

07-30-2003

Form PTO-1595 (Rev. 10/02)

REC

U.S. DEPARTMENT OF COMMERCE U.S. Patent and Trademark Office

OMB No. 0651-0027 (exp. 6/30/2005)



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Tab settings

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Ronald D. Upton, Harry A. Brancheau, Robert J. Reese, E. Duane Daddis, Jr., Timothy J. Roberts

7-21-03

2. Name and address of receiving party(ies)

Name: Carrier Commercial Refrigeration, Inc.

Internal Address: Suite 401

Street Address: 1245 Corporate Boulevard

City: Aurora State: IL Zip: 60504

Additional name(s) of conveying party(ies) attached? Yes No

3. Nature of conveyance:

- Assignment, Merger, Security Agreement, Change of Name, Other

07/15/2003, 07/14/2003, 07/14/2003

Execution Date: 07/15/2003, 07/08/2003;

Additional name(s) & address(es) attached? Yes No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s) 10/421,575 filed on April 23, 2003

B. Patent No.(s)

Additional numbers attached? Yes No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: William W. Habelt

Internal Address: Carrier Corporation

P.O. Box 4800

Street Address: Carrier Parkway

City: Syracuse State: NY Zip: 13221

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41).....\$ 40.00

- Enclosed, Authorized to be charged to deposit account

8. Deposit account number:

03-0835 - Carrier Corporation

DO NOT USE THIS SPACE

9. Signature.

William W. Habelt Name of Person Signing

[Signature] Signature

July 21, 2003 Date

07/29/2003 DRYRNE 06000071 030035 10421575 Number of pages including cover sheet, attachments, and documents: 6

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Mail documents to be recorded with required cover sheet information to: Commissioner of Patents & Trademarks, Box Assignments Washington, D.C. 20231

ASSIGNMENT

IN CONSIDERATION of One Dollar (\$1.00) and other good and valuable consideration, receipt of which is hereby acknowledged, We, being the inventors of a certain invention as fully set forth and described in a patent application entitled **REFRIGERATED MERCHANDISER WITH FOUL-RESISTANT CONDENSER** (Carrier Docket No. 10527A):

executed by: RONALD D. UPTON
residing at: 110 Rivergate Trace
Spartanburg, South Carolina 29303

on this 15th day of July, 2003

and by: HARRY A. BRANCHEAU
residing at: 149 Waterford Drive
Inman, South Carolina 29349

on this 14th day of July, 2003

executed by: ROBERT J. REESE
residing at: 806 Oakcrest Road
Spartanburg, South Carolina 29301

on this 15th day of July, 2003

and by: E. DUANE DADDIS, JR.
residing at: 4586 Pauli Drive
Manlius, New York

on this 8th day of July, 2003

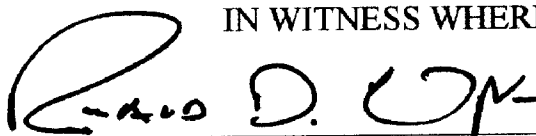
executed by: TIMOTHY J. ROBERTS
residing at: 469 South Oakley Lane
Spartanburg, South Carolina 29301

on this 14th day of July, 2003

being the owners of all right, title and interest in and to the invention described therein, free from all prior assignments, agreements, licenses, mortgages, or other encumbrances whatsoever, and having full right to convey the entire interest both legal and equitable herein assigned, do hereby sell, assign, transfer and set over unto CARRIER COMMERCIAL REFRIGERATION, INC., a Delaware corporation, with an office and principal place of business at 1245 Corporate Boulevard, Suite 401, Aurora, Illinois 60504, its successors, assigns and legal representatives, the entire right, title and interest in and to said invention and application (including all inventions disclosed therein) in and for all countries, and all divisions, reissues, continuations, and extensions thereof. We hereby authorize and request the Commissioner of Patents to issue the said Letters Patent to said CARRIER COMMERCIAL REFRIGERATION, INC., as Assignee.

AND we hereby covenant and agree that we shall at any time, upon request, without further compensation, testify in any interference proceedings, execute all divisional, continuing or reissue applications, make all rightful oaths, and generally execute and deliver any and all papers or instruments that may be necessary or desirable to secure to said Assignee the full enjoyment of the rights and properties herein conveyed or intended to be conveyed.

IN WITNESS WHEREOF, we have hereunto set our hand and seal.



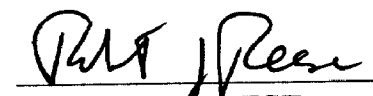
RONALD D. UPTON (L.S.)

Date: 7/15/03




HARRY A. BRANCHEAU (L.S.)

Date: 7/14/03



ROBERT J. REESE (L.S.)

Date: 7/15/03



E. DUANE DADDIS, JR. (L.S.)

Date: 7/8/03



TIMOTHY J. ROBERTS (L.S.)

Date: 7/14/03

On this 15th day of July, 2003, before me the subscriber, personally came RONALD D. UPTON, to me known, and known to me to be the person described in and who executed the foregoing instrument, and duly acknowledged to me that he executed the same as his free act and deed.

Amy B. Raines
Notary Public
Commission Expires 11/15/2011

STATE OF South Carolina)
COUNTY OF Spartanburg) SS:

(seal)

On this 15th day of July, 2003, before me the subscriber, personally came HARRY A. BRANCHEAU, to me known, and known to me to be the person described in and who executed the foregoing instrument, and duly acknowledged to me that he executed the same as his free act and deed.

Amy B. Raines
Notary Public
Commission Expires 11/15/2011

STATE OF South Carolina)
COUNTY OF Spartanburg) SS:

(seal)

On this 15th day of July, 2003, before me the subscriber, personally came ROBERT J. REESE, to me known, and known to me to be the person described in and who executed the foregoing instrument, and duly acknowledged to me that he executed the same as his free act and deed.

Amy B. Raines

Notary Public
Commission Expires 11/15/2011

STATE OF South Carolina)
COUNTY OF Spartanburg) SS:

(seal)

On this 8th day of July, 2003, before me the subscriber, personally came E. DUANE DADDIS, JR., to me known, and known to me to be the person described in and who executed the foregoing instrument, and duly acknowledged to me that he executed the same as his free act and deed.

Karen F. Gilman

Notary Public

KAREN F. GILMAN
Notary Public in the State of New York
Qualified in Onondaga County No. 4799308
My Commission Expires February 28, 2006

STATE OF NEW YORK)
COUNTY OF ONONDAGA) SS:

(seal)

On this 15th day of July, 2003, before me the subscriber, personally came TIMOTHY J. ROBERTS, to me known, and known to me to be the person described in and who executed the foregoing instrument, and duly acknowledged to me that he executed the same as his free act and deed.

Amy B. Raines
Notary Public
Commission Expires 11/15/2011

STATE OF South Carolina)
COUNTY OF Spartanburg) SS:

(seal)

The patent application referred to in the foregoing instrument was filed in the United States Patent and Trademark Office on April 23, 2003 and was assigned Serial Number 10/421,575.

William W. Habelt
WILLIAM W. HABELT
Reg. No. 29,162

July 21, 2003
Date