

08-08-2003

Atty. Docket No.: 32860-000495/US

Page 1 of 1

RE



EET

102519899

To the Honorable Commissioner of Patents and Trademarks:
Please record the attached original documents or copy thereof.

8-5-23

1. Name of conveying party(ies):

- (1) Gérard BARBEZAT;
(2) Robert FLECK;
(3) Thomas JANSING;
(4) Michael LOCH;

Additional name(s) of conveying party(ies) attached?

☐ YES ☒ NO

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other:

Execution Date (mm/dd/yyyy): (1) 01/30/2003; (2) 03/13/2003;
(3) 01/23/2003; (4) 03/10/2003

2. Name and address of receiving party(ies)

Name: Siemens Aktiengesellschaft Sulzer Metco AG

Street Address: Wittelsbacherplatz 2

City: Munich

Country: GERMANY

Postal Code: D-80333

Additional name(s) & address(es) attached? ☐ YES ☒ NO

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No(s).

10/362,831

B. Patent No.(s).

Additional numbers attached? ☐ YES ☒ NO

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: HARNESS, DICKEY & PIERCE, P.L.C.

Street Address: P.O. BOX 8910

City: RESTON State: VA ZIP: 20195

Country: USA

6. Total No. of applications/patents involved: One (1)

7. Total fee (37 C.F.R. § 3.41): \$40.00

- ☒ Enclosed
☐ Authorized to be charged to deposit account,
if no fee attached.

8. Deposit account number: 08-0750

(Attach triplicate copy of this page
if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Donald J. Daley, Reg. No. 34,313

Name of Person Signing/Reg. No.

Signature

August 5, 2003

Date

Total number of pages including cover sheet, attachments, and document: Twelve (12)

08/07/2003 ECDOPER 00000074 10362831

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DJD/hnd

PATENT
REEL: 014353 FRAME: 0316

ASSIGNMENT

For good and valuable consideration, I/we, the undersigned

Gérard Barbezat
residing at:
Rietgrabenstraße 62
CH-8152 Opfikon
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Robert Fleck
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Schillerstr. 11
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Thomas Jansing
residing at:
Zambellistraße 16
91056 Erlangen
GERMANY

Dr. Michael Loch
residing at:
Lessingstraße 10
79664 Wehr

residing at:

residing at:

residing at:

residing at:

hereby sell, assign, and transfer to

Siemens Aktiengesellschaft Sulzer Metco AG (Switzerland)

a German corporation organized and existing under the laws of the Federal Republic of Germany, having its principal place of business in München Wohlen, GERMANY herein the "Assignee", its successors, assigns and legal representatives the entire and exclusive right, title and interest in and for the United States, in all and to any improvements in the

(Title:) **Verfahren zum Herstellen einer festkeramischen Brennstoffzelle**

disclosed in the PCT international application for Letters Patent designating the United States, said application being identified in our records as

Applicants File No. 2000P13894WOUS
and filed as PCT-application PCT/EP01/09760

and in and to said application and all divisional, continuing substitute, renewal, reissue and all other applications for Letters Patent which have been or shall be filed in the United States on any of said improvements; and in and to all original and reissued patents which have been or shall be issued in the United States on said improvements;

hereby agree that said Assignee may apply for and receive Letters Patent for said improvements in its own name and that when requested without charge to but at the expense of said Assignee, its successors, assigns, and legal representatives, to carry out in good faith the intent and purpose of this agreement, the undersigned will execute all divisional, continuing substitute, renewal, reissue and all other patent applications on any and all said improvements; execute all rightful oaths, assignments, powers of attorney and other papers; communicate to said Assignee, its successors, assigns and legal representatives all facts known to the undersigned relating to said improvements and the history thereof; and generally do everything possible which said Assignee, its successors, assigns, or legal representatives shall consider desirable for aiding in securing and maintaining proper patent protection for said improvements and for vesting title to said improvements and all applications for patents and all patents on said improvements in said Assignee, its successors, assigns, and legal representatives; and hereby covenant with said Assignee, its successors, assigns and legal representatives that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed had been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.

Date: January 30, 2003

Gérard Barbezat
Gérard Barbezat

Witnesses: Christian Feltz
(type name)

Witnesses: Arno Reff
(type name)

Date: _____

Robert Fleck

Witnesses: _____
(type name)

Witnesses: _____
(type name)

Date: _____

Thomas Jansing

Witnesses: _____
(type name)

Witnesses: _____
(type name)

Date: _____

Michael Loch

Witnesses: _____
(type name)

Witnesses: _____
(type name)

Date: _____

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IDNR: 4248 / V: 99-1,00 / B: Val

hereby agree that said Assignee may apply for and receive Letters Patent for said improvements in its own name and that when requested without charge to but at the expense of said Assignee, its successors, assigns, and legal representatives, to carry out in good faith the intent and purpose of this agreement, the undersigned will execute all divisional, continuing substitute, renewal, reissue and all other patent applications on any and all said improvements; execute all rightful oaths, assignments, powers of attorney and other papers; communicate to said Assignee, its successors, assigns and legal representatives all facts known to the undersigned relating to said improvements and the history thereof; and generally do everything possible which said Assignee, its successors, assigns, or legal representatives shall consider desirable for aiding in securing and maintaining proper patent protection for said improvements and for vesting title to said improvements and all applications for patents and all patents on said improvements in said Assignee, its successors, assigns, and legal representatives; and

hereby covenant with said Assignee, its successors, assigns and legal representatives that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed had been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.

Date: _____

Gérard Barbezat

Witnesses: _____
(type name)

Witnesses: _____
(type name)

Date: ~~February~~ March 13, 2003

Robert Fleck

Witnesses: Werner Chen
(type name)

Witnesses: LEIMFELDIER, ROBERT
(type name)

Date: _____

Thomas Jansing

Witnesses: _____
(type name)

Witnesses: _____
(type name)

Date: _____

Michael Loch

Witnesses: _____
(type name)

Witnesses: _____
(type name)

Date: _____

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Date: _____

Gérard Barbezat

Witnesses: _____
(type name)

Witnesses: _____
(type name)

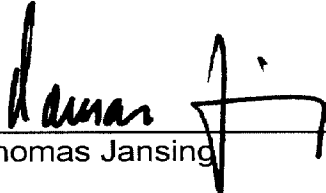
Date: _____

Robert Fleck


Witnesses: _____
(type name)

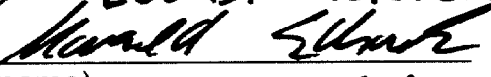
Witnesses: _____
(type name)

Date: 2003-01-23



Thomas Jansing

Witnesses: 
(type name) LEE D. MORTON

Witnesses: 
(type name) Harald Schork

Date: _____

Michael Loch

Witnesses: _____
(type name)

Witnesses: _____
(type name)

Date: _____

Witnesses: _____
(type name)

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(type name)

Witnesses: _____
(type name)

Date: _____

Thomas Jansing

Witnesses: _____
(type name)

Witnesses: _____
(type name)

Date: 10. März 2003

Michael Loch
Michael Loch

Witnesses: Peter Lohr
(type name)

Witnesses: Bruno Tamm
(type name)

Date: _____

Witnesses: _____
(type name)

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