

08-12-2003



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102522038

To the Honorable Director of the United States Patent and Trademark Office: Please record the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

Danny Shum  
Georg Tempel  
Ronald Kakoschke

6-27-03

## 2. Name and address of receiving party(ies):

Name: Infineon Technologies North America Corp.

Internal Address: 1730 North First Street

San Jose, CA 95112

Street Address: Same as above

City: \_\_\_\_\_ State: \_\_\_\_\_ ZIP: \_\_\_\_\_

Additional name(s) & address(es) attached? ☐ Yes ☒ No

## 3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other \_\_\_\_\_

Execution Date: 06-16-2003

## 4. Application number(s) or patent numbers(s):

If this document is being filed together with a new application, the execution date of the application is: 06-16-2003

## A. Patent Application No.(s)

## B. Patent No.(s)

07/01/2003 MGBREH1 00000004 10607610

04 FC:8021

40.00

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Elsa Keller

Internal Address: Infineon Technologies North America  
Corp.

c/o Siemens Corporation

Street Address: Intellectual Property Department

170 Wood Avenue South, 5th Floor

City: Iselin State: NJ ZIP: 08830

## 6. Total number of applications and patents involved:

1

## 7. Total fee (37 CFR 3.41):.....\$ 40.00

- ☒ Enclosed - Any excess or insufficiency should be credited or debited to deposit account (Form PTO-2038 enc. with filing)  
☐ Authorized to be charged to deposit account

## 8. Deposit account number:

## 9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Thomas R. FitzGerald, Esq.

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and document:

3

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PATENT  
REEL: 014362 FRAME: 0328

**ASSIGNMENT**

For good and valuable consideration, we, *DANNY SHUM, residing at 26 Tall Tree Lane, Poughkeepsie, New York 12601, a citizen of the United States of America, GEORG TEMPEL, residing at Maurice Despretlaan 9, B-1933 Sterrebeek, Belgium, a citizen of Germany, and RONALD KAKOSCHKE, residing at Karlsbergstrasse 11, D-81475 Munich, Germany, a citizen of GERMANY,*

Hereby sell, assign, and transfer to *Infineon Technologies North America Corp.*, a corporation organized and existing under the laws of the state of *Delaware*, having its principal place of business at *1730 North First Street, San Jose, CA 95112,*

hereinafter "Assignee", its successors, assigns and legal representatives, the entire right, title and interest in and for the United States and all foreign countries, in and to any and all improvements which are disclosed in the application for United States Letters Patent, which has been executed by the undersigned concurrently herewithin, and is entitled:

**ONE TRANSISTOR FLASH MEMORY CELL**

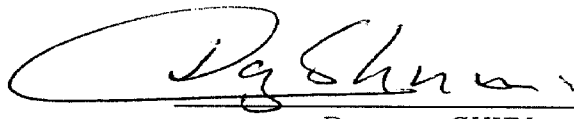
and in and to said application and all divisional, continuing substitute, renewal, reissue, and all other applications for Letters Patent which have been or shall be filed in the United States and all foreign countries on any of said improvements; and in and to all original and reissued patents which have been or shall be filed in the United States and all foreign countries on said improvements;

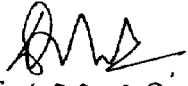
Agree that Assignee may apply for and receive Letters Patent for said improvements in its own name, and that, when requested, without charge to but at the expense of said Assignee, their successors, assigns, and legal representatives to carry out in good faith the intent and purpose of this agreement, the undersigned will execute all divisional, continuing substitute, renewal, reissue, and all other patent applications on any and all said improvements; execute all rightful oaths, assignments, powers of attorney and other papers; communicate to said Assignee, its successors, assigns, and representatives, all facts known to the undersigned relating to said improvements and the history thereof; and generally do everything possible which said Assignee, its successors, assigns, or representatives shall consider desirable for aiding in securing and maintaining proper patent protection for said improvements and for vesting title to said improvements and all applications for patents and all patents on said improvements, in said Assignee, its successors, assigns, and legal representatives; and

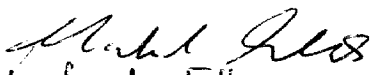
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Covenant with said Assignee, its successors, assigns and legal representatives that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.

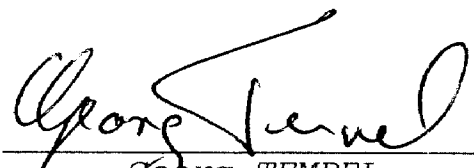
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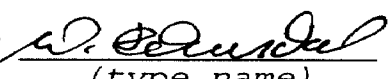
  
 Danny SHUM

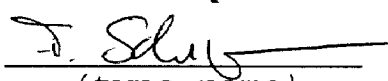
Witness 1:   
VASUDEV DASAPPA  
 (type name)

Witness 2:   
Manfred Eller  
 (type name)

Date: 6/20/03

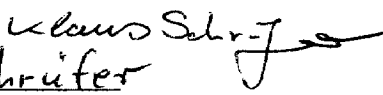
  
 Georg TEMPEL

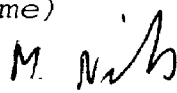
Witness 1:   
 (type name)

Witness 2:   
 (type name)

Date: 6/24/03

  
 Ronald KAKOSCHKE

Witness 1:   
Klaus Schrüfer  
 (type name)

Witness 2:   
Martin Niehoff  
 (type name)

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