

07-15-2003

Docket No.: LUX-P005



RECORDATION C

102496686

UMENT

The Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

6.24.03

16447 U.S. PTO
10/606297
06/24/03

Dear Sir:
Please record the attached original documents or copy thereof.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

1. Name of conveying party:	Execution Date:
Inventor (s): Gunn III, Lawrence C.	<u>6/24/03</u>
Pinguet, Thierry J.	<u>6/24/03</u>
Rattier, Maxime Jean	<u>6/24/03</u>
Ji, Zhen-Li	<u>6/24/03</u>
Witzens, Jeremy	<u>6/24/03</u>
Serial No: (not yet assigned)	Group Art Unit: (Not yet assigned)
Filed: <u>6/24/2003</u>	Examiner: (Not yet assigned)

2. Name and Address of
Receiving party: **Luxtera, Inc**
1819 Aston Avenue
Carlsbad, CA 92008

3. Nature of Conveyance: Assignment
4. Patent Application(s) / Patent Number(s): (not yet assigned)
New Patent Application Entitled:

“INTEGRATED OPTICAL AND ELECTRONIC DEVICES”

5. Name and address of party to whom correspondences concerning document should be mailed:

Name: **DENNIS S. FERNANDEZ, ESQ.**
Address: **FERNANDEZ & ASSOCIATES, LLP**
PATENT ATTORNEYS
PO BOX D
MENLO PARK, CA 94026-6204
PHONE: (650) 325-4999 FAX: (650) 325-1203
EMAIL: *iploft@iploft.com*

10606297

6. Total Number of applications and patents involved: ONE

7. Fee Calculation
Assignment Recordation Fee \$40.00
Total Fees (37 CFR 3.41): \$40.00 X Enclosed
_____ Authorized to be charged to Deposit Account

8. Deposit Account Number:
In case Applicant inadvertently miscalculated any required fee, the Commissioner is hereby authorized to charge the necessary additional amount associated with this communication or credit any overpayment to **Deposit Account No: 500482**. A duplicate copy of this authorization is enclosed.

9. Statement and signature:
To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

DENNIS S. FERNANDEZ, ESQ
Reg. No. 34,160

Date: 6/24/03

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PATENT TRADEMARK OFFICE

PATENT
REEL: 014252 FRAME: 0705

Docket No. : LUX-P005

ASSIGNMENT

Whereas, We, LAWRENCE C. GUNN III, THIERRY J. PINGUET, MAXIME JEAN RATTIER, ZHEN-LI JI, and JEREMY WITZENS, having agreed to assign the below identified invention to LUXTERA, INC ("LUXTERA"), a Delaware corporation having its principal place of business at 1819 Aston Avenue, Carlsbad, CA 92008 for good and valuable consideration, receipt of which is hereby acknowledged, I do hereby sell, assign, and transfer unto LUXTERA, its successors and assigns, the entire right, title, and interest, including the right of priority in, to and under an application for Letters Patents of the United States entitled:

INTEGRATED OPTICAL AND ELECTRONIC DEVICES

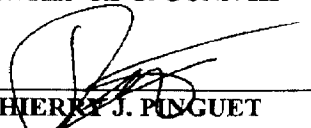
_____ filed herewith and the inventions set forth and described therein, and any and all Letters Patent of the United States and of countries foreign thereto which may be granted thereon or therefor; or

- Serial No.: 60/391,278, filed on June 24, 2002
- Serial No.: 60/393,489, filed on July 3, 2002
- Serial No.: 60/393,490, filed on July 3, 2002
- Serial No.: 60/393,485, filed on July 3, 2002
- Serial No.: 60/393,683, filed on July 3, 2002
- Serial No.: 60/393,682, filed on July 3, 2002


and the inventions set forth and described therein, and any and all Letters Patent of the United States and of countries foreign thereto which may be granted thereon or therefor;

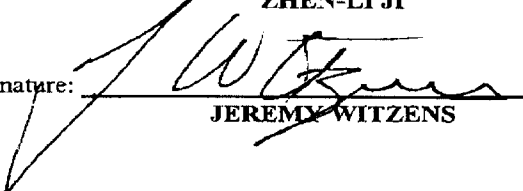
And for the above consideration, we agree promptly upon request of LUXTERA, its successors or assigns, to execute and deliver without further compensation any power of attorney, assignment, application, whether original, continuation, divisional, or reissue, or other papers which may be necessary or desirable fully to secure to LUXTERA, its successors and assigns, the inventions described in said application and all patent rights therein, in the United States and in any country foreign thereto, and to cooperate and assist in the prosecution of interference proceedings involving said inventions and in the adjudication or reexamination of said Letters Patent.

Inventor's Signature:  Date: 6/24/03
LAWRENCE C. GUNN III

Inventor's Signature:  Date: 6/24/03
THIERRY J. PINGUET

Inventor's Signature:  Date: 6/24/2003
MAXIME JEAN RATTIER

Inventor's Signature:  Date: 6/25/2003
ZHEN-LI JI

Inventor's Signature:  Date: 6/24/2003
JEREMY WITZENS

PATENT