07-21-2003 U.S. DEPARTMENT OF IEET RECO. COMMERCE Patent and Trademark Office 102501586 Ionorable Commission ........ documents or copy thereof Name(s) of conveying party/parties: 2. Name and address of receiving party 2) William L. Bliss, Jr. 1) Luis Casco-Arias International Business Machines Corporation 4) Thomas D. 3) Stephen Biondi New Orchard Road Christopherson Armonk, New York 10504 5) Charles S. Gauthier 6) Katherine A. Imming 8) Christopher H. Wicher 7) Brian L. Peterson Additional Names Attached? Yes X No 3. Nature of Conveyance Assignment Х Merger Change of Name Security Agreement Other: Execution Date(s): 7/4, 6/6, 6/25, 5/28, 5/29, 5/27, 6/25, Additional Names Attached? Yes No 6/04, 2003 4. Application number(s) or patent number(s): 10/439,570 - Filed May 16, 2003 7/4, 6/6, 6/25, 5/28, 5/29, If this document is being filed with a new application, the execution date of the application 5/27, 6/25, 6/04, 2003 A. Patent Application No(s) B. Patent No(s) Additional Numbers Attached? Yes X No 5. Name and Address of party to whom 6. Total Number of applications and patents correspondence concerning the document should be involved: 1 sent: Name: Gerald R. Woods 7. Total fee (37 CFR 3.41) .....\$ 40 Internal Address: Enclosed IBM Corporation, T81/503 Charge to deposit account Street Address: 8. Deposit account Number: 09-0461 PO Box 12195 (Attach duplicate copy of this page if paying by deposit account City/State/Zip: Res. Tri. Park, NC 27709 DO NOT USE THIS SPACE 9. Statement and signature: To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. wald RWoods Gerald R. Woods July 10, 2003 Name of Person Signing Date Signature 7/18/2003 STEN11: 10439570 90000119 990461 1 FC:8021 **60 In** SWG.wpt 05152003

> PATENT REEL: 014278 FRAME: 0743

	Total Number of pages including cover sheet, attachments and document 5
	Mail documents to be recorded with required cover sheet information to: Commissioner for Patents; PO Box 1450; Alexandria, VA 22313-1450
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PATENT REEL: 014278 FRAME: 0744

## **ASSIGNMENT**

## Whereas, we

- -1 Luis Casco-Arias
- of: Austin, TX
- and (2) William L. Bliss, Jr.
  - of: Wellesley, MA
- and (3) Stephen Biondi
  - of: Brookfield, CT
- and (4) Thomas D. Christopherson
  - of: Rochester, MN
- and (5) Charles S. Gauthier
  - of: Stewartville, MN
- and (6) Katherine A. Imming
  - of: Rochester, MN
- and (7) Brian L. Peterson
  - of: Ridgefield, CT
- and (8) Christopher H. Wicher
  - of: Raleigh, NC

have invented certain improvements in

## Information Technology Portfolio Management

and have executed, respectively, a United States patent application therefor on

-17/4/03 -26/6/03 -36/25/03 -45/28/03 -55/29/03 -65/27/03

-76/25/03 -86/4/03

And whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor:

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged. we the above named hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and we request the Commissioner of Patents to issue any Letters Patent granted upon the invention set forth in said application to IBM, its successors and assigns; and we hereby agree that IBM may apply for foreign Letters Patent on said invention and we will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

SIGNED AND SEALED

(1) at: AUSTIN, TX

on: 7/4/63

Luis Casco-Arias

and (2) at: While on: 6/6/03

William L. Bliss, Jr.

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<i>IRM</i>	Docket	No.:	RSW9	2003	0094119	<b>S</b> 1
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and (3) at:	Some
on:	6/25/03

and (4) at: Rochester, Mh on: 5/28/03

and (5) at: Rochester, MN
on: 5/29/03

and (6) at: Rochester, MN on: 5/27/2003

and (7) at: Some NY
on: 6/25/03

and (8) at: RTI, NC
on: 6/4/203

**RECORDED: 07/14/2003** 

Stephen Biondi

Thomas D. Christopherson

Charles S. Gauthier

Katherine A. Imming

Katherine a. Jumes

Brian L. Peterson

Christoph H. Wiele

Christopher H. Wicher

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