FORM PTO-1595 (Modified)



102494195

Docket No.:

U.S. DEPARTMENT OF COMMERCE Patent and Trademark Office

Tab settings → → ▼	→ → →
To the Honorable Commissioner of Patents and Trademarks:	Please record the attached original documents or copy thereof.
1. Name of conveying party(ies): Xeotron Corporation 8275 El Rio, Suite 130 Houston, TX 77054-4634	Name and address of receiving party(ies): Name: Vanguard VII, L.P.
	Internal Address:
Additional names(s) of conveying party(ies)	
3. Nature of conveyance:	
☐ Assignment ☐ Merger	Street Address: 525 University Avenue, Suite 600
☐ Security Agreement ☐ Change of Name	
☑ Other UCC-1 Financing Statement	City: Palo Alto State: CA ZIP: 94301
Execution Date: July 2, 2003	Additional name(s) & address(es) attached? 🛛 Yes 🔲 No
Application number(s) or patent numbers(s): If this document is being filed together with a new application,	
A. Patent Application No.(s)	B. Patent No.(s)
09/897,106;	5,953,469
10/237,545; 10/245,801	
,	on the state of th
Additional numbers attac	hed? ☐ Yes ☒ No
Name and address of party to whom correspondence concerning document should be mailed:	6. Total number of applications and patents involved:
Name: Billy Murphy	7. Total fee (37 CFR 3.41):\$ 160.00
Internal Address: Vinson & Elkins L.L.P.	Enclosed - Any excess or insufficiency should be credited or debited to deposit account
7/10/ 2003 ECOOPER 00000053 09897106	·
01 FC:8021 160_00 PP	☐ Authorized to be charged to deposit account
Street Address: The Terrace 7,	8. Deposit account number:
2801 Via Fortuna, Suite 100	22-0365/XEO100.67002
City: Austru State: TX ZIP: 78746	(Attach duplicate copy of this page if paying by deposit account)
	USE THIS SPACE
 Statement and signature. To the best of my knowledge and belief, the foregoing information of the original document. 	ation is true and correct and any attached copy is a true copy
Billy Murphy Fixe	July 8, 2003
Name of Person Signing Total number of pages including cover sh	Signature 7 Date
rotal fluitiber of pages including cover si	icet, attachments, and document.

Mail documents to be recorded with required cover sheet information to:

PATENTS ONLY

(Attachment to Recordation Form Cover Sheet)

2. Names and Addresses of additional receiving parties:

Vanguard VII-A, L.P. 525 University Avenue, Suite 600 Palo Alto, CA 94301

Vanguard VII Accredited Affiliates Fund, L.P. 525 University Avenue, Suite 600 Palo Alto, CA 94301

Vanguard VII Qualified Affiliates Fund, L.P. 525 University Avenue, Suite 600 Palo Alto, CA 94301

Genesis Park L.P. 2001 Hermann Drive Houston, Texas 77004

Cogene BioTech Ventures, L.P. 711 Louisiana, Suite 3300 Houston, TX 77002

368538_1.DOC

A. NAME & PHONE OF	(front and back) (CONTACT AT FIL					
Tanice E. Palacios			2) 542-8478			
. SEND ACKNOWLED	GMENT 10: (Na	me and Address)	→ I			
Attn: Billy The Terrac 2801 Via F		00	THE ABOV	VE SPACE IS F	OR FILING OFFICE U	JSE ONLY
EBTOR'S EXACT F	ULL LEGAL NAME	- insert only one debtor name (1a or	1b) – do not abbreviate or combine names			
1a. ORGANIZATION'S NA	ME					
1b. INDIVIDUAL'S LAST N			FIRST NAME	MIDDLE N	AME	SUFFIX
MAILING ADDRESS 275 El Rio, Suite 130)		Houston	STATE	POSTAL CODE 77054-4634	USA
TAX ID #: SSN OR EIN	ADD'L INFO RE ORGANIZATION DEBTOR	1e. TYPE OF ORGANIZATION	11. JURISDICTION OF ORGANIZATION Delaware		NIZATIONAL ID#, if any	□ NONE
PRITICULA DEBEC		corporation				
DDITIONAL DEBIO: 2a. ORGANIZATION'S NA	ME EXACT FULL	LEGAL NAME – insert only one del	otor name (2a or 2b) - do not abbreviate or combin	ne names		
						. <u></u>
26. INDIVIDUAL'S LAST N	IAME		FIRST NAME	MIDDLE N	AME	SUFFIX
MAILING ADDRESS			CITY	STATE	POSTAL CODE	COUNTRY
MAILING ADDRESS	ADD'L INFO RE ORGANIZATION DEBTOR	2e. TYPE OF ORGANIZATION	CITY 2f. JURISDICTION OF ORGANIZATION		POSTAL CODE	COUNTRY
TAX ID #: SSN OR EIN	ORGANIZATION DEBTOR NAME (or NAME			2g. ORGAN		
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TAX ID # SSN OR EIN SECURED PARTY'S 3a. ORGANIZATION'S NA Vanguard VII, L.P. 3b. INDIVIDUAL'S LAST N	ORGANIZATION DEBTOR NAME (or NAME		21. JURISDICTION OF ORGANIZATION NOR S/P) — insert only <u>one</u> secured party name	2g. ORGAN e (3a or 3b) MIDDLE N.	AIZATIONAL ID#, if any	□ NONE
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ECURED PARTY'S 3a. ORGANIZATION'S NA Vanguard VII, L.P. 3b. INDIVIDUAL'S LAST N MAILING ADDRESS 25 University Avenue This FINANCING STATEM See Exhibit A	ON [if applicable]:	of TOTAL ASSIGNEE of ASSIG	2f. JURISDICTION OF ORGANIZATION NOR S/P) — insert only one secured party name FIRST NAME CITY Palo Alto part hereof.	2g. ORGAN e (3a or 3b) MIDDLE N. STATE CA SELLER/BUYER	AME POSTAL CODE 94301	SUFFIX COUNTRY USA

FILING OFFICE COPY - NATIONAL UCC FINANCING STATEMENT (FORM UCC1) (REV. 07/29/98)

	C FINANCING S OW INSTRUCTIONS		CAREFULLY	DITIONAL PARTY				
14. II	NITIAL FINANCING ST	TATEMENT FILE	# (same as item 1a on Amendmen	nt form)				
ſ	15a. ORGANIZATION'S N	AME	AMENDMENT (same as item 9	on Amendment form)				
	Xeotron Corpor		FIRST NAME	MIDDLE NAME, SUFFX				
16.N	IISCELLANEOUS				THE ABOVE S	SPACE IS	S FOR FILING OFFICE L	ISE ONLY
	ADDITIONAL DEBTO		LEGAL NAME - insert only one	name (17a or 17b) - co not accier	iate or combine names			
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17c.	MAILING ACCRESS			CITY		STATE	POSTAL COCE	COUNTRY
17d.	SEEINSTRUCTIONS	ADD'L INFO RE ORGANIZATION DEBTOR	17e. TYPE OF ORGANIZATION	17f. JURISDICTION OF CP3 A	N-ZATION I	17g. ORG	ANIZATIONAL ID #, if any	NONE
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18c.	MAILING ADDRESS		~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ 	CITY	·	STATE	POSTAL CODE	COUNTRY
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20.			AME (or Name of TOTAL ASSIGN	EE) - insert only <u>one</u> name 🖾 :	r 2Cb)			
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OR	Vanguard VII-, 205 INDIVIDUAL'S LAST	NAME		FIRST NAME		MIDDLE	NAME	SUFFIX
	POC. MAILING ACCRESS			СІТУ		STATE	POSTAL CODE	COUNTRY
_	25 University Ave			Palo Alto		CA	94301	USA
21.	21a ORGANIZATION'S N	IAME	AME (or Name of TOTAL ASSIGN	EE) - insert orly <u>ore</u> rame (21 a :	er 21b)			
OR	vanguard VII . 216 INDIVIDUAL'S LAST	NAME	ffiliates Fund, L.P.	FIRST NAME		MIDDLE	NAME	SUFFIX

FILING OFFICE COPY -- UCC FINANCING STATEMENT AMENDMENT ADDITIONAL PARTY (FORM UCC3AP) (REV. 05/22/02)

CITY

Palo Alto

21c. MAILING ACCRESS

525 University Avenue, Suite 600

PATENT REEL: 014242 FRAME: 0756

POSTAL CODE

94301

COUNTRY

USA

STATE

	C FINANCING LOW INSTRUCTIONS		F AMENDMENT ADI	OITIC	NAL PARTY					
14.1	INITIAL FINANCING S	STATEMENT FILE	हैं (same as item 1a on Amerome	rt form)						
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	Xeotron Corpo	ration								
OR	15b. INDIVIDUAL'S LAS	TNAME	FIRST NAME		MIDOLE NAME, SUFFIX					
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16.1	MISCELLANEOUS					THE ABOVE	E SPACE IS	s FOR FILING OFFIC	E USE O	NLY
17.	ADDITIONAL DEBT	OR'S EXACT FULL	LEGAL NAME - insert only gre	-а-те (*	17a or 17b) - do not abbrevi	ate or combine nam	es			
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CR			iliates Fund, L.P.							
	206. INDIVIDUAL'S LAS	ST NAME		FR	STNAME		MIDDLE	NAME	Sui	FFIX
30	: MAILING ADDRESS						STATE	POSTAL CODE	CO	UNTRY
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_2	MAILING ADDRESS OITY Houston TX 77004 USA									

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	C FINANCING S LOW INSTRUCTIONS		TAMENDMENT ADD CAREFULLY)ITIC	DNAL PARTY					
14, I	NITIAL FINANCING S	TATEMENT FILE	same as item 1a on Amendmen	nt form						
	NAME OF PARTY AU		AMENDMENT (same as item §	on An	nendment form)					
OR	Xeotron Corpo: 15b. INDIVIDUAL'S LAST	ration NAME	FIRST NAME		MIDDLE NAME, SUFFIX					
16.1	MISCELLANEOUS			_						
17	ADDITIONAL DEBTO	R'S EXACT FULL	LEGAL NAME - insert only one	name (17a or 17b) - do not abbrevi			S FOR FILING OFFICE	USE Of	(LY
	17a. ORGANIZATION'S N	AME								
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17a.	SEEINSTRUCTIONS	ADD'L INFO RE ORGANIZATION DEBTOR	7e. TYPE OF ORGANIZATION	17f.	JURISDICTION OF CRGAN	HZATICN	17g. ORG	ANIZATIONAL ID #, if any	<u></u>	NONE
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OR	18b. INDIVIDUAL'S LAST	NAME		FIR:	ST NAME		MIDDLE	NAME	SUFF	X
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OR	Cogene BioTec	ch Ventures, L	P.	FIR	ST NAME		MIDDLE	NAME	SuFi	FIX
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EXHIBIT A

PATENTS

	U.S. SERIAL NO.	U.S. PATENT NO.
FLUIDIC METHODS AND DEVICES FOR PARALLEL CHEMICAL REACTIONS	U.S. Serial No. 09/897,106 Filed 07-03-01	
	U.S. Serial No. 10/237,545 Filed 09-06-02 (Continuation of 09/897,106)	
	U.S. Serial No. 10/245,801 Filed 09-16-02 (Continuation-in-part of 09/897,106)	
OPTICAL DEVICE UTILIZING OPTICAL WAVEGUIDES AND MECHANICAL LIGHT- SWITCHES		U.S. Patent No. 5,953,469 Issued 09-14-99

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RECORDED: 07/08/2003