

DTIC REF ID: A63164

U.S. DEPARTMENT OF COMMERCE



Patent and Trademark Office  
Docket No. 246472004400

102507160

To the Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

3.5.03

2. Name and address of receiving party(ies):

Waldemar Link (GmbH & Co.)  
Barkhausenweg 10, D-22339  
Hamburg, Germany

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment

☐ Security Agreement☐ Other:

☐ Merger

☐ Change of Name

Execution Date: January 20, 2003

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

10363164

If this document is being filed together with a new application, the execution date of the application is: January 20, 2003

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Barry E. Bretschneider  
Morrison & Foerster LLP  
1650 Tysons Boulevard  
Suite 300  
McLean, Virginia 22102

6. Total number of applications and patents involved: 1

7. Total fee (37 C.F.R. § 3.41): \$40.00

☐ Enclosed

☒ Authorized to be charged to deposit account, referencing Attorney Docket 246472004400

8. Deposit account number: **03-1952**

The Commissioner is hereby authorized to charge any fees under 37 C.F.R. § 1.21 that may be required by this paper, or to credit any overpayment to **Deposit Account No. 03-1952**

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Name: Barry E. Bretschneider  
Registration No: 28,055

Signature \_\_\_\_\_

March 5, 2003

Date \_\_\_\_\_

Total number of pages comprising cover sheet, attachments and document: 2

**Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents and Trademarks  
Box Assignments  
Washington, D.C. 20231**

**PATENT**  
**REEL: 014296 FRAME: 0864**

ASSIGNMENT

Whereas, I/we       Arnold Keller, a subject of Germany  
of                   An der Naherfurth 5, D-23863 Kayhude / Germany  
have invented certain new and useful improvements in  
Surgical instrument for inserting an intervertebral endiprosthesi  
for which application for Letters Patent in the United States of America

☐ is about to be made;  
☒ has been made.

And whereas,       Waldemar Link (GmbH & Co.)  
of                   Barkhausenweg 10, D-22339 Hamburg / Germany  
is/are desirous of acquiring an interest therein and in the Letters Patent to be obtained therefor;

NOW THEREFORE, be it known by all whom it may concern, that for good and valuable consideration (the sufficiency of which is hereby acknowledged) I/we have assigned, transferred and set over, and by these presents do assign, transfer and set over unto the said


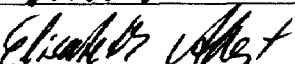
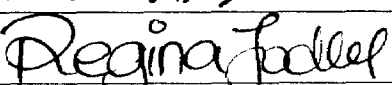
Waldemar Link (GmbH & Co.)

for the territory of the United States of America, and not elsewhere; the full and exclusive right, title, and interest in and to the said invention, as fully set forth and described in the specification

☐ prepared and executed by me on  
☒ filed in the U.S. Patent Office under  
Serial No.       PCT/EP01/15357  
on               December 28, 2001

preparatory to obtaining Letters Patent therefor; said invention application and Letters Patent to be held and enjoyed by the said   Waldemar Link (GmbH & Co.) to the full end of the term for which said Letters Patent are granted as fully and entirely as the same would have been held by me/us had this assignment and transfer not been made.

In testimony whereof, I/we have hereunto set my/our hands on and as of the date set forth below.

<u>WITNESS</u>	<u>INVENTORS</u>	<u>SIGNATURE</u>	<u>DATE</u>
-----	Arnold Keller		20.01.2003
Elisabeth Albert	-----		20.01.2003
Regina Jockel	-----		20.01.2003