

08-06-2003

Form PTO-1595  
(Rev. 03/01)  
OMB No. 0651-0027 (exp. 5/31/2002)



U.S. DEPARTMENT OF COMMERCE  
U.S. Patent and Trademark Office  
Docket No. 740709-507  
July 28, 2003  
JLC/TLB/sas

102516914

7-29-03

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):  
(1) Kouji YAMAGUCHI (2) Ryoichi SATO  
(3) Hideki OZAWA  
Additional name(s) of conveying party(ies) attached?  Yes  No

2. Name and address of receiving party(ies)  
Name: Ube Industries, Ltd.  
Internal Address: \_\_\_\_\_  
Street Address: 1978-96, O-Aza Kogushi

3. Nature of conveyance:  
 Assignment  Merger  
 Security Agreement  Change of Name  
 Other \_\_\_\_\_

City: Ube-shi State Yamaguchi Zip: \_\_\_\_\_  
Country: JAPAN Postal Code: 755-8633  
Additional name(s) & address(es) attached?  Yes  No

Execution Date: June 25, 2003

4. Application number(s) or patent number(s);  
If this document is being filed together with a new application, the execution date of the application is:  
June 25, 2003

A. Patent Application No.(s)  
10,628,240

B. Patent No.(s)  
\_\_\_\_\_

Additional numbers attached?  Yes  No

5. Name and address of party to whom correspondence concerning document should be mailed:  
Attorney Name: Jeffrey L. Costellia  
Firm Name: Nixon Peabody LLP  
Internal Address: Suite 800  
Street Address: 8180 Greensboro Drive.  
City: McLean State: VA Zip: 22102

7. Total number of applications and patents involved:  
One (1)  
8. Total fee (37 CFR 3.41)..... \$40.00  
 Enclosed  
 Authorized to be charged to deposit account

6. Submission Type  
 New  
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10. Statement and signature.  
*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

Tim L. Brackett, Jr. #36,092  
Name of Person Signing

July 29, 2003  
Date

Total number of pages including cover sheet, attachments, and documents: 02

CERTIFICATE OF MAILING

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PATENT  
REEL: 014339 FRAME: 0988

ASSIGNMENT

For good and valuable consideration, the sufficiency and receipt of which is hereby acknowledged, as a below named inventor, I hereby sell and assign to Ube Industries, Ltd.

of 1978-96, O-Aza Kogushi, Ube-shi, Yamaguchi, 755-8633 Japan

its successors and assigns or other legal representatives all my rights, title and interest, in and for the United States of America, in and to FLEXIBLE HEAT EXCHANGER

invented by me (if only one is named below) or us (if plural inventors are named below) and described in the application for United States Letters Patent therefore, \_\_\_\_\_ executed on even date herewith and all United States Letters Patent which may be granted therefore, and all divisions, continuations, reissues, reexaminations and extensions thereof, the said interest being the entire ownership of the said Letters Patent when granted, to be held and enjoyed by said \_\_\_\_\_, its successors, assigns or other legal representatives, to the full end of the term for which said Letters Patent may be granted, as fully and entirely as the same would have been held and enjoyed by me or us if this assignment and sale had not been made;

And I hereby agree to sign and execute any further documents or instruments which may be necessary, lawful, and proper in the prosecution of the above-named application or in the preparation and prosecution of any continuing, continuation-in-part, substitute, divisional, renewal, reviewed or reissue applications or in any amendment, extension, or interference proceedings, or otherwise to secure the title thereto in said assignee;

And I do hereby authorize and request the Commissioner of Patents to issue said Letters Patent to said \_\_\_\_\_.

- |   | (Full Name)                     | (Signature)  |
|---|---------------------------------|--------------|
| 1. Date <u>June 25, 2003</u>  | Inventor <u>Kouji Yamaguchi</u> | <u>山口耕司</u>  |
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| 4. Date _____   | Inventor _____                  |              |
| Residence <u>Same as Post Office Address</u>  | Citizenship _____               |              |
| Post Office Address _____   |                                 |              |
| 5. Date _____   | Inventor _____                  |              |
| Residence <u>Same as Post Office Address</u>  | Citizenship _____               |              |
| Post Office Address _____   |                                 |              |

Witness(es)  
(All) \_\_\_\_\_ 1) \_\_\_\_\_ 2) \_\_\_\_\_  
3) \_\_\_\_\_ 4) \_\_\_\_\_

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