U.S. DEPARTMENT OF COMMERCE

07-15-2003

HEET

Patent and Trademark Office Docket No. 246152018800

To the Commissioner of Paleurs and Trademarks: Please record the attached original documents or copy thereof.		
1. Name of conveying party(ies): 1) Vytautas-Juozapas Kajetono SVEDAS; 2) Dorel Teodor GURANDA; 3) Roger Arthur SHELDON; 4) Frederik RANTWIJK VAN; 5) Lukas Michael LANGEN VAN ☐ Individual(s) ☐ Association ☐ General Partnership ☐ Limited Partnership ☐ Corporation-State ☐ Other	2. Name and address of receiving party(ies): Name: DSM N.V. Internal Address: * Street Address: Het Overloon 1 City: Te Heerlen State: THE NETHERLANDS ZIP: 6411	
Additional name(s) of conveying party(ies) attached? ☐Yes ᠖No	Additional name(s) & address(es) attached? 口 Yes 国 No	
3. Nature of conveyance:		
 ☑ Assignment ☐ Merger ☐ Security Agreement ☐ Change of Name ☐ Other: Execution Date: 1) 24/03/2003; 2) 24/03/2003; 3) 3/4/2003; 4) 3/4/2003; 5) 		
3/4/2003		
4. Application number(s) or patent number(s):10/363,361		
If this document is being filed together with a new application, the execution date of the application is:		
A. Patent Application No.(s)	B. Patent No.(s)	
Additional numbers attached? *□ Yes ☑ No		
5. Name and address of party to whom correspondence concerning	6. Total number of applications and patents involved: 1	
document should be mailed: Kate H. Murashige Morrison & Foerster LLP 3811 Valley Centre Drive Suite 500 San Diego, California 92130-2332	 7. Total fee (37 C.F.R. § 3.41): \$40.00 ☐ Enclosed ☑ Authorized to be charged to deposit account, referencing Attorney Docket 246152018800 	
	8. Deposit account number: <u>03-1952</u>	
The Commissioner is hereby authorized to charge any fees under 37 C.F.R. § 1.21 that may be a NOTE USE		
DO NOT USE THIS SPACE		
9. Statement and signature. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Name: Kate H. Murashige Registration No: 29,959 June 20, 2003 Date		
Total number of pages comprising cover sheet, attachments and document: 3		
Mail documents to be recorded with required cover sheet information to: U.S. Patent and Trademark Office Office of Public Records Box Assignments Crystal Gateway 4, Room 335 Washington, D.C. 20231		

PATENT REEL: 014252 FRAME: 0483

Attorney Docket No.: (docketno)

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JOINT

THIS ASSIGNMENT, by

Vytautas-Juozapas Kajetono ŠVEDAS; Dorel Teodor GURANDA; Roger Arthur SHELDON; Frederik RANTWIJK VAN; Lukas Michaël LANGEN VAN

(hereinafter referred to as the assignors), (respectively) residing at

Matvejevskaya 10-5-309, Moscow 199517, Russia; DS MSU D-633 Moscow, Vorob'ev Hills 117234, Russia; Regentesselaan 13, 2281 VA Rijswijk, the Netherlands; Groensvoorde 104, 2742 DL Waddinxveen, the Netherlands Parallelweg 17, 2613 SC Delft, the Netherlands

respectively, witnesseth:
WHEREAS, said assignors have invented certain new and useful improvements in (FOR),
set forth in an application for Letters Patent of the United States, _______[having an
oath or declaration executed on even date herewith;] bearing Serial No. ______ and
filed on ______; and entitled:

METHOD FOR THE PREPARATION OF ENANTIOMERICALLY ENRICHED AMINES.

WHEREAS, DSM N.V. a corporation duly organized under and pursuant to the laws of The Netherlands and having its principal place of business at Het Overloon 1, 6411 TE HEERLEN, The Netherlands (hereinafter referred to as the assignee*) is desirous of acquiring the entire right, title and interest in and to said invention and said application for Letters Patent of the United States, and in and to any Letters Patent or Patents, United States or foreign, to be obtained therefor and thereon:

NOW, THEREFORE, in consideration of One Dollar (\$1.00) and other good and sufficient consideration, the receipt of which is hereby acknowledged, said assignors have sold, assigned, transferred and set over, and by these presents does sell, assign, transfer and set over, unto said assignee*, its successors, legal representatives and assigns, the entire right, title and interest in and to the above-mentioned inventions, application for Letters Patent, and any and all Letters Patent or Patents in the United States of America and all foreign countries which may be granted therefor and thereon, and in and to any and all divisions, continuations and continuations-in-part of said application, or reissues or extensions of said Letters Patent or Patents, and all rights under the International Convention for the Protection of Industrial Property, the same to be held and enjoyed by said assignee*, for its own use and the use of its successors, legal representatives and assigns, to the full end of the term or terms for which Letters Patent or Patents may be granted, as fully and entirely as the same would have been held and enjoyed by the assignors, had this sale and assignment not been made.

AND for the same consideration, said assignors hereby covenant and agree to and with said assignee* its successors, legal representatives and assigns, that, at the time of execution and delivery of these presents, said assignors are the sole and lawful owners of the entire right, title and interest in and to said inventions and the application for Letters Patent above-mentioned, and that the same are unencumbered and that said assignors have good and full right and lawful authority to sell and convey the same in the manner herein set forth.

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PATENT REEL: 014252 FRAME: 0484

AND for the same consideration, said assignors hereby covenant and agree to and with said assignee*, its successors, legal representatives and assigns, that said assignors will, whenever counsel of said assignee, or the counsel of its successors, legal representatives and assigns, shall advise that any proceeding in connection with said inventions, or said application for Letters Patent, or any proceeding in connection with Letters Patent for said inventions in any country, including interference proceedings, is lawful and desirable, or that any division, continuation or continuationin-part of any application for Letters Patent or any reissue or extension of any Letters Patent, to be obtained thereon, is lawful and desirable, sign all papers and documents, take all lawful oaths, and do all acts necessary or required to be done for the procurement, maintenance, enforcement and defense of Letters Patent for said inventions, without charge to said assignee*, its successors, legal representatives and assigns, but at the cost and expense of said assignee*, its successors, legal representatives and assigns.

AND said assignors hereby request the Commissioner of Patents to issue said Letters Patent of the United States to said assignee* as the assignee* of said inventions and the Letters Patent to be issued thereon for the sole use of said assignee*, its successors, legal representatives and assigns.

24	SO	2003
Date	,	

24.	03	. 2003
Tate		

Dorel Teodor GURANDA

3-4-2003

RECORDED: 06/24/2003

Lukas Michael LANGEN VAN