

08-07-2003

Form PTO-1595
(Rev. 10/02)

REC



U.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office

OMB No. 0651-0027 (exp. 6/30/2005)

Tab settings

102639454

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

OFFICE OF PUBLIC RECORDS
2003 AUG -15 AM 10:20

1. Name of conveying party(ies):
POWER DESIGNERS, LLC

8-5-03

2. Name and address of receiving party(ies)
Name: INVESTMENT DIRECTIONS, INC

Internal Address: n/a

Additional name(s) of conveying party(ies) attached? Yes No

3. Nature of conveyance:

- Assignment
- Merger
- Security Agreement
- Change of Name
- Other

Street Address: 25 W. MAIN STREET, 6TH FLOOR

City: MADISON State: WI Zip: 53703

Execution Date: 04/02/2003

Additional name(s) & address(es) attached? Yes No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s) 10/077,679;
10/195,968; PCT 089122554 (Taiwan)

B. Patent No.(s) 6,150,795

Additional numbers attached? Yes No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: RICHARD E. PETERSHACK, ESQ.

Internal Address: n/a

Street Address: 2 EAST MIFFLIN ST. SUITE 200

City: MADISON State: WI Zip: 53703

6. Total number of applications and patents involved: 7

7. Total fee (37 CFR 3.41).....\$ 280.00

- Enclosed
- Authorized to be charged to deposit account

8. Deposit account number:

DO NOT USE THIS SPACE

9. Signature.

RICHARD E. PETERSHACK
Name of Person Signing

7/30/03
Date

Total number of pages including cover sheet, attachments, and documents: 4

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

08/06/2003 01FC:0021 00000235 10077679

01 FC:0021

280.00

PATENT
REEL: 014351 FRAME: 0225

CONTINUATION OF ITEM 4.

Patent Application No.(s) (con't):

PCT CA 2,390,551

PCT EP 00988484.2

PCT WO 01/33691A1

GRANT OF SECURITY INTEREST IN PATENTS

WHEREAS, Power Designers, LLC, a Wisconsin limited liability company ("Owner"), owns certain patents and certain patent applications as described in the attached schedule (together, the "Patents"); and

WHEREAS, Owner has agreed to grant to Investment Directions, Inc., a Wisconsin corporation ("IDI"), a security interest in the Patents to secure all debts, obligations and liabilities of Owner to IDI arising under a Revolving Credit Agreement and Revolving Credit Note, each of even date herewith (collectively, the "Obligations").

NOW, THEREFORE, in consideration of the foregoing and for other good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, and to secure payment of the Obligations, Owner hereby grants a security interest in favor of IDI with respect to the entire right, title, and interest of Owner in the Patents and Owner warrants to IDI that to the best of Owner's knowledge, information and belief, Owner owns all right, title, and interest in and to the Patents, free and clear of all security interests, liens, and encumbrances, except for the security interest granted herein, and that it has all requisite power and authority to grant such security interest.

Owner hereby authorizes IDI to execute and file continuation statements or similar forms without the signature of Owner if IDI determines that any such forms are necessary or advisable in order to maintain IDI's security interest in the Patents. Owner shall promptly execute such financing and continuation statements or similar forms in a form satisfactory to IDI, at the request of IDI, to further evidence and secure IDI's interest in the Patents, and shall pay to IDI on demand any expenses incurred by IDI in connection with the preparation, execution, and filing of such statements. Following the occurrence of an Event of Default as defined in the Obligations, IDI may, at its option, sell or otherwise dispose of the Patents by public or private proceedings, separate from or together with the sale of any other part of the Patents or other security given for Owner's Obligations, in accordance with the provisions of the Wisconsin Uniform Commercial Code or other applicable law, and IDI may with respect to such Patents, exercise any other rights or remedies of a secured party under the Wisconsin Uniform Commercial Code and other applicable law. IDI shall give Owner at least twenty (20) days prior written notice of the time and place of any public sale of the Patents or other intended disposition thereof. Upon the occurrence of an Event of Default under the documents securing the Obligations (the "Loan Documents"), Owner authorizes IDI to take any action deemed necessary by IDI to protect its security interest created hereby, including without limitation, signing Owner's name or paying any amount it deems appropriate to pay, and the costs thereof shall be added to the indebtedness secured by the Loan Documents and this agreement from the date of payment by IDI at the highest default rate of interest provided in the Obligations.

IN WITNESS WHEREOF, the Borrower has caused this Note to be executed in Madison, Wisconsin as of the date first above written.

Power Designers, LLC, a Wisconsin limited liability company
("Owner")

By: Investment Directions, Inc. a Wisconsin corporation,
Manager

By: 

David Weimert, President

[ACKNOWLEDGMENT ON NEXT PAGE FOLLOWING]

ACKNOWLEDGMENT

STATE OF WISCONSIN)
)ss.
COUNTY OF DANE)

On this 31st day of March, 2003, before me personally appeared David Weimert, the President of Investment Directions, Inc., the acting manager of Power Designers, LLC, who acknowledged himself to be such officer of such corporation, to me known to be the person who executed the foregoing grant of security interest on behalf of and by the authority of such corporation in its capacity as manager of Power Designers, LLC, and acknowledged the same.

IN WITNESS WHEREOF, I have hereunto set my hand and seal.

Patricia Beckett
Patricia Beckett
Notary Public, State of Wisconsin
My Commission expires: October 31, 2004



UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

FEBRUARY 19, 2004

PTAS



102518478A

RICHARD E. PETERSHACK, ESQ.
2 EAST MIFFLIN STREET
SUITE 200
MADISON, WI 53703

Record
UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF NON-RECORDATION OF DOCUMENT

DOCUMENT ID NO.: 102518478

THE ENCLOSED DOCUMENT HAS BEEN EXAMINED AND FOUND NON-RECORDABLE BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. THE REASON(S) FOR NON-RECORDATION ARE STATED BELOW. DOCUMENTS BEING RESUBMITTED FOR RECORDATION MUST BE ACCOMPANIED BY A NEW COVER SHEET REFLECTING THE CORRECT INFORMATION TO BE RECORDED AND THE DOCUMENT ID NUMBER REFERENCED ABOVE.

THE ORIGINAL DATE OF FILING OF THIS ASSIGNMENT DOCUMENT WILL BE MAINTAINED IF RESUBMITTED WITH THE APPROPRIATE CORRECTION(S) WITHIN 30 DAYS FROM THE DATE OF THIS NOTICE AS OUTLINED UNDER 37 CFR 3.51. THE RESUBMITTED DOCUMENT MUST INCLUDE A STAMP WITH THE OFFICIAL DATE OF RECEIPT UNDER 37 CFR 3. APPLICANTS MAY USE THE CERTIFIED PROCEDURES UNDER 37 CFR 1.8 OR 1.10 FOR RESUBMISSION OF THE RETURNED PAPERS, IF THEY DESIRE TO HAVE THE BENEFIT OF THE DATE OF DEPOSIT IN THE UNITED STATES POSTAL SERVICE.

SEND DOCUMENTS TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231. IF YOU HAVE ANY QUESTIONS REGARDING THIS NOTICE, YOU MAY CONTACT THE INDIVIDUAL WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723.

1. THE PROPERTY NUMBER PROVIDED CAN NOT BE LOCATED IN THE PATENT AND TRADEMARK RECORDS.

THERESA FREDERICK, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

Record the 4 US numbers only