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102499604

Attorney Docket No.:  
RPS920020124US

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof

1. Name of conveying party(ies):

**Richard Hunter Harris, Lawrence Arthur Heyl,  
Jonathon Hudson Connell II, Hollis Phillip Posey**

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies)

Name: **International Business Machines Corporation**

Internal Address:

Street Address: **New Orchard Road**

City: **Armonk** State/Country: **New York** Zip: **10504**

Additional name(s) and address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other \_\_\_\_\_

Execution Date: June 6, 2003

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: **June 26, 2003**

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: **Brian C. Kunzler**

Internal Address:

Street Address: **10 West 100 South, Suite 450**

City: **Salt Lake City** State: **Utah** ZIP: **84101**

6. Total number of applications and patents involved: **1**

7. Total fee (37 CFR 3.41) ..... \$ **40.00**

☐ Enclosed

☒ Authorized to be charged to deposit account (any deficiencies)

8. Deposit account number:

**50-0563**

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9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

**Brian C. Kunzler**

Name of Person Signing

Signature

**June 26, 2003**

Date

Total number of pages including cover sheet, attachments, and document: 5

Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents and Trademarks, Box Assignments  
Washington, D.C. 20231

**PATENT**  
**REEL: 014265 FRAME: 0675**

**PATENT****ASSIGNMENT**

Whereas, I/ we,

**Richard Hunter Harris**  
County of Wake

City of Raleigh  
State of North Carolina

**Lawrence Arthur Heyl**  
County of Wake

City of Raleigh  
State of North Carolina

**Jonathan Hudson Connell II**  
County of Westchester

City of Cortlandt-Manor  
State of New York

**Hollis Phillip Posey**  
County of Wake

City of ~~Raleigh~~ Cary <sup>ms</sup>  
State of North Carolina

have invented certain improvements in

Title: **Apparatus, Method, And System For Positively Identifying An Item**

and executed a United States patent application therefor on the following date(s):

(1) 6/2/2003 (2) 6/2/2003  
(3) \_\_\_\_\_ (4) 6/2/2003, and

Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the application and invention, and to any United States and foreign patents to be obtained therefor;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, I/we, the above named, hereby acknowledge my/our prior existing obligation and hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of the United States application, and I/we request the Commissioner of Patents to issue any Letters Patent granted upon the invention set forth in the application to IBM, its successors and assigns; and I/we hereby agree that IBM may apply for foreign Letters Patent on the invention and I/we will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

**PATENT****ASSIGNMENT**

Whereas, I/ we,

**Richard Hunter Harris**  
County of Wake

City of Raleigh  
State of North Carolina

**Lawrence Arthur Heyl**  
County of Wake

City of Raleigh  
State of North Carolina

**Jonathan Hudson Connell II**  
County of Westchester

City of Cortlandt-Manor  
State of New York

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Title: **Apparatus, Method, And System For Positively Identifying An Item**

and executed a United States patent application therefor on the following date(s):

(1) \_\_\_\_\_ (2) \_\_\_\_\_  
(3) 6/6/03 (4) \_\_\_\_\_, and

Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the application and invention, and to any United States and foreign patents to be obtained therefor,

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, I/we, the above named, hereby acknowledge my/our prior existing obligation and hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of the United States application, and I/we request the Commissioner of Patents to issue any Letters Patent granted upon the invention set forth in the application to IBM, its successors and assigns; and I/we hereby agree that IBM may apply for foreign Letters Patent on the invention and I/we will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

Signed and sealed:

CITY/STATEDATESIGNATURERaleigh, NC6/2/2003Richard Hunter Harris  
Richard Hunter HarrisRaleigh, NC6/2/2003Lawrence Arthur Heyl  
Lawrence Arthur HeylJonathan Hudson Connell II  
Jonathan Hudson Connell IICary, NC6/2/2003Hollis Phillip Posey  
Hollis Phillip Posey

Signed and sealed:

CITY/STATEDATESIGNATURE

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**Richard Hunter Harris**

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**Lawrence Arthur Heyl**

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*Hawthorne, New York*

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*6/6/03*

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**Jonathan Hudson Connell II**

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**Hollis Phillip Posey**

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\*\* TOTAL PAGE. 11 \*\*

PATENT

RECORDED: 06/26/2003

REEL: 014265 FRAME: 0679