

08-13-2003

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ER FOR PATENTS

102523568

Original document or copy thereof.

1. Name of Party(ies) conveying an interest:

Phosistor Technologies, Inc.
7079 Commerce Circle
Pleasanton, CA 94588

8-11-03

2. Name and Address of Party(ies) receiving an interest:

Name: Seng-Tiong Ho
Internal Address:
Street Address: 120 Picardy Lane
City: Wheeling
State/Zip: IL 60090

Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No

Additional name(s) and addresses attached?

☐ Yes ☒ No

3. Description of the interest conveyed:

☒ Assignment☐ Change of Name

Other:

☐ Security Agreement☐ MergerExecution Date: May 9, 20034. Application number(s) or patent number(s). Additional sheet attached? ☐ Yes ☐ No

If this document is being filed together with a new application, the execution date of the application

Date

A. Patent Application No.(s)

10/197,937

B. Patent No.(s)

6,298,180

6,473,541

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

BRINKS HOFER GILSON & LIONE
P.O. BOX 10395
CHICAGO, IL 60610
(312)321-4200

6. Number of applications and patents involved: 3

7. Total fee (37 CFR 3.41)

\$ 120☒ Enclosed☐ Authorized to be charged to Deposit Account No. 23-19258. ☒ Please charge any deficiencies in fee or credit any overpayment to Deposit Account No. 23-1925.

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120.00 DP

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

David W. Okey

Name of Person Signing

David W. Okey

Signature

August 8, 2003

Date

Total number of pages including cover sheet, attachments, and document: 3

Rev. Dec.-99

Document2

PATENT
REEL: 014363 FRAME: 0747

ASSIGNMENT

WHEREAS, Seng-Tiong Ho, hereinafter called the "Assignor", has made the invention described in the United States patent application entitled Photon Transistors, for a full description of which reference is here made to an application for Letters Patent of the United States filed on July 18, 2002 and assigned Application Serial No. 10/197,937, which application is a divisional application of U.S. Pat. Appl. 09/661,975, filed Sept. 14, 2000; and

WHEREAS, Seng-Tiong Ho has also made other inventions, described in U.S. Pats. No. 6,298,180, and 6,473,541, and also described in U.S. Pat. Applications as follows: Non-provisional Appl. 09/396,627, filed September 15, 1999, entitled Photon Transistors; Non-provisional Appl. 09/661,975, filed on September 14, 2000, entitled Photon Transistors; Provisional Appl. 60/154,142, filed on Sept. 15, 1999, entitled Photon Transistors; Provisional Appl. 60/167,526, filed Nov. 24, 1999, entitled Electro-Photonic Transistors; said inventions also described in PCT Pat. Appl. Number PCT/US00/25371, filed on Sept. 14, 2000, entitled Photon Transistors; and Taiwan Patent Application Number 89118876, entitled Photon Transistors (Sept. 14, 2000);

WHEREAS, all of said inventions, applications, and patents have been assigned to Phosistor Technologies, Inc., a corporation of Delaware, having a place of business at 7079 Commerce Circle, Pleasanton, California, 94588, hereinafter called the "Assignor", including any associated continuations, continuations-in-part, divisionals or renewals thereof, all inventions disclosed therein, and any Letters Patent issuing thereon or nationalized therefrom, including any substitutions, conversions, reissues, prolongations, and extensions thereof;

WHEREAS, Seng-Tiong Ho, an individual, hereinafter called the "Assignee", desires to acquire the entire right, title and interest in and to the inventions, the patents and the patent applications identified above, and all patents which may be obtained for said inventions, as set forth below;

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00), and other valuable and legally sufficient consideration, the receipt of which by the Assignor from the Assignee is hereby acknowledged, the Assignor has sold, assigned and transferred, and by these presents does sell, assign and transfer to the Assignee, the entire right, title and interest for the

United States in and to the inventions, the patents and the patent applications identified above, and any patents that may issue for said inventions in the United States; together with the entire right, title and interest in and to said inventions and all patent applications and patents therefor in all countries foreign to the United States, including the full right to claim for any such application all benefits and priority rights under any applicable convention; together with the entire right, title and interest in and to all continuations, divisions, renewals and extensions of any of the patent applications and patents defined above; to have and to hold for the sole and exclusive use and benefit of the Assignee, its successors and assigns, to the full end of the term or terms for all such patents.

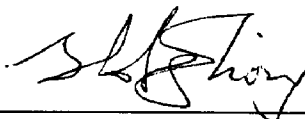
The Assignor hereby covenants and agrees, for both the Assignor and the Assignor's legal representatives, that the Assignor will assist the Assignee in the prosecution of the patent application identified above; in the making and prosecution of any other patent applications that the Assignee may elect to make covering the inventions identified above; in vesting in the Assignee like exclusive title in and to all such other patent applications and patents; and in the prosecution of any interference which may arise involving said inventions, or any such patent applications or patents; and that the Assignor will execute and deliver to the Assignee any and all additional papers which may be requested by the Assignee to carry out the terms of this Assignment.

The Commissioner of Patents and Trademarks is hereby authorized and requested to issue patents to the Assignee in accordance with the terms of this Assignment.

IN TESTIMONY WHEREOF, the Assignor has executed this agreement.

DATED:

9 May 03



Seng-Tiong Ho, President,
Phosistor Technologies, Inc.

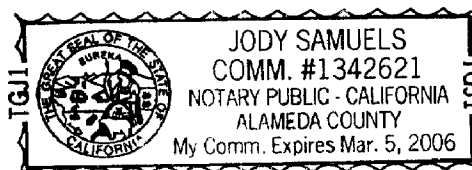
STATE OF CALIFORNIA
COUNTY OF ALAMEDA

SS.

Subscribed and sworn to before me

by Seng-Tiong Ho
this 9 day of May, 2003.


Notary's Signature



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RECORDED: 08/11/2003

PATENT
REEL: 014363 FRAME: 0749