIMITED each change having been made by special resolution) was incorporated under the Companies Act 1985 as a limited company on 12th April 2000.*****

Given at Companies House, Cardiff the 23rd May 2003

CATHERINE LLEWELLYN

for the Registrar of Companies



Companies House

— for the record —

PATENT
REEL: 014214 FRAME: 0651

A certification dated 23 May 2003 by the Registrar of Companies for England and Wales that Knorr-Bremse Management (U.K.) Limited changed its name on 2 May 2000 to Knorr-Bremse Westinghouse Brakes (U.K.) Limited, followed by a name change on 30 October 2000 from Knorr-Bremse Westinghouse Brakes (U.K.) Limited to Westinghouse Brakes (U.K.) Limited.