

FORM PTO-1595

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RECORDATION FORM COVER SHEET

PATENTS ONLY

U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office

Attorney Docket No. 400.0002 0101

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

- 1) Markus Mikulla
- 2) Guenther Lechner
- 3) Klaus-Peter Stefan
- 4) Gabriele Rackelmann
- 5) Gunther Eckhardt

Additional name(s) of conveying party(ies) attached? ☐ Yes ☐ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ License
☐ Other _____

Execution Date: 28 July 2003

2. Name and address of receiving party(ies):

Name: 3M ESPE AGStreet Address: Espe PlatzCity: Seefeld Country: GERMANY ZIP: 82229Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.: 10/432,946
Filed: 27 May 2003 (Int'l Filing Date 19 November 2001)

B. Patent No(s). _____

Title: USE OF BRANCHED POLYACIDS IN DENTAL MATERIALSAdditional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Ann M. Mueeting

Address: MUETING, RAASCH & GEBHARDT, P.A.
P.O. Box 581415
Minneapolis, MN 55458-1415

6. Total number of applications and patents involved: 17. Total fee (37 CFR §3.41): \$ 40.00

☐ Enclosed
☒ Authorized to be charged to deposit account

8. Please charge any additional fees or credit any overpayments to Deposit account number: 13-4895

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is an original or a true copy of the original document.

Ann M. Mueeting/ Reg. No. 33,977

Name of Person Signing

Signature



Date

Dec. 22, 2003Total number of pages including cover sheet, attachments, and document(s): 3

Mail documents to be recorded with required cover sheet information to:
Commissioner, United States Patent and Trademark Office
Box Assignments
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Alexandria, VA 22313-1450

700057938

PATENT
REEL: 014217 FRAME: 0380

CH \$40.00 134895 10432946

Docket 400.0002 0101

ASSIGNMENT

Whereas We, Markus Mikulla, Gunther Lechner, Kalus-Peter Stefan, Gabriele Rackelmann, and Gunther Eckhardt, with residences and citizenships as indicated below; have made an invention in

USE OF BRANCHED POLYACIDS IN DENTAL MATERIALS

Serial No.: 10/432,946

Filed: 27 May 2003

and executed an application for Letters Patent of the United States of America based thereon;

Now, therefore, for good and valuable consideration, receipt of which is acknowledged, we have individually and jointly agreed to assign and transfer and do hereby assign and transfer unto 3M ESPE AG ("Company"), a corporation of Germany, having its principal office at Espe Platz, Seefeld, 82229, Germany, its successors and assigns, the entire right, title, and interest in and to said invention and application, and in and to any division or continuation (in whole or in part) of said application, and in and to any and all improvements in said invention made by us or any of us or made jointly with others (provided any such improvement is made during, or within one year after the termination of, the employment by the Company of whichever of us, solely or jointly with one or more others, has made the same), and in and to any and all Letters Patent, reexaminations, reissues, or extensions thereof, of the United States of America and countries foreign thereto (including the right to apply for Letters Patent, Utility Models, or Inventors' Certificates in foreign countries in its own name and to claim any priority rights for such foreign applications to which such applications are entitled under international conventions, treaties, or otherwise), which have been or may be granted thereon or on any divisional, continuation (in whole or in part), renewal, reexamination, reissue, or other or further application based in whole or in part upon said invention or improvements thereon, to be held and enjoyed as fully and exclusively as they would have been by us or any of us had this assignment and transfer not been made;

We do further agree for ourselves and for our heirs, executors, and administrators, to execute and deliver without further consideration any further applications, assignments, and documents, and to perform such other acts as we lawfully may, that may be deemed necessary by the Company, its successors, assigns, and nominees, fully to secure its right, title, and interest as aforesaid and to obtain or maintain Letters Patent, Utility Models, or Inventors' Certificates in any and all countries;

And we do hereby authorize and request the Commissioner of Patents to issue any and all Letters Patent which may be granted upon any of said applications, to 3M ESPE AG as the assignee of the entire right, title, and interest therein.

In witness whereof, we have hereunto signed our names on the days and years set forth below.

Dated this 28th day of July, 2003.

Andrea Stöckel
Witness

Andrea Stöckel
Witness

Andrea Stöckel
Witness

Andrea Stöckel
Witness

Markus Mikulla
Markus Mikulla
Address: Florianweg 6
82346 Andechs-Frieding, Germany
Citizenship: Germany

Gunther Lechner
Gunther Lechner
Address: Am Teilsrain 3
82237 Woerthsee, Germany
Citizenship: Germany

Olle Wild

Witness

Sabrina Fros

Witness

Sibille Franz

Witness

Jillu ffr

Witness

Rose-Marie Eckhardt

Witness

Katharina Illich

Witness

Klaus-Peter Stefan

Klaus-Peter Stefan

Address: Hauptstrasse 9b
82229 Seefeld, Germany

Citizenship: Germany

Gabriele Rackelmann

Gabriele Rackelmann

Address: Weichselbaumer Strasse 10
82205 Gilching, Germany

Citizenship: Germany

Gunther Eckhardt

Gunther Eckhardt

Address: OdF-Strasse 22
06231 Bad Duerrenberg, Germany

Citizenship: Germany