Form <b>PTO-15</b> 95 (Rev. 10/02)	ET U.S. DEPARTMENT OF COMMERCE U.S. Patent and Trademark Office
OMB No. 0651-0027 (exp. 6/30/200 Tab settings ⇒ ⇒ ▼	9
	Please record the attached original documents or copy thereof.
1. Name of conveying party(ies): 7~//_ 5 3  John S. Hsu Curtis W. Ayer  Donald Joe Adams Chester Coomer  Gui-Jia Su  Laura D. Marlino  Additional name(s) of conveying party(ies) attached? Yes No	2. Name and address of receiving party(ies)  Name: UT-Battelle, LLC  Internal Address: MS 6498
3. Nature of conveyance:	
Assignment Merger  Security Agreement Change of Name	Street Address: P.O. Box 2008
Other	
_ 7/11/2003	City: Oak Ridge State: TN Zip: 37831-6498
Execution Date:	Additional name(s) & address(es) attached? Yes V
If this document is being filed together with a new appl  A. Patent Application No.(s)	B. Patent No.(s)
5. Name and address of party to whom correspondence	tached? Yes No
concerning document should be mailed: Name: Kirk A. Wilson	6. Total number of applications and patents involved: 7. Total fee (37 CFR 3.41)\$\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\
111B Union Valley Road Internal Address:	Enclosed  Authorized to be charged to deposit account
Stroot Address: P.O. Box 2008	8. Deposit account number:
Street Address: P.O. Box 2008  UT-Battelle, LLC	13-1958
City: Oak Ridge State: TN Zip: 37831-6498	
DO NOT USE	THIS SPACE
9. Signature.	
Kirk A. Wilson Name of Person Signing	ik a Wilson 7/11/2003 Signature Date
Mail documents to be recorded with	er sheet, attachments, and documents:
Commissioner of Patents &	Trademarks, Box Assignments 1, D.C. 20231

40.00 56

**PATENT REEL: 014295 FRAME: 0434** 

## ASSIGNMENT

WHEREAS, I the below-named inventor whose residence and post office are as stated below, am a co-inventor of certain new and useful improvements in

## Methods and Apparatus for Thermal Management of Vehicle Systems and Components

(hereinafter referred to as "Subject Invention") for which an application for United States Letters Patent was executed by me on even date herewith, and

WHEREAS, UT-BATTELLE, LLC, a limited liability company of the State of Tennessee, having an office at 111-B Union Valley Road, P. O. Box 2008, Oak Ridge, Tennessee 37831-6498, hereinafter referred to as "ASSIGNEE," is desirous of acquiring the entire right, title and interest of the undersigned in the Subject Invention and to any Letters Patent that may be granted therefor in the United States of America and in any and all foreign countries.

NOW, THEREFORE, in accordance with the terms of my employment agreement with ASSIGNEE and for good and valuable consideration, the receipt of which is hereby acknowledged, I hereby assign to ASSIGNEE my entire right, title and interest in the Subject Invention to all rights of priority thereto pursuant to the International Convention for the Protection of Industrial Property, and in any Letters Patent on the Subject Invention that may be granted in the United States of America and in any and all foreign countries, including any and all Letters Patent that are divisions, reissues, continuations, substitutions or extensions of any Letters Patent or applications therefor on the Subject Invention.

I hereby authorize and request the Commissioner of the United States Patent and Trademark Office and the officials of any and all foreign countries to issue any and all of said Letters Patent, when granted, to the ASSIGNEE.

Further, I hereby agree to communicate to said ASSIGNEE or its representatives any facts known to me respecting said Subject Invention, to testify in any legal proceedings, sign all lawful papers, execute all divisional, continuation, substitution, renewal and reissue applications, to execute all necessary assignment papers to cause any and all of said Letters Patent to be issued to said ASSIGNEE, and to make all rightful oaths and generally do everything possible to aid said ASSIGNEE and its representatives to obtain and enforce proper protection for said Subject Invention in the United States of America and in any and all foreign countries.

I further agree that the terms, covenants and conditions of this assignment shall inure to the benefit of ASSIGNEE, its successors, assigns, and legal representatives, and shall be binding on me, my heirs, legal representatives, and assigns.

1

PATENT REEL: 014295 FRAME: 0435

Full name of inventor: John Sneungenun Hsu
Signature: 5, Hsu Date: 7/11/2003
Post Office Address: 105 Edinboro Lane, #B-20, Oak Ridge, Tennessee 37830
State of Tennessee )
County of Anderson )
On this day of, 20_3, before me, a Notary Public in and for the County and State aforesaid, appeared John Shongchun Hsu to me personally known to be the same person whose name is subscribed to the foregoing instrument, and acknowledged that he executed said instrument as his free and voluntary act and for the uses and purposes therein expressed.
WITNESS my hand and seal the day and year last above given.
Kuk Quillan Notary Public

**SEAL** 

SEAL
My Commission Expires: March 30, 200 5

Full name of inventor:	Donald Joe Adams
Signature:	ld Jose alama Date: 7/11/2003
Post Office Address:	12813 Peach View Drive, Knoxville, Tennessee 37922
State of Tennessee County of Anderson	146 Confederacy Way  ) sya 7/11/2003
subscribed to the foregoin	ny of, 20, before me, a Notary Public in and for the County red Donz d Joe Adams to me personally known to be the same person whose name is ng instrument, and acknowledged that he executed said instrument as his free and sees and purposes therein expressed.
WITNESS my ha	and and seal the day and year last above given.
	Notary Public
SEAL My Commission Expires:	March 30, 2005

Signature:
Post Office Address: -50 Elomre Lane, Knoxville, TN 37922 350 GN 7/11/03
State of Tennessee ) County of Anderson )  On this day of, 20, before me, a Notary Public in and for the County and State aforesald, appeared, appeared, 20
and State aforesald, appeared Ga-Jia Sa to me personally known to be the same person whose name is subscribed to the foregoing instrument, and acknowledged that he executed said instrument as his free and voluntary act and for the uses and purposes therein expressed.
WITNESS my hand and seal the day and year last above given.
Motary Public
SEAL My Commission Expires: March 30 2005

Full name of inventor:

Gui-Jia Su

Fun hame of inventor.
Signature: Jan V- Mulin= Date: 7/11/2003
Post Office Address: 134 Newport Drive, Oak Ridge, Tennessee 37830
State of Tennessee )
County of Anderson )
On this, day of, 20_3, before me, a Notary Public in and for the County and State aforesaid, appeared Laur D. Marlino to me personally known to be the same person whose name is subscribed to the foregoing instrument, and acknowledged that he executed said instrument as his free and voluntary act and for the uses and purposes therein expressed.
WITNESS my hand and seal the day and year last above given.
Notary Public

SEAL My Commission Expires: March 30, 2005

**RECORDED: 07/11/2003**