

Docket No.: 101221-651

FORM PTO-1586 (Modified)
(Rev. 03-01)
OMB No. 0551-0027 (exp. 5/31/2002)
P08/REV03

RECORDATION FORM COVER SHEET

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To the Director of the United States Patent and Trademark Office: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Thomas J. Karol, Steven G. Donnelly

2. Name and address of receiving party(ies):

Name: R.T. Vanderbilt Company, Inc.

Internal Address: _____

Additional names(s) of conveying party(ies)

☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other _____Street Address: 30 Winfield StreetCity: NorwalkState: CT ZIP: 06855

Execution Date: _____

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent numbers(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

B. Patent No.(s)

10/678,408

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Bruce S. LondaInternal Address: Norris, McLaughlin & Marcus PAStreet Address: 220 East 42nd Street, 30th floorCity: New York State: NY ZIP: 10017

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41):.....\$ 40.00☐ Enclosed - Any excess or insufficiency should be credited or debited to deposit account☒ Authorized to be charged to deposit account

8. Deposit account number:

14-1263

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Bruce S. Londa

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and document: 2-5-04

Mail documents to be recorded with required cover sheet information to:
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PATENT

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101221-651

ASSIGNMENT

WHEREAS, WE, Thomas J. Karol, citizen of United States of America, residing in Holualoa-Kona, state of Hawaii; whose post office address is 76-5917H Mamaloha Highway, Holualoa-Kona, Hawaii 96725; and Steven G. Donnelly, citizen of United States of America, residing in Bethel, state of Connecticut; whose post office address is 22 Linda Lane, Bethel, CT 06801 have made an invention entitled "Synergistic Organoborate Compositions and Lubricating Compositions Containing Same" as set forth and described in application for Letters Patent of the United States of America, Serial No. 10/678,408, filed October 2, 2003; and

WHEREAS, R.T. VANDERBILT COMPANY, INC., a corporation organized and existing under the laws of the state of New York, and having an office for the transaction of business at 30 Winfield Street, Norwalk, Connecticut 06855, is desirous of acquiring the entire right, title and interest in and to said invention and any improvements thereon, and in and to the said application for Letters Patent therefor, and any Letters Patent which may be obtained therefor;

NOW THEREFORE, TO ALL WHOM IT MAY CONCERN, BE IT KNOWN, that WE, the said Thomas J. Karol and Steven G. Donnelly, for good and valuable consideration have sold, assigned, transferred and conveyed and by these presents do sell, assign, transfer and convey, unto said R.T. VANDERBILT COMPANY, INC. its successors and assigns, their entire right, title and interest in and to the said invention entitled "Synergistic Organoborate Compositions and Lubricating Compositions Containing Same" as set forth and described in application for Letters Patent of the United States of America, Serial No. 10/678,408, filed October 2, 2003, and any and all improvements thereon, and in and to said application and any division, continuation or continuation-in-part thereof, and in and to any Letters Patent of the United States of America which may be issued on any of said applications, and any reissues thereof, and in and to any and all applications for Letters Patent filed in foreign countries for said invention or improvements including all priority rights, and any and all Letters Patent which may be granted in foreign countries therefor, TO HAVE AND TO HOLD THE SAME to the full end of the term or terms for which any and all said Letters Patent may be granted;

AND WE, the said Thomas J. Karol and Steven G. Donnelly do hereby authorize and request the Commissioner of Patents and Trademarks to issue the said Letters Patent of the United States of America to said R.T. VANDERBILT COMPANY, INC., as the assignee of our entire right, title and interest in and to the same, for the sole use and benefit of said R.T. VANDERBILT COMPANY, INC., its successors and assigns;

AND WE, the said Thomas J. Karol and Steven G. Donnelly for the considerations aforesaid, do hereby covenant and agree to and with said R.T. VANDERBILT COMPANY, INC. its successors and assigns, that we have the full power to make this assignment, and that the rights assigned are not encumbered by any grant, license or right heretofore given, and that we, our executors or

administrators, shall and will do all lawful acts and things and make, execute and deliver without further compensation, any and all other instruments in writing, further applications, papers, affidavits, powers of attorney, assignments, and other documents which, in the opinion of counsel for said R.T. VANDERBILT COMPANY, INC., its successors and assigns, may be required or necessary more effectively to secure to and vest in said R.T. VANDERBILT COMPANY, INC., its successors and assigns, our entire right, title and interest in and to said invention and improvements, applications, Letters Patent, rights, titles, benefits, privileges, and advantages hereby sold, assigned, transferred and conveyed, and that we will sign any applications for reissue which may be desired by the owner of the patent or patents which may be issued for the said invention or improvements.

IN WITNESS WHEREOF, WE, the said Thomas J. Karol and Francis S. Cheng have hereunto set our hands and seal on the date below written.


Thomas J. Karol

STATE OF CONNECTICUT)

COUNTY OF Fairfield) ss:

BE IT KNOWN, that on this 30 day of October, 2003, before me personally came Thomas J. Karol, to be known and known to me to be the person mentioned in and who executed the foregoing assignment, and he acknowledged to me that he executed the same as his free act and deed for the use and purposes therein mentioned.

ILZE BULS
NOTARY PUBLIC
MY COMMISSION EXPIRES
OCT 31, 2007


Notary Public


Steven G. Donnelly

STATE OF CONNECTICUT)

COUNTY OF Fairfield) ss:

BE IT KNOWN, that on this 30 day of October, 2003, before me personally came Steven G. Donnelly, to be known and known to me to be the person mentioned in and who executed the foregoing assignment, and he acknowledged to me that he executed the same as his free act and deed for the use and purposes therein mentioned.

ILZE BULS
NOTARY PUBLIC
MY COMMISSION EXPIRES
OCT 31, 2007


Notary Public