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Altera Docket No. 01590.0004-US-01

U.S. Department of Commerce

Patent and Trademark Office



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To the Honorable Commissioner of Patents and Trademarks: Please record this document and its attachments or copy thereof

1. Name of conveying party(ies)

Rolf W. GÜNTHER
Thomas SCHMITZ-RODE

7-14-03

2. Name and address of receiving party(ies):

Cardiotools Herzchirurgietechnik GmbH
Hagneower Strasse 73
19061 Schwerin
GERMANYAdditional names(s) of conveying party(ies) ☐ Yes ☒ NoAdditional name(s) & addresses attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

Execution Date: 19 December 1997

4. Application number(s) or patent number(s)

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application Number(s)

Patent Number(s)
6,238,421/ SN 08/911,658Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Iain A. McIntyre
Address: Altera Law Group
6500 City West Parkway, Suite 100
Minneapolis, Minnesota 55344-7704

6. Total number of applications and patents involved: 1

7. Total fee (37 C.F.R. §3.41) \$40.00

- ☒ Enclosed
☐ Authorized to charged to deposit account

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To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Iain A. McIntyre
Name of Person Signing
SignatureJuly 9, 2003
Date

Total number of pages including cover sheet, attachments, and document: 4

CERTIFICATE UNDER 37 C.F.R. 1.8: The undersigned hereby certifies that this Transmittal Letter and the paper, as described herein, are being deposited in the United States Postal Service, as first class mail, with sufficient postage, in an envelope addressed to: Mail Stop Assignment Recordation Services, Director - US Patent and Trademark Office, P.O. Box 1450, Arlington, VA 22313-1450 on July 9, 2003.

Iain A. McIntyre
Name
Signature

07/15/2003 LUPELLER 00000302 6238421

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PATENT
REEL: 014250 FRAME: 0665

INSTRUCTIONS

INTERNATIONAL ASSIGNMENT TO CORPORATION

WHEREAS,

Inventor(s) Name,
Address, Citizenship

1. Rolf W. Günther residing at Brüsseler Ring 73, 52074 Aachen and a citizen of Germany;
2. Thomas Schmitz-Rode residing at Kupferstraße 5, 62070 Aachen and a citizen of Germany;
3. _____ residing at _____ and a citizen of _____;

Date Patent Declaration
Signed and Title Serial
No. and filing date (if
known)

(herein called "THE UNDERSIGNED") have made an invention and have executed an application for Letters Patent of the United States on even date herewith for this invention, which application is entitled INDUCTION HEATING DEVICE AND METHOD FOR METALLIC IMPLANTS IN LIVING BEINGS and which has been given Serial Number 08/911,658 and the filing date of August 15, 1997;

Name of Corporation

AND WHEREAS CARDIOTOOLS Herzchirurgietechnik GmbH (herein called "ASSIGNEE"), a corporation organized under the laws of Germany, and having an office and place of business at Hagener Strasse 73, 19061 Schwerin, Germany

State or Country of
Incorporation and Address

wishes to acquire the entire right, title and interest in and to said invention and patent application and any Letters Patent to be obtained therefor;

NOW, THEREFORE, for and in consideration of the sum of One Dollar and other good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, THE UNDERSIGNED hereby sell, assign and transfer to the ASSIGNEE, its successors and assigns, the entire right, title and interest for all countries in and to the invention disclosed in the aforesaid application, and in and to the said application, all divisions, continuations, or renewals thereof, all Letters Patent which may be granted therefrom, and all reissues or extensions of such patents, and in and to any and all applications which have been or shall be filed in any foreign countries for Letters Patent on the said invention including as assignment of all rights under the provisions of the International Convention, and all Letters Patent of foreign countries which may be granted therefrom; and THE UNDERSIGNED hereby authorize and request the Commissioner of Patents to issue any and all United States Letters Patent for the aforesaid invention to the ASSIGNEE of the entire right, title and interest in and to the same, for the use of the ASSIGNEE, its successors and assigns.

THE UNDERSIGNED hereby agree that THE UNDERSIGNED, their executors and legal representatives will make, execute and deliver (without charge but at the expense of the ASSIGNEE) any and all other instruments in writing including any and all further application papers, affidavits, assignments and other documents, and will communicate to said ASSIGNEE, its successors and representatives all facts known to THE UNDERSIGNED relating to said invention and the history thereof and will testify in all legal proceedings and generally do all things which may be necessary or desirable to vest the ASSIGNEE, its successors or assigns the entire right, title and interest in and to the said invention, applications, Letters Patent, rights, titles, benefits, privileges and advantages hereby sold, assigned and conveyed, or intended so to be.

THE UNDERSIGNED represent and agree with said ASSIGNEE its successors and assigns, that no assignment grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been or will be made to others by THE UNDERSIGNED, and that full right to convey the same as herein expressed is possessed by THE UNDERSIGNED.

IN TESTIMONY WHEREOF, THE UNDERSIGNED have hereunto set their hand on the dates indicated below.

Each inventor must sign &
dateNote: No legalization or
other witness required

R. Günther
Signature
Thomas Schmitz-Rode
Signature
W. H. Rode
Signature
2.1.98

19-12-1997
Date
19-12-1997
Date
2.1.98
Date