

07-03-2003



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To the Honorable Commissioner of Patents and Trademarks: Please Record the attached original documents or copy thereof.

1. Name of conveying party(ies):

- (a) William L. Kath
- (b) Gino Biondini
- (c) Sarah L. Fogal

Additional name of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies)

Name: Northwestern University

Internal Address: 633 Clark Street

Evanston, IL 60208

Street Address: 633 Clark Street

City: Evanston State: IL Zip: 60208

Additional Name(s) & address(es) attached? ☐ Yes ☐ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
- ☐ Security Agreement ☐ Change of Name
- ☐ Other

Execution Date: (a) and (c) June 12, 2003  
(b) June 5, 2003

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

10/360,303

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning this document should be mailed:

Name: Leonard J. Kalinowski

Internal Address: 1000 N. Water Street

Suite 2100

Milwaukee, WI 53202

Street Address: 1000 N. Water Street

Suite 2100

City: Milwaukee State: WI Zip: 53202

6. Total number of applications and patents involved:

7. Total fee (37 CFR 3.41) . . . . . \$ 40.00

☒ Enclosed

☐ Authorized to be charged to deposit account

8. Deposit account number:

18-0882

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

Leonard J. Kalinowski  
Name of Person Signing

Leonard J. Kalinowski  
Signature

June 25, 2003  
Date

Total number of pages including cover sheet, attachments, and documents:

Mail documents to be recorded with required cover sheet information to:  
Commissioner for Patents, Mail Stop Assignment Recordation Services  
P. O. Box 1450, Alexandria, VA 22313-1450

40.00 DP  
06/30/2003 JPH:JAN 0000084 10360303  
02 FC:0021

Attorney Docket No: 7462For: ☒ U.S. and/or ☒ Foreign RightsFor: ☒ U.S. Application or ☐ U.S. PatentBy: ☒ Inventor(s) or ☐ Present Owner**ASSIGNMENT OF INVENTION**

In consideration of the payment by ASSIGNEE to each ASSIGNOR identified below of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration,

**ASSIGNOR:****(inventor(s) or**William L. Kath

(Type or print name(s) of ASSIGNOR(S))

person(s) or entity(ies)  
who own the invention)2426 Hastings AvenueEvanston, IL 60201

(Address)

United States

(Nationality)

**(inventor(s) or**Gino Biondini

(Type or print name(s) of ASSIGNOR(S))

person(s) or entity(ies)  
who own the invention)1498A West 7th AvenueColumbus, OH 43212

(Address)

Italian

(Nationality)

**(inventor(s) or**Sarah L. Fogal

(Type or print name(s) of ASSIGNOR(S))

person(s) or entity(ies)  
who own the invention)38 Whitford RoadNashua, NH 03062

(Address)

United States

(Nationality)

*(If assignment is by person or entity to whom invention was previously assigned  
and this was recorded in PTO add the following)*

Recorded on \_\_\_\_\_

Reel \_\_\_\_\_

Frame \_\_\_\_\_

hereby sells, assigns and transfers to

ASSIGNEE: ASSIGNEE:

\_\_\_\_\_  
Northwestern University  
(Type or print name of ASSIGNEE)  
\_\_\_\_\_  
633 Clark Street  
(Address)  
\_\_\_\_\_  
Evanston, IL 60208  
\_\_\_\_\_  
United States  
(Nationality)

(Address)

An individual who is a citizen of  
(Domicile or Nationality)

and the successors, assigns and legal representatives of the ASSIGNEE  
(complete one of the following)

- ☒ the entire right, title and interest  
☐ an undivided \_\_\_\_\_ percent ( \_\_\_\_\_ %) interest  
for the United States and its territorial possessions

(check the following box if foreign rights are also to be assigned)

☒ and in all foreign countries, including all rights to claim priority,  
in and to any and all improvements which are disclosed in the invention entitled:

METHOD AND SYSTEM FOR THE CONTROLLED PRODUCTION  
OF POLARIZATION MODE  
DISPERSION

(check and complete (a), (b), (c) or (d))

and which is found in

- (a) ☐ U.S. patent application executed on even date herewith  
(b) ☐ U.S. patent application executed on \_\_\_\_\_  
☐ To comply with 37 CFR 3.21 for recordal of this assignment, I, an  
ASSIGNOR signing below, hereby authorize and request my attorney, as  
named in the Declaration and Power of Attorney I executed for this  
invention on the execution date stated above, to insert below the

filing date and application number when it becomes known.

(c) ☒ U.S. application serial no. 10/360,303 filed on 02/07/2003

(d) ☐ U.S. patent no. \_\_\_\_\_ issued \_\_\_\_\_

☐ A change of address to which correspondence is to be sent regarding patent maintenance fees is being sent separately.

*(also check (e) if foreign application(s) is also being assigned)*

(e) ☐ and any legal equivalent thereof in a foreign country, including the right to claim priority

and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, renewal, or substitute thereof, and as to letters patent any reissue or re-examination thereof

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, I/We have hereunto set hand the date indicated below.

*WARNING: Date of signing must be the same as the date of execution of the application if item (a) was checked above.*

Signature of ASSIGNOR(S)

William L. Kath 6/12/03  
William L. Kath (Date)

Gino Biondini 6/5/2003  
Gino Biondini (Date)

Sarah L. Fogal 6/12/03  
Sarah L. Fogal (Date)