

08-08-2003



102519555 ONLY

HEET

Attorney Docket No.: 60077-5006-US (formerly 56120-5006-US)

To the Assistant Commissioner for Patents:
Please record the attached original documents or copy thereof.

MAIL STOP ASSIGNMENT

1. Name of conveying party(ies):

8.5-03

Ljerka Kunst
Mark Andrew Smith
Hangsik Moon

Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No

2. Name and address of receiving party(ies):

Name: The University of British Columbia

Internal Address: University-Industry Liaison Office

3. Nature of conveyance:

☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Street Address: 2194 Health Sciences Mall
Vancouver, British Columbia
V6T 1Z3 Canada

Additional name(s) & address(es) attached?

☐ Yes ☒ No

Execution Date: July 7 and 18, 2003

4. Application number(s) or patent number(s):

If this document is being filed together with a new application the execution date of the application is:

A. Patent Application No.(s) 10/276,976

B. Patent No.(s)

Additional numbers attached: ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Patent Support Unit
Internal Address: Customer No. 09629
Morgan, Lewis & Bockius LLP

Street Address: 1111 Pennsylvania Avenue, N.W.
City: Washington State: D.C. Zip: 20004

6. Total number of applications and patents involved: 1

7. Total fee (37 C.F.R. § 3.41): \$40.00

☐ Enclosed

☒ Authorized to be charged to deposit account 50-0310

8. Deposit account number: 50-0310

Attach duplicate of page if paying by deposit account

9. Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Enrique D. Longton (Reg. No. 47,304)

Name of Person Signing

Enrique Longton
Signature

August 5, 2003

Date

08/07/2003 ECDMPER 00000149 500310 10276976

01/PLT0021

40.00 BA

Total number of pages including cover sheet, attachments and documents: 5

ASSIGNMENT

WHEREAS I/We, the below named inventor(s), [hereinafter referred to as Assignor(s)], have made an invention entitled:

GENE REGULATORY REGION THAT PROMOTES EARLY SEED-SPECIFIC TRANSCRIPTION

for which I/WE filed an application for United States Letters Patent on November 21, 2002, (Serial No. 10/276,976); and

WHEREAS, The University of British Columbia, a corporation of Vancouver, British Columbia, whose post office address is University-Industry Liaison Office, 2194 Health Sciences Mall, Vancouver, British Columbia, V6T 1Z3, Canada (hereinafter referred to as Assignee), is desirous of securing the entire right, title, and interest in and to this invention in all countries throughout the world, and in and to the application for United States Letters Patent on this invention and the Letters Patent to be issued upon this application;

NOW THEREFORE, be it known that, for and in consideration of the sum of One Dollar (\$1.00) in hand paid and other good and valuable consideration the receipt of which from assignee is hereby acknowledged, I/WE, as assignor(s), have sold, assigned, transferred, and set over, and do hereby sell, assign, transfer, and set over unto the assignee, its lawful successors and assigns, MY/OUR entire right, title, and interest in and to this invention and this application, and all divisions, and continuations thereof, and all Letters Patent of the United States which may be granted thereon, and all reissues thereof, and all rights to claim priority on the basis of such application, and all applications for Letters Patent which may hereafter be filed for this invention in any foreign country and all Letters Patent which may be granted on this invention in any foreign country, and all extensions, renewals, and reissues thereof; and I/WE hereby authorize and request the Commissioner of Patents and Trademarks of the United States and any official of any foreign country whose duty it is to issue patents on applications as described above, to issue all Letters Patent for this invention to assignee, its successors and assigns, in accordance with the terms of this Assignment;

AND, I/WE HEREBY covenant that I/WE have the full right to convey the interest assigned by this Assignment, and I/WE have not executed and will not execute any agreement in conflict with this Assignment;


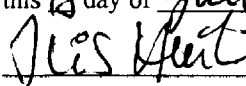
AND, I/WE HEREBY further covenant and agree that I/WE will, without further consideration, communicate with assignee, its successors and assigns, any facts known to ME/US respecting this invention, and testify in any legal proceeding, sign all lawful papers when called upon to do so, execute and deliver any and all papers that may be necessary or desirable to perfect the title to this invention in said assignee, its successors or assigns, execute all divisional, continuation, and reissue applications, make all rightful oaths and generally do everything possible to aid assignee, its successors and assigns, to obtain and enforce proper patent protection for this invention in the United States and any foreign country, it being understood that any expense incident to the execution of such papers shall be borne by the assignee, its successors and assigns.

IN TESTIMONY WHEREOF, I/WE have hereunto set our hand(s).

County of <u>British Columbia</u>	<u>Ljerka Kunst</u> Full Name of Sole or First Assignor
State of <u>Canada</u>	<u>University-Industry Liaison Office, 2194 Health Sciences Mall, Vancouver, British Columbia, V6T 1Z3, Canada</u> Address
Subscribed and sworn to before me this <u>8</u> day of <u>July</u> , 2003 <u>Oles Hunt</u> Notary Public	SS. <u>J. Kunst</u> First Assignor's Signature
	<u>July 18th, 2003</u> Date
IRIS HNIK Barrister & Solicitor Names of additional inventors attached <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	

Industry Sponsored Research
The University of British Columbia

A Notary in and for the Province of British Columbia
#103 - 6190 Agronomy Road,
Vancouver, BC V6T 1Z3
Telephone: 604-822-0362
Fax: 604-822-8589

County of <u>British Columbia</u> and for the Province of British Columbia State of <u>CANADA</u> #103 - 6190 Agronomy Road, Vancouver, BC V6T 1Z3 Telephone: 604-822-0362 Fax: 604-822-8569	Mark Andrew Smith Full Name of Second Assignor <u>University-Industry Liaison Office, 2194 Health Sciences</u> <u>Mall, Vancouver, British Columbia, V6T 1Z3, Canada</u> Address  Second Assignor's Signature <u>18th July 2003</u> Date
Subscribed and sworn to before me this <u>18</u> day of <u>July</u> , 200 <u>3</u>  Notary Public	IRIS HNIK Barrister & Solicitor Industry Sponsored Research The University of British Columbia
County of _____ State of _____ Subscribed and sworn to before me this ____ day of _____, 20____. _____ Notary Public	Hangsik Moon Full Name of Third Assignor <u>University-Industry Liaison Office, 2194 Health Sciences</u> <u>Mall, Vancouver, British Columbia, V6T 1Z3, Canada</u> Address _____ Third Assignor's Signature _____ Date
Names of additional inventors attached [] Yes [X] No	

ASSIGNMENT

WHEREAS I/We, the below named inventor(s), [hereinafter referred to as Assignor(s)], have made an invention entitled:

GENE REGULATORY REGION THAT PROMOTES EARLY SEED-SPECIFIC TRANSCRIPTION

for which I/WE filed an application for United States Letters Patent on November 21, 2002, (Serial No. 10/276,976); and

WHEREAS, The University of British Columbia, a corporation of Vancouver, British Columbia, whose post office address is University-Industry Liaison Office, 2194 Health Sciences Mall, Vancouver, British Columbia, V6T 1Z3, Canada (hereinafter referred to as Assignee), is desirous of securing the entire right, title, and interest in and to this invention in all countries throughout the world, and in and to the application for United States Letters Patent on this invention and the Letters Patent to be issued upon this application;

NOW THEREFORE, be it known that, for and in consideration of the sum of One Dollar (\$1.00) in hand paid and other good and valuable consideration the receipt of which from assignee is hereby acknowledged, I/WE, as assignor(s), have sold, assigned, transferred, and set over, and do hereby sell, assign, transfer, and set over unto the assignee, its lawful successors and assigns, MY/OUR entire right, title, and interest in and to this invention and this application, and all divisions, and continuations thereof, and all Letters Patent of the United States which may be granted thereon, and all reissues thereof, and all rights to claim priority on the basis of such application, and all applications for Letters Patent which may hereafter be filed for this invention in any foreign country and all Letters Patent which may be granted on this invention in any foreign country, and all extensions, renewals, and reissues thereof; and I/WE hereby authorize and request the Commissioner of Patents and Trademarks of the United States and any official of any foreign country whose duty it is to issue patents on applications as described above, to issue all Letters Patent for this invention to assignee, its successors and assigns, in accordance with the terms of this Assignment;


AND, I/WE HEREBY covenant that I/WE have the full right to convey the interest assigned by this Assignment, and I/WE have not executed and will not execute any agreement in conflict with this Assignment;

AND, I/WE HEREBY further covenant and agree that I/WE will, without further consideration, communicate with assignee, its successors and assigns, any facts known to ME/US respecting this invention, and testify in any legal proceeding, sign all lawful papers when called upon to do so, execute and deliver any and all papers that may be necessary or desirable to perfect the title to this invention in said assignee, its successors or assigns, execute all divisional, continuation, and reissue applications, make all rightful oaths and generally do everything possible to aid assignee, its successors and assigns, to obtain and enforce proper patent protection for this invention in the United States and any foreign country, it being understood that any expense incident to the execution of such papers shall be borne by the assignee, its successors and assigns.

IN TESTIMONY WHEREOF, I/WE have hereunto set our hand(s).

County of _____	_____ Ljerka Kunst
	Full Name of Sole or First Assignor
State of _____	SS. <u>University-Industry Liaison Office, 2194 Health Sciences</u>
	<u>Mall, Vancouver, British Columbia, V6T 1Z3, Canada</u>
	Address
Subscribed and sworn to before me this ____ day of _____, 20__.	_____
_____ Notary Public	First Assignor's Signature

	Date
Names of additional inventors attached <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	

<p>County of _____</p> <p>State of _____</p> <p>Subscribed and sworn to before me this ____ day of _____, 20__.</p> <p>_____ Notary Public</p>	<p style="text-align: center;"><u>Mark Andrew Smith</u> Full Name of Second Assignor</p> <p>SS. <u>University-Industry Liaison Office, 2194 Health Sciences Mall, Vancouver, British Columbia, V6T 1Z3, Canada</u> Address</p> <p style="text-align: center;">_____ Second Assignor's Signature</p> <p style="text-align: center;">_____ Date</p>
<p>County of <u>Strong</u></p> <p>State of <u>Iowa</u></p> <p>Subscribed and sworn to before me this <u>7</u> day of <u>July</u>, 2003.</p> <p><u>Joanne Nystrom</u> Notary Public</p> <div style="border: 1px solid black; padding: 2px; display: inline-block;"> JOANNE NYSTROM Commission Number 201922 My Commission Expires <u>11/19/2004</u></div>	<p style="text-align: center;"><u>Hangsik Moon</u> Full Name of Third Assignor</p> <p>SS. <u>University-Industry Liaison Office, 2194 Health Sciences Mall, Vancouver, British Columbia, V6T 1Z3, Canada</u> Address</p> <p style="text-align: center;"><u>Hangsik Moon</u> Third Assignor's Signature</p> <p style="text-align: center;"><u>07-07-2003</u> Date</p>
<p>Names of additional inventors attached <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>	