

RECORDATION FORM COVER SHEET PATENTS ONLY

FORM PTO-1595

U.S. DEPARTMENT OF COMMERCE

(Rev. 6-93)

Patent and Trademark Office

OMB No. 0851-0011 (exp. 4/94)

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Libby, James B.
Williams, Rodney K.
Lightfoot, Anthony J.
Pena A., Oscar E.
Tan, Alex W.K.

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies)

Name: Andersen Corporation
Street Address: 100 Fourth Avenue
Bayport, Minnesota 55003

Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☐ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☒ Other: A corrected assignment to correct

Assignee's name on Reel Number 014222, Frame Number 0258. This document is being filed in response to USPTO Document ID Number 700058519A, dated December 30, 2003.

Execution Dates: April 5, 2003; April 16, 2003;
December 1, 2003; and December 17, 2003

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent application No.(s)

10/397,084

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Keats A. Quinalty, Esq.
Womble Carlyle Sandridge & Rice, PLLC
P.O. Box 7037
Atlanta, GA 30357-0037

6. Total number of applications/patents involved: ☒ 1

7. Total fee (37 CFR 3.41) \$0.00

☐ Enclosed☒ Authorized to be charged to deposit account

8. Deposit Account Number: 09-0528

(Attach duplicate copy of this page if paying by Deposit Account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Keats A. Quinalty, Esq. #46,426

Name of Person Signing

Signature

Date

Docket No.: A202 1050.2

Total number of pages including cover sheet, attachments, and document: ☒ 10

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Alexandria, Virginia 22313-1450

OPR/ASSIGNMENTS

12/30/03 6:13 PAGE 4/4

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WOMBLE CARLYLE

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12/30/2003
700058510RECORDATION FORM COVER SHEET
PATENTS ONLY

FORM PTO-1595

U.S. DEPARTMENT OF COMMERCE

(Rev. 5-93)

OMB No. 0651-0011 (exp. 4/04)

Patent and Trademark Office

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A. Patent application No.(s) 10/397,084 B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

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Womble Carlyle Sandridge & Rice, PLLC
P.O. Box 7037
Atlanta, GA 30357-00376. Total number of applications/patents involved: ☒ 1

7. Total fee (37 CFR 3.41) \$ 40.00

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ATLANTA 383489v1

PATENT
REEL: 014230 FRAME: 0120

PATENT ASSIGNMENT

WHEREAS, the undersigned:

James Brian Libby, a citizen of the United States of America, residing at 317 North Serburne Street, Stillwater, Minnesota 55082;

Rodney Keith Williams, a citizen of the United States of America, residing at 9177 Fawn Lake Drive NE, Stacy, Minnesota 55079-6703;

Anthony J. Lightfoot, a citizen of the United States of America, residing at 1985 Birch Lake Avenue, White Bear Lake, Minnesota 55110

(hereinafter referred to as "Assignors"), have invented certain inventions and improvements disclosed in an application for Letters Patent entitled **AUTOMATED MULTI-TASK WINDOW ASSEMBLY**, which application was filed in the United States Patent and Trademark Office on March 26, 2003, and assigned Serial No. 10/397,084.

WHEREAS, Andersen Corporation, a corporation of the State of Minnesota, having its principal place of business at 100 Fourth Avenue North, Bayport, Minnesota, 55003, is desirous of acquiring an interest in the same;

NOW, THEREFORE, for and in consideration of good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, Assignors, by these presents do sell, assign and transfer unto Andersen Corporation, the full, exclusive and entire right, title and interest: (i) in and to all inventions and improvements disclosed and described in said application, (ii) in and to said application, (iii) in and to any divisional, continuation, and continuation-in-part applications describing in whole or in part the said inventions and improvements, preparatory to obtaining Patents of the United States therefor, (iv) in and to any reissues of the aforementioned, (v) in and to any provisional patent applications filed in the United States describing in whole or in part the said inventions and improvements, from which priority may be claimed under Title 35 of the United States Code, and (vi) in and to the right to claim any applicable foreign and domestic priority rights arising from such provisional patent applications; and Assignors do hereby request the Commissioner of Patents to issue any and all Patents of the United States resulting from said application, or from a division, continuation, continuation-in-part, or reissue thereof, to Andersen Corporation as Assignee, for its interest and for the sole use and behoof of Andersen Corporation and its assigns and legal representatives;

For the same consideration, Assignors by these presence do sell, assign and transfer to Andersen Corporation, the full, exclusive and entire right, title and interest in and to any foreign application or applications corresponding to said application, in whole or in part, in countries other than the United States, in and to any Patents and similar protective rights granted on said foreign application, and in and to the right to claim any applicable priority rights arising from or required for said foreign application under the terms of any applicable conventions, treaties, statutes, or regulations; said foreign applications to be filed and issued in the name of Andersen Corporation and/or its respective designees, insofar as permitted by applicable law;

AND, for the same consideration, Assignors do hereby agree to sign all lawful papers, execute all divisional, continuation, continuation-in-part, reissue and other applications, make all assignments and rightful oaths, and generally do everything possible to aid Andersen Corporation, its successors, assigns, and nominees, to obtain and enforce proper protection for all said inventions and improvements in all countries throughout the world.

12/17/03
Date

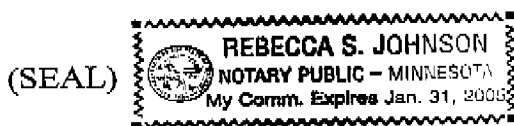
James B. Libby
James Brian Libby

STATE OF MINNESOTA

COUNTY OF WASHINGTON

Before me, a Notary Public in and for the County and State aforesaid, on this 17th day of December, 2003, personally appeared James Brian Libby, known to me to be the same person whose name is subscribed to the foregoing Assignment, who being duly sworn acknowledged that he signed, sealed and delivered the said Assignment as his free and voluntary act for the uses and purposes therein set forth.

IN WITNESS WHEREOF, I have hereunto set my hand and Notarial Seal.



Rebecca S. Johnson
NOTARY PUBLIC

My Commission Expires: Jan 31, 2015

01-December-2003

Date


Rodney Keith Williams

STATE OF MINNESOTA

COUNTY OF WASHINGTON


Before me, a Notary Public in and for the County and State aforesaid, on this 1st day of December, 2003, personally appeared **Rodney Keith Williams**, known to me to be the same person whose name is subscribed to the foregoing Assignment, who being duly sworn acknowledged that he signed, sealed and delivered the said Assignment as his free and voluntary act for the uses and purposes therein set forth.

IN WITNESS WHEREOF, I have hereunto set my hand and Notarial Seal

(SEAL)


NOTARY PUBLICMy Commission Expires: Jan 31, 200512-1-2003

Date


Anthony J. Lightfoot

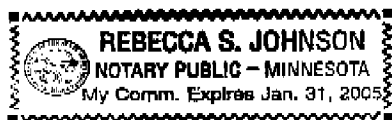
STATE OF MINNESOTA

COUNTY OF WASHINGTON

Before me, a Notary Public in and for the County and State aforesaid, on this 1st day of December, 2003, personally appeared **Anthony J. Lightfoot**, known to me to be the same person whose name is subscribed to the foregoing Assignment, who being duly sworn acknowledged that he signed, sealed and delivered the said Assignment as his free and voluntary act for the uses and purposes therein set forth.

IN WITNESS WHEREOF, I have hereunto set my hand and Notarial Seal

(SEAL)


NOTARY PUBLICMy Commission Expires: Jan. 31, 2005

PATENT ASSIGNMENT

WHEREAS, we, **OSCAR E. PENA A.**, having a mailing address at Philips Design London, 102/108 Clerkenwell Road, London EC1M 5SA, United Kingdom and **ALEX W.K. TAN**, having a mailing address at Philips Design Emmasingle 24, Building HWD, P. O. Box 218, 5600 MD Eindhoven, The Netherlands have contributed to certain new and useful inventions and improvements for which an application was filed for Letters Patent of the United States on March 26, 2003, application Serial No. 10/397,084, which is entitled **AUTOMATED MULTI-TASK WINDOW**.

AND WHEREAS, **KONINKLIJKE PHILIPS ELECTRONICS N.V.**, a Dutch corporation organized and existing under the laws of the Netherlands, having its registered head office at Groenewoudseweg 1, 5621 BA Eindhoven, The Netherlands, (referred to herein as "PHILIPS") was the employer of **OSCAR E. PENA A.** and **ALEX W.K. TAN** when they contributed to said inventions and improvements, and by virtue of this employment, PHILIPS owns Intellectual Property Rights to said inventions and improvements.

NOW THEREFORE, to all whom it may concern, be it known that for and in consideration of the consideration provided to **OSCAR E. PENA A.** and **ALEX W.K. TAN** by PHILIPS under their continuing employment relationship and for other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, **OSCAR E. PENA A.** and **ALEX W.K. TAN** have sold, assigned, and transferred, and by these presents do sell, assign and transfer unto PHILIPS, its successors or assigns, the entire right, title and interest for all countries in and to all inventions and improvements disclosed in the aforesaid U.S. Serial No. 10/397,084, and in and to the patent (or patent application), all divisions, continuations, or renewals thereof, all Letters Patent which may be granted therefrom, and all reissues or extensions of such patents, and in and to any and all applications which have been or shall be filed in any foreign countries for Letters Patent on the inventions and improvements, including an assignment of all rights under the provisions of the International Convention, and all Letters Patent of foreign countries which may be granted therefrom.

AND WHEREAS, **ANDERSEN CORPORATION**, a corporation organized and existing under and by virtue of the laws of the State of Minnesota, and having an office and place of business at 100 Fourth Avenue North, Bayport, Minnesota 55003-0000 (hereinafter

“ANDERSEN”) is desirous of acquiring the entire right, title and interest in and to said inventions, improvements and application in and to the Letters Patent to be obtained therefor;

AND WHEREAS, ANDERSEN and PHILIPS have entered into an agreement whereby ANDERSEN has provided monetary and other consideration to PHILIPS in order to have design work performed and to acquire Intellectual Property Rights to the subject of U.S. Serial No. 10/397,084.

NOW THEREFORE, to all whom it may concern, be it known that for and in consideration of the consideration provided to PHILIPS by ANDERSEN under their agreement and for other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, PHILIPS has sold, assigned, and transferred, and by these presents do sell, assign and transfer unto ANDERSEN, its successors or assigns, the entire right, title and interest for all countries in and to all inventions and improvements disclosed in the aforesaid U.S. Serial No. 10/397,084, and in and to the patent (or patent application), all divisions, continuations, or renewals thereof, all Letters Patent which may be granted therefrom, and all reissues or extensions of such patents, and in and to any and all applications which have been or shall be filed in any foreign countries for Letters Patent on the inventions and improvements, including as assignment of all rights under the provisions of the International Convention, and all Letters Patent of foreign countries which may be granted therefrom; and PHILIPS does hereby authorize and request the Commissioner of Patents and Trademarks to issue any and all United States Letters Patent for the aforesaid inventions and improvements to ANDERSEN as the assignee of the entire right, title and interest in and to the same, for the use of ANDERSEN, its successors and assigns.


AND, for the consideration aforesaid, PHILIPS, OSCAR E. PENA A. and ALEX W.K. TAN do hereby agree that PHILIPS, OSCAR E. PENA A. and ALEX W.K. TAN, their executors and legal representatives will make, execute and deliver other instruments in writing including any and all further application papers, affidavits, assignments and other documents which may be necessary or desirable more effectually to secure to and vest in ANDERSEN, its successors or assigns the entire right, title and interest in and to U.S. Serial No. 10/397,084, improvement applications or foreign applications based on it.

AND, furthermore PHILIPS, OSCAR E. PENA A., and ALEX W. K. TAN covenant and agree with ANDERSEN, its successors and assigns, that no assignment, grant, or mortgage affecting the rights and property herein conveyed has been made to others by PHILIPS, OSCAR E. PENA A., or ALEX W. K. TAN and that full right to convey the same as herein expressed is possessed by PHILIPS.

BY: 

OSCAR E. PENA A.

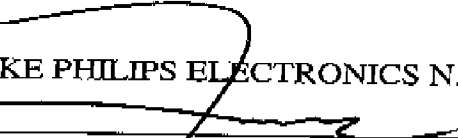
DATE: 16.04.03

BY: 

ALEX W. K. TAN

DATE: 5/4/03

KONINKLIJKE PHILIPS ELECTRONICS N.V.

BY: 

PRINT NAME Johannis Leendert VAN DER VEER

PRINT TITLE Authorized Representative

DATE: May 28, 2003