

Patent Case No. T1482Y

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

08-04-2003



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ID TRADEMARKS:  
PLEASE RECORD THE ATTACHED ORIGINAL DOCUMENTS OR COPY THEREOF.

1. Name(s) of Conveying party(ies):

Steven William BOOTH; Ashley CLARKE; John Michael NEWTON

Additional name(s) of conveying party(ies) attached?

☐ Yes

☒ No

2. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other:

3. Name and address of receiving party(ies):

Name: MERCK SHARP & DOHME LTD.

Internal Address: \_\_\_\_\_

Street Address: HERTFORD ROAD

City & State: HODDESDON, HERTFORDSHIRE

Zip/Postal Code: ENGLAND EN11 9BU

Execution Date: Sep 27, 2001 Oct 1, 2001

Additional name(s) &  
addresses attached?

☐ Yes

☒ No

4. Application number(s) or patent number(s) are as follows:

(a) Patent Application No(s). 09/889,196, filed on 10/15/2001

and titled:

SPHERONISED SELF-EMULSIFYING SYSTEM FOR HYDROPHOBIC AND WATER-SENSITIVE AGENTS

(b) If this document is being filed together with a new application, the execution date of the application is:

Additional numbers attached? ☐ Yes

☒ No

5. Name & address of party to whom correspondence concerning documents should be mailed:

Name: David Rubin

Internal Address: PATENT DEPARTMENT

MERCK & CO., INC.

P.O. BOX 2000 -- RY60-30

City & State: RAHWAY, N.J. Zip: 07065-0907

6. Total no. of applications & patents involved: 1

7. Total fee (37 CFR 3.41).....\$ 40.00

☐ Enclosed

☒ The Commissioner is hereby authorized to charge **deposit account number 13-2755** for any fees which may be required or to credit any overpayment.

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8. Statement and signature:

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

David Rubin Reg. No. 40,314

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and documents: 3

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Mail documents to be recorded with the required cover sheet information to:

Commissioner of Patents and Trademarks  
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08/04/2003 LUMELLER 00000003 132755 09089196

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I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450, on the date appearing below.

MERCK & CO., INC.

By [Signature] Date 7-28-2003

Computer generated form Merck & Co., Inc. "Recordation Form" (Assignments Folder) 11/15/2000

PATENT

REEL: 014335 FRAME: 0280

ASSIGNMENT

WHEREAS, we/I,

Steven William BOOTH

Ashley CLARKE

John Michael NEWTON

a/all British subject(s) of:

MSD, Hertford Road  
Hoddesdon, Hertfordshire EN11 9BU  
United Kingdom

MSD, Hertford Road  
Hoddesdon, Hertfordshire EN11 9BU  
United Kingdom

School of Pharmacy,  
University of London, Brunswick Sq.,  
London WC1N 1AX, United Kingdom

have invented certain new and useful improvements in

Spheronised self-emulsifying system for hydrophobic and water-sensitive agents

and have executed an application for Letters Patent of the United States of America based thereon the

27<sup>th</sup> day of *September*  
*1st* *October*

2001

AND WHEREAS, Merck Sharp & Dohme Limited, a British company of Hertford Road, Hoddesdon, Hertfordshire EN11 9BU, United Kingdom, and The School of Pharmacy, University of London a British institution of 29/39 Brunswick Square, London WC1N 1AX, United Kingdom, are desirous of acquiring the entire right, title and interest in and to the said invention.

NOW THEREFORE, for good and valuable consideration, receipt of which is hereby acknowledged, we/I, the said

Steven William BOOTH

Ashley CLARKE

John Michael NEWTON




have agreed to and do hereby sell, assign and transfer unto the said Merck Sharp & Dohme Limited and The School of Pharmacy, University of London, the entire right, title and interest in and throughout the United States of America and its Territories in and to said invention, said application for Letters Patent and any and all Letters Patent and extensions thereof of the United States of America which may be granted on said invention or part thereof, or on said application or any divisional, continuing, renewal, reissue or other application based in whole or in part thereon, or based upon said invention.

TO BE HELD AND ENJOYED by the said Merck Sharp & Dohme Limited and the School of Pharmacy, University of London, their successors and assigns to the full ends of the terms for which said Letters Patent or any of them may be granted as fully as the same would have been held and enjoyed by us/me had no sale and assignment of said interest been made; and we/I do hereby request that any and all Letters Patent which may be granted upon the said application above referred to, or any of them, upon said invention or any part thereof, be issued to

Merck Sharp & Dohme Limited  
The School of Pharmacy, University of London

aforesaid; and we/I hereby agree for ourselves and our heirs, executors and administrators, to execute without further consideration any further lawful documents and further assurances, and any reissue, renewal or other application for Letters Patent that may be deemed necessary by the assignee herein named fully to secure to the said assignee our interest as aforesaid in and to said invention or any part thereof, and in and to said several Letters Patent or any of them.

IN WITNESS WHEREOF, we/I have hereunto affixed our/my seal(s) and set our/my hand(s)

 <u>Steven William Booth</u> Steven William BOOTH Date: 09/27/01	 <u>Ashley Clarke</u> Ashley CLARKE Date: 09/27/01	 <u>John Michael Newton</u> J.M. Newton John Michael NEWTON Date: 10/01/01
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