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## U.S. DEPARTMENT OF COMMERCE

## (REVISED) RECORDATION FORM COVER SHEET PATENTS ONLY

Patent and Trademark Office Docket No. 524022000100

To the Commissioner of Patents and Trademarks: Pleas	e record the attached original documents or copy thereof.	
Name of conveying party(ies):		
1) Dexu ZHU; 2) Mutsumi MURAMATSU; 3) Jianshu XIE; 4) Ni CHENG; 5) Ming-Wei WANG	2. Name and address of receiving party(ies):  Name: Shanghai East Best Biopharmaceutical Internal Address: Street Address: 2nd Floor, No. 200, Tian Lin Rd. City: Shanghai 200233 State: PR China	
☐ Individual(s) ☐ Association ☐ General Partnership ☐ Limited Partnership ☐ Corporation-State ☐ Other  Additional name(s) of conveying party(ies) attached? ☐ Yes ☐ No	and Name: NanjingUniversity Internal Address: Street Address: 22 Hankou Rd. City: Nanjing 210093 State: PR China	
3. Nature of conveyance:		
<ul> <li>✓ Assignment</li></ul>	Additional name(s) & address(es) attached? DYes PNo	
<ol> <li>Application number(s) or patent number(s):</li> </ol>		
If this document is being filed together with a new application, the ex-	xecution date of the application is:	
A. Patent Application No.(s) 09/975,136 Additional numbers attached? □ Yes ☒ No	B. Patent No.(s)	
<ol> <li>Name and address of party to whom correspondence concerning document should be mailed;</li> </ol>	6. Total number of applications and patents involved: One	
Peng Chen Morrison & Foerster 11. 3811 Valley Centre Drive Suite 500 San Diego, California 92130-2332	7. Total fee (37 C.F.R. § 3.41): \$40.00  ☐ Enclosed  ☐ Authorized to be charged to deposit account, referencing Attorney Docket 524022000100	
	8. Deposit account number: 03-1952	
The Commissioner is hereby authorized to charge any fees under 37 C.F.R. § 1.21 that may be required by this paper, or to credit any overpayment to <u>Deposit Ascount No. 03–1952</u> , ref 524022000100		
DO NOT USE THIS SPACE		
9. Statement and signature. To the best of my knowledge and belief, the copy of the original document.  Name: Peng Chen, Reg. No. 43,543  Signature	foregoing information is true and correct and any attached copy is a true	
Total number of pages comprising cover sheet, attachments and document: 4		

Mail documents to be recorded with required cover sheet information to: U.S. Patent and Trademark Office

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## ASSIGNMENT JOINT (REVISED)

THIS ASSIGNMENT, by Dexu ZHU, 25 Nan Xiu Cun, Apt. #503, Nanjing, Jiangsu Province 210008, PRC; Mutsumi MURAMATSU, Kitayama-Cho Iwasaki 6-2, Tokushima-Shi 770-8032, Japan; Jianshu XIE, Apt. 5018, Lane 399, Rende Rd., Shanghai, 200434, PRC; Ni CHENG, 4-501, Jingzhe Rd., Zhengjiang, Jiangsu Province, 212001, PRC; and Ming-Wei WANG, 7345 Celata Lane, San Diego, CA 92129-4552 (hereinafter referred to as the assignors), respectively, witnesseth:

WHEREAS, said assignors have invented certain new and useful improvements in METHODS AND COMPOSITIONS FOR TREATING OR PREVENTING BACTERIAL INFECTION, set forth in an application for Letters Patent of the United States, having an oath or declaration executed on even date herewith; bearing Serial No. 09/975,136 and filed on October 10, 2001; and

WHEREAS, SHANGHAI EAST BEST BIOPHARMACEUTICAL ENTERPRISES, CO., LTD., a corporation duly organized under and pursuant to the laws of CHINA and having its principal place of business at 2nd Floor, No. 200, Tian Lin Rd., Shanghai 200233, P.R. China and NANJING UNIVERSITY, a university in CHINA, and having its principal place of business at 22 Hankou Rd., Nanjing 210093, P.R. China (hereinafter referred to as the assigneess) are desirous of acquiring the entire right, title and interest in and to said inventions and said application for Letters Patent of the United States, and in and to any Letters Patent or Patents, United States or foreign, to be obtained therefor and thereon:

