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U.S. DEPARTMENT OF COMMERCE  
U.S. Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

George R. Pettit  
Matthew P. GrealishAdditional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

## 3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other

Execution Date: March 27 and 31, 2003

## 2. Name and address of receiving party(ies)

Name: Arizona Board of Regents acting for and on behalf  
of Arizona State University

Internal Address: Arizona State University

Office of Technology Collaborations

and Licensing

Street Address: Box 873211

City: Tempe State: AZ Zip: 85287

Additional name(s) & address(es) attached? ☐ Yes ☒ No

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s)  
PCT/US03/11008

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Susan Stone Rosenfield

Internal Address: Fennemore Craig, P.C.

Street Address: 3003 North Central Ave., Suite 2600

City: Phoenix State: Arizona Zip: 85012

## 6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41).....\$ 40

- ☒ Enclosed  
☐ Authorized to be charged to deposit account

## 8. Deposit account number:

060590

(Attach duplicate copy of this page if paying by deposit account)

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## 9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Susan Stone Rosenfield

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and documents: 7

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents & Trademarks, Box Assignments  
Washington, D.C. 20231PATENT  
REEL: 014214 FRAME: 0766

**ASSIGNMENT**

WHEREAS, We, George R. Pettit of 6232 Bret Hills Drive, Paradise Valley, Arizona 85253 and Matthew P. Grealish, 863 Sapphire Street #13, San Diego, CA 92109, (herein referred to as "ASSIGNORS") have invented certain new and useful improvements described in Patent Cooperation Treaty (PCT) Application No. PCT/US03/11008 entitled "Structural Modification of Resveratrol: Sodium Resverastatin Phosphate" filed on April 10, 2003, which claims the priority of U.S. Provisional Application No. 60/271,782;

AND WHEREAS, the ARIZONA BOARD OF REGENTS, a body corporate of the State of Arizona, acting for and on behalf of the ARIZONA STATE UNIVERSITY, Tempe, Arizona 85287, (herein referred to as "ASSIGNEE"), is desirous of acquiring the entire right, title and interest in and to said invention, said application above-identified, and in, to and under any Letters Patent which may be obtained for said invention.

**NOW THEREFORE TO WHOM IT MAY CONCERN:**

BE IT KNOWN, that for and in consideration of the sum of Ten Dollars (\$10.00) and other good and valuable consideration received from ASSIGNEE, as set forth in ASSIGNEE'S current stated patent policy, the receipt of which is hereby acknowledged, we, the said ASSIGNORS have sold, assigned, transferred and set over to the said ASSIGNEE, its successors and assigns, the entire right, title and interest for the United States and all foreign countries, including the right to claim priority under the provisions of the International Convention from the filing date of the above-named application(s), in and to said invention, and in and to any and all applications filed thereon, specifically the application(s) hereinabove identified, and in, to and under any and all Letters Patent that may be granted on said application(s) or for said invention;

AND, We hereby covenant and agree for ourselves and our legal representatives, that we will each assist ASSIGNEE without expense to ourselves or our legal representatives, in the prosecution of the application(s) hereinabove identified, in the making and prosecution of any other applications, divisions, substitutions, renewals or reissues that the said ASSIGNEE may elect to make covering said invention, and in any interference, litigation or other proceeding that

ASSIGNMENT

PCT Application No. PCT/US03/11008

Title: Structural Modification of Resveratrol: Sodium Resverastatin Phosphate

may arise involving said invention or application(s), or patents issued thereon, and that we will execute and deliver to ASSIGNEE any and all additional documents and papers and perform any further acts necessary to vest in ASSIGNEE the rights hereby conveyed;

AND the U.S. Commissioner of Patents and Trademarks and/or any other corresponding foreign agency or office is hereby authorized and required to issue all Letters Patent which may be granted upon said invention, applications, divisions, continuations, renewals and reissues, thereof, to the said ASSIGNEE, its successors and assigns.

AND each of the ASSIGNORS hereby authorizes any member of the firm of Fennemore Craig, P.C. to insert or complete any information, including but not limited to the patent application serial number and filing date, needed to effect this document's recordation in the United States Patent and Trademark Office.

IN TESTIMONY WHEREOF I have hereunto set my hand and seal.

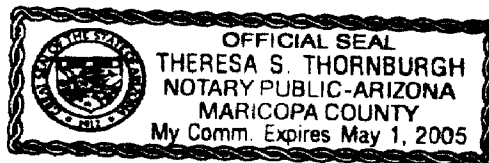
George R. Pettit  
George R. Pettit

On this 27 day of March, 2003, personally appeared before me George R. Pettit, to me known and known to me to be the same person who executed the foregoing instrument and acknowledged that he executed and delivered the same for the purposes therein stated.

Theresa S. Thornburgh  
Notary Public

My commission expires:

May 1, 2005



ASSIGNMENT

PCT Application No. New

Title: Structural Modification of Resveratrol: Sodium Resverastatin Phosphate

IN TESTIMONY WHEREOF I have hereunto set my hand and seal.

*Matthew P. Grealish*

Matthew P. Grealish

On this 31<sup>st</sup> day of March, 2003, personally appeared before me Matthew P. Grealish, to me known and known to me to be the same person who executed the foregoing instrument and acknowledged that he executed and delivered the same for the purposes therein stated.

*Sabrina R. Wright*  
Notary Public

My commission expires:

