



08-07-2003



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Form PTO-1595  
(Rev. 10/02)

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OMB No. 0651-0027 (exp. 6/30/2005)

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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):  
Lawrence B. Suto; Pamela Colbert; Julius Akinyemi;  
and Rebecca Wanta

730-03

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other \_\_\_\_\_

6/4/2003; 5/8/2003; 5/28/2003; and  
5/27/2003

Execution Date: \_\_\_\_\_

2. Name and address of receiving party(ies)

Name: Wells Fargo Bank, N.A.

Internal Address: \_\_\_\_\_

Street Address: 420 Montgomery Street

City: San Francisco State: CA Zip: 94104

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s) 10/426,209

B. Patent No.(s) \_\_\_\_\_

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence  
concerning document should be mailed:

Name: Michael A. Glenn

Internal Address: Glenn Patent Group

Street Address: 3475 Edison Way, Ste. L

City: Menlo Park State: CA Zip: 94025

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41).....\$ 40.00

- ☐ Enclosed  
☒ Authorized to be charged to deposit account

8. Deposit account number:

07-1445

**DO NOT USE THIS SPACE**

9. Signature.

Michael A. Glenn, Reg. No. 30,176

Name of Person Signing

Signature

7/30/2003

Date

Total number of pages including cover sheet, attachments, and documents: 3

08/06/2003 ECOOPER 00000267 071445 10426209

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Documents to be recorded with required cover sheet information to:  
Commissioner of Patents & Trademarks, Box Assignments  
Washington, D.C. 20231

**PATENT**  
**REEL: 014345 FRAME: 0612**

## ASSIGNMENT

WHEREAS, We, Lawrence B. SUTO, Pamela COLBERT, Julius AKINYEMI, Rebecca WANTA hereinafter referred to as "ASSIGNOR", have invented certain new and useful improvements, as described and set forth in the below-identified application for United States Letters Patent:

**Title of Invention: METHOD AND APPARATUS FOR A BROKER ENTITY**

Filing Date: April 29, 2003 Serial No. 10/426,209

WHEREAS, Wells Fargo Bank, N.A., a National Banking Association, having its principal place of business at 420 Montgomery Street, San Francisco, CA 94104, hereinafter referred to as "ASSIGNEE", is desirous of acquiring the entire right, title, and interest in the said invention and application and in any Letters Patent which may be granted with regard to the same:

NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN: Be it known that, for One Dollar (\$1.00) and other good and valuable consideration, ASSIGNORS have sold, assigned, and transferred, and by these presents does sell, assign, and transfer unto the said ASSIGNEE, and ASSIGNEE'S successors and assigns, all right, title, and interest in and to said invention, said application for United States Letters Patent and any Letters Patent which may be hereafter granted on the same in the United States and all countries throughout the world, including any divisions, renewals, continuations in whole or part, substitutions, conversions, reissues, revivals, prolongation, or extensions thereof, said interest to be held and enjoyed by said ASSIGNEE as fully and exclusively as it would have been held and enjoyed by said ASSIGNORS had this assignment and transfer not been made, for all time.

ASSIGNORS further agree that they will, without charge to said ASSIGNEE, but at ASSIGNEE'S expense, cooperate with ASSIGNEE in the prosecution of said application and/or applications, execute, verify, acknowledge, and deliver all such further papers, including applications for Letters Patent and for the reissue thereof, and instruments of assignment and transfer thereof, and will perform such other acts as ASSIGNEE may lawfully request, to obtain or maintain Letters Patent for said invention and improvement in any and all countries, and to vest title thereto in said ASSIGNEE, or ASSIGNEE'S successors and assigns.

IN TESTIMONY WHEREOF, ASSIGNORS have hereunto signed their names to the assignment on the date indicated below. ASSIGNOR have hereunto signed their name to the assignment on the date indicated below.

  
LAWRENCE B. SUTO

06/04/03  
DATE

\_\_\_\_\_  
PAMELA COLBERT

\_\_\_\_\_  
DATE

\_\_\_\_\_  
JULIUS AKINYEMI

\_\_\_\_\_  
DATE

\_\_\_\_\_  
REBECCA WANTA

\_\_\_\_\_  
DATE

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LAWRENCE B. SUTO

DATE

*Pamela Colbert*  
PAMELA COLBERT

*5/08/03*  
DATE

JULIUS AKINYEMI

DATE

REBECCA WANTA

DATE

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LAWRENCE B. SUTO

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DATE

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PAMELA COLBERT

\_\_\_\_\_  
DATE

\_\_\_\_\_  
JULIUS AKINYEMI

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DATE

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REBECCA WANTA

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LAWRENCE B. SUTO


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DATE

\_\_\_\_\_  
PAMELA COLBERT

\_\_\_\_\_  
DATE

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JULIUS AKINYEMI

\_\_\_\_\_  
DATE

  
\_\_\_\_\_  
REBECCA WANTA

5/27/03  
\_\_\_\_\_  
DATE