

07-23-2003



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Name Cathryn Tatchek

Date

July 2 2003

Signature:

**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of : Alok Mani Srivastava, et al.  
For : GREEN PHOSPHOR FOR GENERAL  
ILLUMINATION APPLICATIONS  
Serial No. : To be assigned  
Filed : Herewith  
Attorney Docket No. : 125444  
GLOZ 2 00125 US

15956 U.S. PTO

10/612117



07/02/03

**ASSIGNMENT TRANSMITTAL LETTER**

Mail Stop – Patent Application  
Commissioner For Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Please record the attached original documents thereof.

1. Name of Party(ies) conveying an interest:

Alok Mani Srivastava  
Anant Achyut Setlur  
Holly Ann Comanzo

2. Name of Party(ies) receiving an interest:

GELcore, LLC  
6180 Halle Drive  
Valley View, Ohio 44125

3. Description of the interest conveyed:

☒ Assignment ☐ Change of Name  
☐ Security Agreement ☐ Merger

**PATENT**

**REEL: 014286 FRAME: 0674**

4. These documents are being filed together with a new application, the execution date of which is June 23, 2003.
5. Name and address of Party to whom correspondence concerning this document should be mailed:

Scott A. McCollister  
Reg. No. 33,961  
FAY, SHARPE, FAGAN,  
MINNICH & McKEE, LLP  
1100 Superior Avenue, 7th Floor  
Cleveland, Ohio 44114-2518


6. One patent application is involved.
7. Amount of fee enclosed or authorized to be charged: \$40.00.
8. Deposit Account Number (attached duplicate copy of this form if paying by Deposit Account): 06-0308.
9. The assignment shows its was signed on: June 23, 2003
10. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Total Number of pages including cover sheet (2 page); and documents (2 pages); Total: 4 pages.

Respectfully submitted,

**FAY, SHARPE, FAGAN,  
MINNICH & McKEE, LLP**

July 2, 2003  
Date

  
\_\_\_\_\_  
Scott A. McCollister, Reg. No. 33,961  
Joseph E. Waters, Reg. No. 50,427  
1100 Superior Ave., Seventh Floor  
Cleveland, OH 44114-2518  
(216) 861-5582

# ASSIGNMENT

We, Alok Mani SRIVASTAVA, Anant Achyut SETLUR and Holly Ann COMANZO, all of Niskayuna, New York, having invented certain new and useful improvements in:

## GREEN PHOSPHOR FOR GENERAL ILLUMINATION APPLICATIONS

for which we are about to make application for Letters Patent of the United States, the said application having been executed by us on the date indicated below, do hereby, in consideration of One Dollar (\$1.00) and other good and valuable consideration, receipt of which is hereby acknowledged, sell, assign, and transfer unto:

GELcore LLC

a Delaware limited liability corporation, its successors and assigns, the full and exclusive right, title, and interest in and to the said invention in the United States and its territorial possessions, and in all foreign countries with all rights under the International Convention including the right to claim priority, and the entire and exclusive right, title, and interest in and to any and all Letters Patent which may be granted therefor in the United States and its territorial possessions and in any and all foreign countries, and in and to any and all divisions, reissues, continuations, and extensions thereof.

We, therefore, authorize and request the Patent Office officials in the United States and in any and all foreign countries to issue any and all Letters Patent when granted, solely to the said:

GELcore LLC

for its sole use, and that of its successors, and assigns.

Signed at Niskayuna, on this 23 day of June 2003.

Alok Mani Srivastava  
Alok Mani Srivastava

STATE OF New York )  
COUNTY OF Schenectady ) SS

Personally appeared before me this day, the above named Alok Mani Srivastava, to me personally known, who acknowledged that he signed the foregoing instrument for the uses and purposes therein mentioned, and that the same is his free act and deed.

In testimony whereof, I have hereunto set my hand and affixed my seal, this 23<sup>rd</sup> day of June 2003.

RITA M. LYNCH  
Notary Public, State of New York  
Qual. in Rensselaer Co. No. 01LY6079764  
(Seal) Commission Expires 8/29/06

Rita M. Lynch  
Notary Public

Signed at Niskayuna, on this 23 day of June 2003.

Anant Achyut Setlur  
Anant Achyut Setlur

STATE OF New York )  
COUNTY OF Schenectady ) SS

Personally appeared before me this day, the above named Anant Achyut Setlur, to me personally known, who acknowledged that he signed the foregoing instrument for the uses and purposes therein mentioned, and that the same is his free act and deed.

In testimony whereof, I have hereunto set my hand and affixed my seal, this 23<sup>rd</sup> day of June 2003.

RITA M. LYNCH  
Notary Public, State of New York  
Qual. in Rensselaer Co. No. 01LY6079764  
(Seal) Commission Expires 8/29/06

Rita M. Lynch  
Notary Public

Signed at N. Y. City 23 on this June day of June 2003.

Holly Ann Comanzo  
Holly Ann Comanzo

STATE OF New York  
COUNTY OF Schenectady } SS

Personally appeared before me this day, the above named Holly Ann Comanzo, to me personally known, who acknowledged that she signed the foregoing instrument for the uses and purposes therein mentioned, and that the same is her free act and deed.

In testimony whereof, I have hereunto set my hand and affixed my seal, this 23rd day of June 2003.

Rita M. Lynch  
Notary Public

(Seal) RITA M. LYNCH  
Notary Public, State of New York  
Qual. in Rensselaer Co. No. 01LY6079764  
Commission Expires 8/23/06