

08-01-2003

ET

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

Tab settings → → → ▼

7-31-03



102514052

To the Honorable Director of the United State.

Patent Office: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

John Nicolas STANIFORTH, David Alexander Vodden
MORTON, Rajbir GILL, Gaetano BRAMBILLA
Rossella MUSA, and Lorenzno FERRARINIAdditional names(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
- ☐ Security Agreement ☐ Change of Name
- ☐ Other _____

Execution Date: 2/24/03, and 2/21/03

2. Name and address of receiving party(ies):

Name: Vectura Limited

Address: 1 Prospect West

City: Chippenham State/Prov.: UK

Country: Wiltshire ZIP: SN14 6FH

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent numbers(s):

If this document is being filed together with a new application, the execution date of the application is:

Patent Application No. Filing date

B. Patent No.(s)

Serial No.: 10/257,790

Additional numbers attached? ☐ Yes ☒ NoOFFICE OF PATENT RECORDS
2003 JUL 31 PM 3:41
FINANCE SECTION

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Clifford M. Davidson, Esq.

Registration No. 32,728

Address: 485 Seventh Avenue, 14th Floor

08/01/2003 LUNELLER 00000039 10257790

01 FC:8021

40.00

City: New York

State/Prov.: NY

Country: USA

ZIP: 10018

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41):.....\$ 40.00

- ☐ Enclosed - Any excess or insufficiency should be credited or debited to deposit account
- ☐ Authorized to be charged to deposit account

8. Deposit account number:

50-0552

(Attach duplicate copy of this page if paying by deposit account)

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Clifford M. Davidson, Esq.

Name of Person Signing

Signature

July 29, 2003

Date

Total number of pages including cover sheet, attachments, and document: 3

Mail documents to be recorded with required cover sheet information to:
Mail Stop Assignment Recordation Services
Director of the United States Patent and Trademark Office
P.O. Box 1450, Alexandria, VA 22313-1450PATENT
REEL: 014328 FRAME: 0772

ASSIGNMENT

WHEREAS, WE, John Nicholas STANIFORTH; David Alexander Vodden MORTON; Rajbir GILL; Gaetano BRAMBILLA; Rossella MUSA; Lorenzo FERRARINI, residing at High Trees, 170 Bloomfield Road, Bath BA2 2AT, England; Lindsey House, 2nd Floor Flat, Beechen Cliff Road, Bath BA2 4QR, United Kingdom; 7 Oak Tree Close, Trowbridge, Wiltshire BA14 9BD, England; Via Palermo 26/A, I-43100 Parma, Italy; Via Palermo 26/A, I-43100 Parma, Italy; Via Palermo 26/A, I-43100 Parma, Italy, respectively, ASSIGNORS, have invented certain new and useful improvements in **IMPROVEMENTS IN OR RELATING TO FORMULATIONS FOR USE IN INHALER DEVICES**, for which an application for a Patent in the United States:

☐ is being executed this same day;

☐ was executed on _____ ;

☒ is identified by Davidson, Davidson & Kappel, LLC, Docket No. 478.1049;

☒ was filed on April 17, 2001 under Serial No. PCT/GB01/01732;

☒ We hereby authorize and request our attorneys, Davidson, Davidson & Kappel, LLC of 485 Seventh Avenue, 14th Floor, New York, New York 10018 to insert here in parentheses (Application number _____, filed _____) the application number and filing date when known; and

WHEREAS, Vectura Limited, of United Kingdom, ASSIGNEE, is desirous of obtaining the entire right, title and interest in, to and under the said invention and the said application:

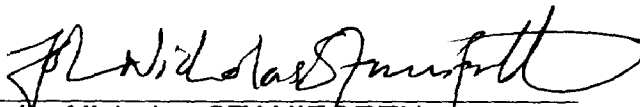

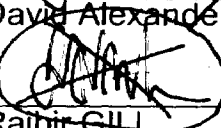

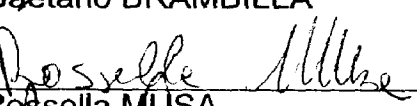
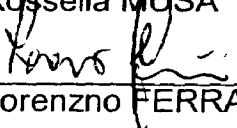
NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) to us in hand paid, and other good and valuable consideration, the receipt of which is hereby acknowledged, we, the said ASSIGNORS, have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto the said ASSIGNEE, its successors, legal representatives and assigns, the entire right, title and interest in, to and under the said invention, and the said United States application and all divisions, renewals and continuations thereof, and all Patents of the United States which may be granted thereon and all reissues and extensions thereof; and all applications for industrial property protection, including, without limitation, all applications for patents, utility models, and designs which may hereafter be filed for said invention in any country or countries foreign to the United States, together with the right to file such applications and the right to claim for the same the priority rights derived from said United States application under the Patent Laws of the United States, the International Convention for the Protection of Industrial Property, or any other international agreement or the domestic laws of the country in which any such application is filed, as may be applicable; and all forms of industrial property protection, including, without limitation, patents, utility models, inventors' certificates and designs which may be granted for said invention in any country or countries foreign to the United States and all extensions, renewals and reissues thereof; and

WE HEREBY authorize and request the Commissioner of Patents and Trademarks of the United States, and any Official of any country or countries foreign to the United States, whose duty it is to issue patents or other evidence or forms of industrial property protection on applications as aforesaid, to issue the same to the said ASSIGNEE, its successors, legal representatives and assigns, in accordance with the terms of this instrument; and

WE HEREBY covenant and agree that we have full right to convey the entire interest herein assigned, and that we have not executed, and will not execute, any agreement in conflict herewith; and

WE HEREBY further covenant and agree that we will communicate to the said ASSIGNEE, its successors, legal representatives and assigns, any facts known to us respecting said invention, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing, reissue and foreign applications, make all rightful oaths, and generally do everything possible to aid the said ASSIGNEE, its successors, legal representatives and assigns, to obtain and enforce proper protection for said invention in all countries.

IN TESTIMONY WHEREOF, WE hereunto set our hands and seals the days and years set opposite our signatures.

<u>24 - Feb - 03</u> Date	 John Nicholas STANIFORTH
<u>24 - Feb - 03</u> Date	 David Alexander Vodden MORTON
<u>24 - Feb - 03</u> Date	 Rajbir GILL
<u>21 Feb 03</u> Date	 Gaetano BRAMBILLA
<u>21 Feb 03</u> Date	 Rossella MUSA
<u>21 Feb 03</u> Date	 Lorenzo FERRARINI