

08-11-2003

Attorney Docket No.: A35666 071235.0118



T

8.7.03

U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office

102520457

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Hyman M. Schipper

Additional name(s) of conveying party(ies) attached?

☐ Yes☒ No

2. Name and address of receiving party(ies)

Name: Sir Mortimer B. Davis – Jewish General HospitalAddress: 3755 chemin de la Cote-Ste-Catherine.City: Montreal, Quebec Country: CanadaZip: H3T 1E2

Additional name(s) and address(es) attached?

☐ Yes☒ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other \_\_\_\_\_Execution Date: June 20, 2003, June 26, 2003 and June 20, 2003

4. Application number(s) or patent number(s)

If this document is being filed together with a new application, the execution date of the application is \_\_\_\_\_

A. Patent Application No.(s)

10/333,880, filed January 24, 2003

B. Patent No.(s)

2003 AUG -7 AM 4:07  
OPR/FINANCE

Additional numbers attached?

☐ Yes☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Richard G. Berkley, Esq.BAKER BOTTS, L.L.P.Address: 30 Rockefeller Plaza, 45th FloorCity: New York State: New York Zip: 10112-4498

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$40.00☒ Enclosed☐ Authorized to be charged to deposit account

8. Deposit account number:

02-4377

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature

*To the best of my knowledge and belief, the foregoing instrumentation is true and correct and any attached copy is a true copy of the original document.*Richard G. Berkley, PTO Reg. No. 25,465

Name of Person Signing

Signature

July 24, 2003

Date

Total number of pages including cover sheet, attachments and document: 4

08/08/2003 DBYRNE 00000104 10333880

01 FC:8021

40.00 OP

NY02:450813.1

1

PATENT  
REEL: 014350 FRAME: 0727

# ASSIGNMENT

WHEREAS, I, HYMAN M. SCHIPPER, a citizen of Canada, (hereinafter referred to as the "Assignor"), residing at,

5785 Einstein, Montreal, Quebec H4W 2Y9

have made certain inventions and discoveries disclosed in International Patent Application Serial No. PCT/CA01/01066, entitled "HO-1 Suppressor as a Diagnostic and Prognostic Test for Dementing Diseases", filed on July 25, 2001, claiming priority from United States Provisional Patent Application Serial No. 60/220,813, filed on July 25, 2000 (hereinafter collectively referred to as the "Applications");

AND WHEREAS, THE SIR MORTIMER B. DAVIS - JEWISH GENERAL HOSPITAL, whose full post office address is

3755 chemin de la Côte-Ste-Catherine, Montreal, Quebec H3T 1E2, Canada,

(hereinafter referred to as the "Assignee") is desirous of acquiring 50% of the right, title, and interest in and to said inventions and discoveries, and in and to the Applications inclusive of any and all priority rights derived therefrom for any and all countries in the world, and in and to any and all Letters Patent issuing in any and all countries in the world, to be granted for said inventions and discoveries based on any or all of said Applications or on patent applications filed in any country claiming priority from any or all of said Applications;

NOW, THEREFORE, in consideration of the sum of Ten Dollars (\$10.00), and other good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, I the Assignor, do hereby sell, assign, transfer, and set over unto the Assignee, its successors and assigns, 50% of my right, title, and interest in and to the inventions and discoveries disclosed in the Applications, and in and to the Applications and any continuations or divisions thereof and all priority rights derived therefrom, and in and to any and all patent applications and any continuations or divisions thereof filed in any country claiming priority from any of the Applications, and in and to all Letters Patent which may be granted upon the Applications or upon patent applications filed in any and all countries in the world claiming priority from any of the Applications, and in and to any and all reissues, substitutions, or prolongations thereof; the same to be held and enjoyed by the Assignee for its own use and behoof, and for the use and behoof of its successors and assigns, to the full end of the term or terms for which said Letters Patent, or reissues, substitutions, or prolongations thereof may be granted, as fully and entirely as the same would have been held and enjoyed by me had this assignment and sale not been made;

AND I hereby agree that, upon request, and without further consideration, but at the expense of the Assignee, I will communicate to the Assignee or its representatives or nominees any facts known to me respecting said inventions and discoveries and testify in any legal proceedings, sign all lawful papers, execute all divisional, continuing, and reissue applications, make all rightful oaths and generally aid the Assignee, its successors, assigns, and nominees to obtain and enforce proper patent protect for said inventions and discoveries in all countries, and I further agree to execute any and all further papers which may be necessary or desirable to vest or perfect the title of the Assignee, its successors and assigns, in and to said inventions and discoveries, and in and to any and all

... or reissues thereof which may be granted upon applications in any and all countries in the world.

AND I hereby authorize and request any official whose duty it is to issue patents to issue each and every Letters Patent to be granted upon the aforesaid applications in any and all countries, and each and every reissue of said Letters Patent, to the Assignee, its successors and assigns (jointly with me), as the assignee of 50% of my right, title and interest therein, in accordance with this assignment.

THIS assignment is made effective as of the international filing date.

The parties hereto have required that this document be drawn up solely in the English language. Les parties aux présentes ont demandé que le present document soit rédigé seulement en anglais.

SIGNED at Montreal, Canada, this 29 day of January, 2003

Gabriella Lanczyj

WITNESS:

Hyman M. Schipper  
HYMAN M. SCHIPPER

ACKNOWLEDGED AND ACCEPTED at Montreal, Canada, this 30 day of January, 2003.

THE SIR MORTIMER B. DAVIS - JEWISH GENERAL HOSPITAL

BY Mark A. Wainberg  
Mark A. Wainberg

print name

Director of Research  
title

[corporate seal]

**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

Application Number	10/333,880
Filing Date	Jan. 24, 2003
First Named Inventor	Hyman M. Schipper
Group Art Unit	To Be Assigned
Examiner Name	To Be Assigned
Attorney Docket Number	A35666-PCT-USA - 071235.0118

Total Number of Pages in This Submission

**ENCLOSURES** (check all that apply)

- |  |  |  |
|--|--|--|
| <input checked="" type="checkbox"/> Fee Transmittal Form<br><input checked="" type="checkbox"/> Fee Attached<br><input type="checkbox"/> Amendment / Reply<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><input type="checkbox"/> Extension of Time Request<br><input type="checkbox"/> Express Abandonment Request<br><input type="checkbox"/> Information Disclosure Statement<br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><input type="checkbox"/> Response to Missing Parts/ Incomplete Application<br><input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input checked="" type="checkbox"/> Assignment Papers (for an Application)<br><input type="checkbox"/> Drawing(s)<br><input type="checkbox"/> Licensing-related Papers<br><input type="checkbox"/> Petition<br><input type="checkbox"/> Petition to Convert to a Provisional Application<br><input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address<br><input type="checkbox"/> Terminal Disclaimer<br><input type="checkbox"/> Request for Refund<br><input type="checkbox"/> CD, Number of CD(s) _____ | <input type="checkbox"/> After Allowance Communication to Group<br><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences<br><input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)<br><input type="checkbox"/> Proprietary Information<br><input type="checkbox"/> Status Letter<br><input type="checkbox"/> Other Enclosure(s) (please identify below): |
|--|--|--|

Remarks

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**Firm  
or  
Individual nameBakerBotts LLP  
30 Rockefeller Plaza  
New York, NY 10112

Signature

Att Name: Peter J. Shen  
PTO Reg: 52,217

Date

July 24, 2003

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450 on this date: July 24, 2003

Typed or printed name

Peter J. Shen

Signature

Date July 24, 2003

Title: HO-1 SUPPRESSOR AS A DIAGNOSTIC AND PROGNOSTIC TEST FOR DEMENTING DISESES

Use Space Below for Additional Information: