Docket No.: 15436.191 FORM PTO-1595 (Modified) U.S. DEPARTMENT OF COMMERCE 07-14-2003 (Rev. 03-01) OMB No. 0651-0027 (exp.5/31/2002) Patent and Trademark Office P08/REV03 Tab settings 102495372 J the attached original documents or copy thereof. To the Honorable Director of the United States 2. Name and address of receiving party(ies): 1. Name of conveying party(ies): Paul K. Rosenberg Gary Sasser Name: Finisar Corporation Chris Togami Donald A. Ice Internal Address: ☐ Yes ☒ No Additional names(s) of conveying party(ies) 3. Nature of conveyance: Street Address: 1308 Moffett Park Drive Merger Assignment ☐ Security Agreement Change of Name _____ State: <u>CA</u> ZIP: 94089 City: Sunnyvale Other Execution Date: June 30, 2003 Additional name(s) & address(es) attached?

Yes

No 10,60993 4. Application number(s) or patent numbers(s): If this document is being filed together with a new application, the execution date of the application is: June 30, 2003 B. Patent No.(s) A. Patent Application No.(s) 07/03/2003 HMARZI1 00000032 10609930 04 FC:AD21 Additional numbers attached? ☐ Yes ⊠ No 5. Name and address of party to whom correspondence 6. Total number of applications and patents involved: concerning document should be mailed: Name: Kevin W. Stinger 7. Total fee (37 CFR 3.41):....\$ 40.00 Internal Address: Enclosed - Any excess or insufficiency should be credited or debited to deposit account 022913 ☐ Authorized to be charged to deposit account 8. Deposit account number: PATENT TRADEMARK OFFICE Street Addre 23-3178 State: ____ ZIP: _ DO NOT USE THIS SPACE 9. Statement and signature. To the best of my knowledge and belief, the foregoing jn/formation is true and correct and any attached copy is a true copy of the original document. June 30, 2003 Kevin W. Stinger Date Name of Person Signing Total number of pages including cover sheet, attachments, and document:

Mail documents to be recorded with required cover sheet information to:

WHEN RECORDED RETURN TO:

PATENT APPLICATION
Docket No: 15436.191
Express Mail Label No. EV 320 234 633 US

Workman, Nydegger & Seeley 1000 Eagle Gate Tower 60 East South Temple Salt Lake City, Utah 84111

ASSIGNMENT

We,

- (1) Paul K. Rosenberg 1165 Ribier Court Sunnyvale, California 94087
- (2) Gary Sasser. 1510 McDaniel Ave. San Jose, CA 95126
- (3) Chris Togami 6643 Crystal Springs Drive San Jose, California 95120
- (4) Donald A. Ice 1002 Phoenix Ct. Milpitas, California 95035

respectively, have invented a method and apparatus entitled OPTICAL TRANSCEIVER HAVING A SINGLE OPTICAL SUBASSEMBLY hereinafter called the "invention."

Prefe	rred embodiments of	said invention	are disclosed	l in a Unite	d States patent
application e	executed concurrently	nerewith by us a	and now identi	fied as File N	o. 15436.191 of
the law firm	of Workman, Nydegg	er & Seeley, 100	0 Eagle Gate 1	Γower, 60 Eas	t South Temple,
Salt Lake Cit	ty, Utah 84111, and file	ed in the United	States Patent a	nd Trademark	Office as Serial
No	on	·	(We hereby	y authorize t	he attorneys of
Workman, N	ydegger & Seeley to ir	sert said serial n	umber and filin	ng date when l	cnown.)

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The Assignee, Finisar Corporation, a corporation of the State of Delaware, having a principal place of business at 1308 Moffett Park Drive, Sunnyvale, California 95089, desires to secure the entire right, title and interest in said invention.

In consideration of One Dollar (\$1.00) and other good and valuable consideration paid to us by the Assignee, the receipt and sufficiency of which we hereby acknowledge, WE HEREBY ASSIGN TO THE ASSIGNEE:

The entire right, title and interest in said invention in the above-identified United States patent application and in all divisions, continuations and continuations-in-part of said application, or reissues or extensions of Letters Patent or Patents granted thereon, and in all corresponding applications filed in countries foreign to the United States, and in all patents issuing thereon in the United States and foreign countries.

The right to file foreign patent applications on said invention in its own name, wherever such right may be legally exercised, including the right to claim the benefits of the International Convention for such applications.

We hereby authorize and request the United States Commissioner of Patents and Trademarks, and such Patent Office officials in foreign countries as are duly authorized by their patent laws to issue patents, to issue any and all patents on said invention to the Assignee as the owner of the entire interest, for the sole use and behoof of the said Assignee, its successors, assigns and legal representatives.

We hereby agree, without further consideration and without expense to us, to sign all lawful papers and to perform all other lawful acts which the Assignee may request of us to make this Assignment fully effective, including, by way of example but not of limitation, the following:

Prompt execution of all original, divisional, substitute, reissue, and other United States and foreign patent applications on said invention, and all lawful documents requested by the Assignee to further the prosecution of any of such patent applications.

Cooperation to the best of our ability in the execution of all lawful documents, the production of evidence, nullification, reissue, extension, or infringement proceedings involving said invention.

This assignment and agreement shall be binding upon our heirs and legal representatives.

DATED this $\frac{30}{200}$ day of $\frac{\text{JUNE}}{2003}$, 2003.

Paul K. Rosenberg

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Docket No. 15436.191

REEL: 014247 FRAME: 0944

DATED this 30^{1H} day of ______, 2003.

DATED this 30 day of _______, 2003.

Chris Togami

DATED this 30th day of Jone, 2003.

Donald A. Ice

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Docket No. 15436.191

RECORDED: 06/30/2003

PATENT REEL: 014247 FRAME: 0947