## DT20 Rec'd PCT/PTO 2 & JUN 2003

Docket No.: FIS920030128 07-21-2003 RM PTO-1595 (Modified) U.S. DEPARTMENT OF COMMERCE эv. 03-01) 1В No. 0651-0027 (exp.5/31/2002) Patent and Trademark Office \_PCT/US 03 / 1.9 rab settings 🔷 🔷 🔷 Name of conveying party(ies): 2. Name and address of receiving party(ies): Michael C. Gaidis Le. 7 Le .U3 Name: International Business Machines Corporation Internal Address: . Nature of conveyance: Street Address: New Orchard Road Assignment Merger Security Agreement Change of Name City: Armonk Other State: NY ZIP: 10504 Execution Date: 6/23/03 . Application number(s) or patent numbers(s): If this document is being filed together with a new application, the execution date of the application is: 6/23/03 A. Patent APRESY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE U.S. POSTAL SERVICE AS EXPRESS B. Patent No.(s) MAIL IN AN ENVELOPE ADDRESSED TO: COMMISSIONER OF PATENTS AND TRADEMARKS, WASHINGTON, D.C. 20231 PCT 4303 19773 APPLICANT AND/OR ATTORNEY REQUESTS THE DATE OF DEPOSIT AS THE FILE DATE 6/24/03 DATE OF DEPOSIT BY SIGNATURE & DATE: 7 Additional numbers attached? 🔲 Yes 🔲 No Name and address of party to whom correspondence 6. Total number of applications and patents involved: concerning document should be mailed: Name: International Business Machines Corporation 7. Total fee (37 CFR 3.41):....\$ 40.00 Internal Address: Margaret A. Pepper, B300, Zip 482 Enclosed - Any excess or insufficiency should be credited or debited to deposit account Authorized to be charged to deposit account ATENT TRADEMARK OFFICE 8. Deposit account number: Street Address: 2070 Route 52 07/01/2003 LLANDGRA 00000005 090458 PCT/US03/19773 09-0458 FI-238 05 FU:BUZI 40.00 DA City: Hopewell Junction ZIP: 12533 State: NY DO NOT USE THIS SPACE Statement and signature. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Margaret A. Pepper, Reg. No. 45,008 June 24, 2003 Name of Person Signing Date Total number of pages including cover sheet, attachments, and document:

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## PATENT ASSIGNMENT FORM

For and in consideration of good and valuable consideration, the receipt and sufficiency thereof being hereby acknowledged, each undersigned inventor acknowledges his/her prior and ongoing obligation to sell, assign, and transfer, and agrees to sell, assign, and transfer the entire world wide right, title and interest for the United States and all foreign countries, in and to any and all inventions and improvements conceived during and within the scope of his/her employment, and further acknowledges that he/she has sold, assigned, and transferred, and hereby sells, assigns, and transfers, unto:

INTERNATIONAL BUSINESS MACHINES CORPORATION ("IBM"), Armonk, New York 10504

a corporation of New York, and IBM desires to acquire all right, title, and interest, in and to the certain inventions (identified below), applications, and any United States and foreign patents to be obtained therefor relating to:

Title of Invention for PLANAR MAGNETIC TUNNELJUNCTION SUBSTRATE HAVING RECESSED ALIGNMENT MARKS
United States Patent

| as set forth in United States Patent Application executed by each unoby each inventor signature below, and further identified by Attorney |          |
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for and to said United States Patent Application including any and all divisions or continuations thereof and in and to any and all Letters Patent of the United States and foreign patents and all rights of priority to be obtained therefor which may issue on any such application or for said invention therein disclosed, including any and all reissues or extensions thereof, to be held and enjoyed by, its successors, legal representatives and assigns to the full end of the term or terms for which any and all such Letters Patent may be granted as fully and entirely as would have been held and enjoyed by the undersigned had this Assignment not been made;

Each undersigned inventor hereby authorizes and requests the Commissioner of Patents and Trademarks to issue any and all such Letters Patent to IBM, its successors and assigns, in accordance herewith;

Each undersigned inventor warrants and covenants that he/she has the full and unencumbered right to sell and assign the interests hereby sold and assigned and that he/she has not executed and will not execute any document or instrument in conflict herewith;

Each undersigned inventor further covenants and agrees that he/she, upon the request and at the expense of the IBM, will execute and deliver any papers, make all rightful oaths, testify in any legal proceeding relating to said inventions and improvements, communicate to the IBM all facts known to the undersigned relating to such inventions and improvements and the history thereof; and perform all other lawful acts deemed necessary or desirable by IBM, and its legal representatives, to secure, maintain, and enforce patent protection for such inventions and improvements and for vesting title to such inventions and improvements in the IBM, and in particular to perfect title to said above-identified certain inventions, and applications including divisions and continuations thereof, and any and all Letters Patent which may be granted therefor or thereon, including reissues, extensions, or counterparts;

Each undersigned inventor hereby grants the IBM and the firm of the power to insert in this Assignment any further identification which may be necessary or desirable for recordation of this Assignment.

This assignment is governed by the substantive laws of the state of New York.

PATENT REEL: 014273 FRAME: 0142 **DOCKET NO. FIS920030128US1** 

Executed by Inventor 1 of 1

Signature:

Date

Michael C. Gaidis

**RECORDED: 06/26/2003** 

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