NOW, THEREFORE, in consideration of One Dollar (\$1.00) and other good and sufficient consideration, the receipt of which is hereby acknowledged, said assignors have sold, assigned, transferred and set over, and by these presents do sell, assign, transfer and set over, unto said assignees, its successors, legal representatives and assigns, the entire right, title and interest in and to the above-mentioned inventions, application for Letters Patent, and any and all Letters Patent or Patents in the United States of America and all foreign countries which may be granted therefor and thereon, and in and to any and all divisions, continuations and continuations-in-part of said application, or reissues or extensions of said Letters Patent or Patents, and all rights under the International Convention for the Protection of Industrial Property, the same to be held and enjoyed by said assignees, for its own use and the use of its successors, legal representatives and assigns, to the full end of the term or terms for which Letters Patent or Patents may be granted, as fully and entirely as the same would have been held and enjoyed by the assignors, had this sale and assignment not been made.

AND for the same consideration, said assignors hereby covenant and agree to and with said assignees its successors, legal representatives and assigns, that, at the time of execution and delivery of these presents, said assignors are the sole and lawful owners of the entire right, title and interest in and to said inventions and the application for Letters Patent above-mentioned, and that the same are unencumbered and that said assignors have good and full right and lawful authority to sell and convey the same in the manner herein set forth.

AND for the same consideration, said assignors hereby covenant and agree to and with said assignees, its successors, legal representatives and assigns, that said assignors will, whenever counsel of said assignees, or the counsel of its successors, legal representatives and assigns, shall

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Attorney Docket No.: 524022000100

advise that any proceeding in connection with said inventions, or said application for Letters Patent, or any proceeding in connection with Letters Patent for said inventions in any country, including interference proceedings, is lawful and desirable, or that any division, continuation or continuation-in-part of any application for Letters Patent or any reissue or extension of any Letters Patent, to be obtained thereon, is lawful and desirable, sign all papers and documents, take all lawful oaths, and do all acts necessary or required to be done for the procurement, maintenance, enforcement and defense of Letters Patent for said inventions, without charge to said assignees, its successors, legal representatives and assigns, but at the cost and expense of said assignees, its successors, legal representatives and assigns.

AND said assignors hereby request the Commissioner of Patents to issue said Letters Patent of the United States to said assignees as the assignees of said inventions and the Letters Patent to be issued thereon for the sole use of said assignees, its successors, legal representatives and assigns.

Nov. 10, 2003 Date	Dexu Dexu Dexu Dexu ZHU
Date	Dexti ZHU
Date	Mutsumi MURAMATSU
11/12 /2003	Frankhu Sile Jianshu XIE
Nov. 10, 2003	M' Cheng Ni CHENG
11/13/2003 Date	and
Date	Ming-Wei WANG

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advise that any proceeding in connection with said inventions, or said application for Letters Patent, of any proceeding in connection with Leners Patent for said inventions in any country, including interference proceedings, is lawful and desirable, or that any division, continuation or communitienin-part of any application for Letters Patent or any revisine or extension of any Letters Patent, to be obtained thereon, is lawful and desirable, sign all papers and documents, take all lawful oaths, and do all acts necessary or required to be done for the procurement, maintenance, enforcement and defense of Letters Patent for said inventions, without charge to said assignees, its successors, legal representatives and assigns, but at the cost and expense of said assignees, its successors, legal representatives and assigns.

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Nov. 10, 2003 Date	Dexu ZHU
Nov 10, 2003 Date	Mutsurai MURAMATSU
Date	Fianshu XIE
<i>Nov. 10 . 2</i> 003 Date	Mi Chang
Date	Ming-Wei WANG

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