

A 10581-40

10/416319

FEDERAL EXPRESS MAIL #EU798117433US
FILE NO. A34280-PCT-USA 067691.0183

07-30-2003

RECORDAT
PADT08 Rec'd PCT-USA 08 MAY 2003
U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

To the Honorable Commis:

the attached original documents or copy thereof.

1. Name of conveying party(ies):

DAVI GEIGER and MARK PIPES

Additional name(s) of conveying party(ies) attached?

☐ Yes☒ No

2. Name and address of receiving party(ies)

Name: NEW YORK UNIVERSITY

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other:

Address: 70 Washington Square South

City: New York

State: NY

Zip: 10012

Additional name(s) and address(es) attached? Country: U.S.A.

☐ Yes ☒ No

Execution Date: 12/09/2001 and 12/2/2001

4. Application number(s) or patent number(s)

20416319

If this document is being filed together with a new application, the execution date of the application is

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached?

☐ Yes☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name:

BAKER BOTTS L.L.P.

30 Rockefeller Plaza - 44 Fl.

New York, NY 10112

6. Total number of applications and patents involved: One

7. Total fee (37 CFR 3.41): \$ 40

☒ Enclosed☐ Authorized to be charged to deposit account

8. Deposit account number :

02-4377 (Charge any additional fees to this account)

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature

To the best of my knowledge and belief, the foregoing instrumentation is true and correct and any attached copy is a true copy of the original document.

Gary Abelev, PTO Reg. No. 40,479

Name of Person Signing

Signature

05/08/2003

Date

Page 1 of 1

Total number of pages including cover sheet, attachments and document: 4

05/16/2003 SNAJARRO 00000051 024377 10416319
05 FC:8021 5.00 CH 35.00 OPPATENT
REEL: 014311 FRAME: 0820

ASSIGNMENT

WHEREAS, WE, DAVI GEIGER, JONG OH, and MARK PIPES, citizens of United States of America, South Korea, and United States of America, respectively, whose post office addresses are 14A Washington Mews, #1, New York, NY 10003, U.S.A., 48-06 Skillman Avenue, Apt. 3F, Sunnyside, NY 11104, U.S.A. and 475 Memorial Drive, Room 312, Cambridge, MA 02139, U.S.A., respectively, have made an invention as set forth and described in U.S. Provisional Application No. 60/288,556 filed May 3, 2001 entitled "ON-LINE HANDWRITING RECOGNIZER WITH FISHER MATCHING, HYPOTHESIS PROPOGATION NETWORK AND CONTEXT MODELS", and international patent application filed October 10, 2000 which claims priority from U.S. Provisional Application No. 60/288,556 entitled "SYSTEM, PROCESS AND SOFTWARE ARRANGEMENT FOR RECOGNIZING HANDWRITTEN CHARACTERS"; and

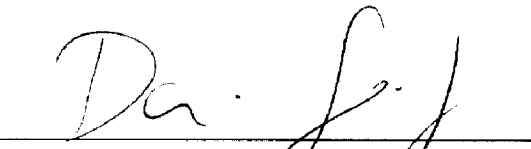
WHEREAS, NEW YORK UNIVERSITY, having its principal place of business at 70 Washington Square South, New York, NY 10012, U.S.A., is desirous of acquiring the whole right, title and interest in and to said Letters Patent and any continuations, divisions, extensions, substitutions, reissues and reexaminations thereof;

NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN, BE IT KNOWN, that WE, the said DAVI GEIGER, JONG OH, and MARK PIPES, for and in consideration of the sum of One Dollar (\$1.00), lawful money of the United States, to each of us in hand paid by said NEW YORK UNIVERSITY, and other valuable considerations unto us moving from said NEW YORK UNIVERSITY, at or before the ensealing and delivery of these presents, the receipt of which is hereby acknowledged, have sold, assigned, transferred and conveyed and by these presents do sell, assign, transfer and convey, unto said NEW YORK UNIVERSITY, its successors and assigns, the entire right, title and interest in and to the said invention as set forth and described in U.S. Provisional Application No. 60/288,556 filed May 3, 2001 entitled "ON-LINE HANDWRITING RECOGNIZER WITH FISHER MATCHING, HYPOTHESIS PROPOGATION NETWORK AND CONTEXT MODELS", and the above-identified international patent application filed October 10, 2000 entitled "SYSTEM, PROCESS AND SOFTWARE ARRANGEMENT FOR RECOGNIZING HANDWRITTEN CHARACTERS", and any and all improvements thereon, and in and to said international patent application therefor, and in and to any application for Letters Patent therefor, and any division, continuation or continuation-in-part thereof, and in and to any corresponding Letters Patent of the United States which may be issued on any of said applications, and any reissues thereof, and in and to any and all applications for Letters Patent filed in foreign countries for said invention or improvements including all priority rights, and any and all Letters Patent which may be granted in foreign countries therefor, TO HAVE AND TO HOLD THE SAME to the full end of the term or terms for which any and all said Letters Patent may be granted;

AND WE, the said DAVI GEIGER, JONG OH, and MARK PIPES, do hereby authorize and request the Commissioner of Patents and Trademarks to issue the said Letters Patent of the United States to said NEW YORK UNIVERSITY, as the assignee of the entire right, title and interest in and to the same, for the sole use and benefit of said NEW YORK UNIVERSITY, its successors and assigns;

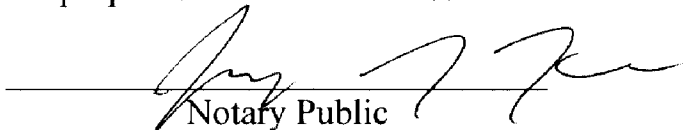
AND WE, the said DAVI GEIGER, JONG OH, and MARK PIPES, for the considerations aforesaid, do hereby covenant and agree to and with said NEW YORK UNIVERSITY, its successors and assigns, that we have the full power to make this assignment, and that the rights assigned are not encumbered by any grant, license or right heretofore given, and that we, our executors or administrators, shall and will do all lawful acts and things and make, execute and deliver without further compensation, any and all other instruments in writing, further applications, papers, affidavits, powers of attorney, assignments, and other documents which, in the opinion of counsel for said NEW YORK UNIVERSITY, its successors and assigns, may be required or necessary more effectively to secure to and vest in said NEW YORK UNIVERSITY, its successors and assigns, the entire right, title and interest in and to said invention and improvements, applications, Letters Patent, rights, titles, benefits, privileges, and advantages hereby sold, assigned, transferred and conveyed, and that we will sign any applications for reissue which may be desired by the owner of the patent or patents which may be issued for the said invention or improvements.

IN WITNESS WHEREOF, WE, the said DAVI GEIGER, JONG OH, and MARK PIPES, have hereunto set our hands and seals on the date below written.


DAVI GEIGER

STATE OF)
: ss.:
COUNTY OF)

BE IT KNOWN, that on this 19th day of December, 2001, before me personally came DAVI GEIGER, to me known and known to me to be the person mentioned in and who executed the foregoing assignment, and he acknowledged to me that he executed the same as his free act and deed for the use and purposes therein mentioned.


Notary Public

JUDY J. KIM
Notary Public-State of New York
No. 02K16069598
Qualified in New York County
My Commission Expires Feb. 11, 2006

JONG OH

STATE OF)
 : ss.:
COUNTY OF)


BE IT KNOWN, that on this ____ day of _____, 2001, before me personally came JONG OH, to me known and known to me to be the person mentioned in and who executed the foregoing assignment, and he acknowledged to me that he executed the same as his free act and deed for the use and purposes therein mentioned.

Notary Public

Mark Pipes
MARK PIPES

STATE OF)
 : ss.:
COUNTY OF)

BE IT KNOWN, that on this 2nd day of December, 2001, before me personally came MARK PIPES, to me known and known to me to be the person mentioned in and who executed the foregoing assignment, and he acknowledged to me that he executed the same as his free act and deed for the use and purposes therein mentioned.



Notary Public

JUDY J. KIM
Notary Public-State of New York
No. 02KI6069598
Qualified in New York County
My Commission Expires Feb. 11, 2006