

Form PTO-1595

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U.S. DEPARTMENT OF COMMERCE
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To the Honorable Commissioner of Patents and Trademarks: Please Record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Jeffrey E. Habben

Shuping Jiao

Additional name of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other _____Execution Date: 01/23/04; 01/24/04

2. Name and address of receiving party(ies)

Name: Pioneer Hi-Bred International, Inc.

Internal Address: _____

Street Address: 800 Capital Square400 Locust StreetCity: Des Moines State: IA Zip: 50309Additional Name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

10/702,812

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning this document should be mailed:

Name: Karen K. VarleyInternal Address: Pioneer Hi-Bred International, Inc.Corporate Intellectual PropertyStreet Address: 7100 NW 62nd AvenueP.O. Box 1000City: Johnston State: IA Zip: 50131-10006. Total number of applications and patents involved: [1]7. Total fee (37 CFR 3.41) \$ 40.00☐ Enclosed☒ Authorized to be charged to deposit account

8. Deposit account number:

16-1852

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*Karen K. Varley

Name of Person Signing

Karen K. Varley
SignatureJanuary 26, 2004

Date

Total number of pages including cover sheet, attachments, and documents: [5]

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents & Trademarks, Box Assignments

Washington, D.C. 20231

700062478

PATENT
REEL: 014288 FRAME: 0763

CH \$40.00 161862 10702812

Serial No.: 10/702,812
Attorney Docket No. 1516
Filed: 11/06/03

CASE NO. 1516

ASSIGNMENT - WORLDWIDE

For good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, and in fulfillment of a pre-existing obligation of assignment, each undersigned inventor has sold and assigned, and by these presents hereby sells and assigns, as indicated below, unto

PIONEER HI-BRED INTERNATIONAL, INC.
800 Capital Square
400 Locust Street
Des Moines, Iowa 50309

its successors and assigns, the entire right, title and interest, so far as concerns the United States and the Territories and Possessions thereof and all foreign countries in and to the invention in **"AUXIN-REPRESSED, DORMANCY-ASSOCIATED PROMOTER AND USES THEREOF"**

as set forth in this United States Patent Application

- ☐ executed concurrently herewith
- ☐ executed on
- ☒ Serial No. 10/702,812 filed November 6, 2003

said application for United States Letters Patent, including all divisional, renewal, substitute, continuation and Convention applications based in whole or in part upon said inventions or upon said applications, and any and all Letters Patent and reissues and extensions of Letters Patent granted for said inventions or upon said applications and every priority right that is or may be predicated upon or arise from said inventions, said applications, and said Letters Patent; said Assignee being hereby authorized to file patent applications in any or all countries on any or all said inventions in the name of the undersigned or in the name of said Assignee or otherwise as said Assignee may deem advisable, under the International Convention or otherwise; the Commissioner of Patents and Trademarks of the United States of America being hereby authorized to issue or transfer all said Letters Patent to said Assignee in accordance herewith; this assignment being under covenant, not only that full power to make the same is had by the undersigned, but also that such assigned right is not encumbered by any grant, license, or other right theretofore given, and that the undersigned will do all acts reasonably serving to ensure that the said inventions, patent applications and Letters Patent shall be held and enjoyed by said Assignee as fully and entirely as the same could have been held and enjoyed by the undersigned if this assignment had not been made, and particularly to execute and deliver to said Assignee all lawful documents including

Serial No.: 10/702,812
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 Filed: 11/06/03

petitions, specifications, oaths, assignments, invention disclaimers, and lawful affidavits in form and substance which may be requested by said Assignee, to furnish said Assignee with all facts relating to said inventions or the history thereof and any and all documents, photographs, models, samples or other physical exhibits which may be of said inventions, and to testify in any proceedings relating to said inventions, patent applications, Letters Patent.

The undersigned hereby grant an authorized representative of Assignee the power to insert in this Assignment any further identification which may be necessary or desirable to comply with the rules of the U.S. Patent and Trademark Office for recordation of this Assignment.

NAME AND SIGNATURE OF INVENTOR

Name: Jeffrey E. Habben	Signature: <i>Jeffrey E. Habben</i>	Date: <i>1/23/04</i>
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NAME AND SIGNATURE OF WITNESSES

Name: <i>Toni Farris</i>	Signature: <i>Toni K Farris</i>	Date: <i>01/23/04</i>
Name: <i>Alice Pendergast</i>	Signature: <i>Alice Pendergast</i>	Date: <i>1/23/04</i>

NAME AND SIGNATURE OF INVENTOR

Name: Shuping Jiao	Signature: <i>Shuping Jiao</i>	Date: <i>1/24/04</i>
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NAME AND SIGNATURE OF WITNESSES

Name: <i>Toni K. Farris</i>	Signature: <i>Toni K Farris</i>	Date: <i>01/24/04</i>
Name: <i>Alice Pendergast</i>	Signature: <i>Alice Pendergast</i>	Date: <i>1/24/04</i>