

08-13-2003



Attorney Docket No. LUTZ 2 00188  
Blumberg 3-1-1-3-3

102523652

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF : Blumberg, et al.  
FOR *8-11-03* : **BACK OUT PROVISION FOR FAILED  
PROGRAMMABLE HARDWARE UPDATE**  
SERIAL NO. : 10/441,700  
CONFIRMATION NO. : 2308  
FILED : May 20, 2003  
ART UNIT : 2184  
ATTORNEY DOCKET NO. : LUTZ 2 00188  
Blumberg 3-1-1-3-3

2003 AUG 11 PM 6:57  
OPR/FINANCE

**ASSIGNMENT TRANSMITTAL LETTER**

Mail Stop Assignment Recordation Services  
Director of the US Patent and Trademark Office  
PO Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Please record the attached original documents or copies thereof.

1. Name of conveying party(ies):

**Marc R. Blumberg, Michael J. Kalscheur, Matteo Palitto, Douglas W.  
Sturm, William F. Pranaitis, Jr.**

2. Name and address of receiving party(ies):

Lucent Technologies Inc.  
600 Mountain Avenue  
P.O. Box 636  
Murray Hill, New Jersey 07974-0636

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PATENT  
REEL: 014364 FRAME: 0060

3. Nature of conveyance:

- ☒ Assignment ☐ Change of Name  
☐ Merger ☐ Security Agreement  
Other \_\_\_\_\_

Execution Dates of Assignment:

Marc R. Blumberg - June 6, 2003  
Michael J. Kalscheur - June 6, 2003  
Matteo Palitto - June 10, 2003  
Douglas W. Sturm - June 6, 2003  
William F. Pranaitis, Jr. - June 6, 2003

4. [ ] These documents are being filed together with a new application, the execution date of which is:

*or*

- [X] These documents are being filed with application serial no. **10/441,700**, filed on **May 20, 2003**, the execution date of which is: \_\_\_\_\_

Marc R. Blumberg - June 6, 2003  
Michael J. Kalscheur - June 6, 2003  
Matteo Palitto - June 10, 2003  
Douglas W. Sturm - June 6, 2003  
William F. Pranaitis, Jr. - June 6, 2003

5. Name and address of party to whom correspondence concerning this document should be mailed:

Richard J. Minnich  
FAY, SHARPE, FAGAN, MINNICH & McKEE, LLP  
1100 Superior Avenue, Seventh Floor  
Cleveland, Ohio 44114-2579

6. Total number of applications and patents involved: One

7. Total fee (37 CFR 3.41) \$40.00

[X] Enclosed

[ ] Authorized to be charged to deposit account.  
Deposit Account Number (attached duplicate copy of this form if paying by Deposit Account): 06-0308.

[X] The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 06-0308.

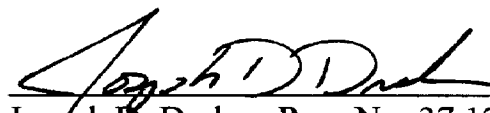
**DO NOT USE THIS SPACE**

8. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.
9. Total number of pages enclosed (including transmittal and assignment) 7:

Respectfully submitted,

FAY, SHARPE, FAGAN,  
MINNICH & McKEE, LLP

August 7, 2003  
Date

  
\_\_\_\_\_  
Joseph D. Dreher, Reg. No. 37,123  
Alan C. Brandt, Reg. No. 50,218  
1100 Superior Avenue  
Seventh Floor  
Cleveland, OH 44114-2579  
216/861-5582

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**ASSIGNMENT AND AGREEMENT**

For value received, we, Marc R. Blumberg of Berwyn in the County of Cook and State of Illinois; Michael J. Kalscheur of Wheaton in the County of Du Page and State of Illinois; Matteo Palitto of Lisle in the County of Du Page and State of Illinois; Douglas W. Sturm of Wheaton in the County of Du Page and State of Illinois; and, William F. Pranaitis, Jr. of St. Charles in the County of Kane and State of Illinois, hereby sell, assign and transfer to Lucent Technologies Inc., a corporation of the State of Delaware, having an office at 600 Mountain Avenue, Murray Hill, New Jersey 07974-0636, U.S.A., and its successors, assigns and legal representatives, the entire right, title and interest, for the United States of America, in and to certain inventions related to **BACK OUT PROVISION FOR FAILED PROGRAMMABLE HARDWARE UPDATE** described in an application for Letters Patent of the United States, which was filed with the U.S. Patent and Trademark Office on May 20, 2003 under U.S. Serial No. 10/441,700, and all the rights and privileges in said application and under any and all Letters Patent that may be granted in the United States for said inventions; and we also concurrently hereby sell, assign and transfer to Lucent Technologies Inc. the entire right, title and interest in and to said inventions for all countries foreign to the United States including all rights of priority arising from the application aforesaid, and all the rights and privileges under any and all forms of protection, including Letters Patent, that may be granted in said countries foreign to the United States for said inventions.

We authorize Lucent Technologies Inc. to make application for such protection in its own name and maintain such protection in any and all countries foreign to the United States, and to invoke and claim for any application for patent or other form of protection for said inventions, without further authorization from us, any and all benefits, including the right of priority provided by any and all treaties, conventions, or agreements.

We hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any document which may be required in any country in proof of the right of Lucent Technologies Inc. to apply for patent or other form of protection for said inventions and to claim the aforesaid benefit of the right of priority.

We request that any and all patents for said inventions be issued to Lucent Technologies Inc. in the United States and in all countries foreign to the United States, or to such nominees as Lucent Technologies Inc. they may designate.

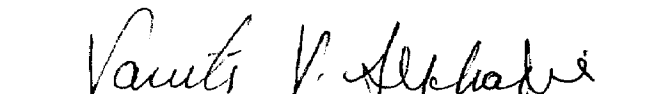
We agree that, when requested, we shall, without charge to Lucent Technologies Inc., but at its expense, sign all papers, and do all acts which may be necessary, desirable or convenient in connection with said applications, patents, or other forms of protection.

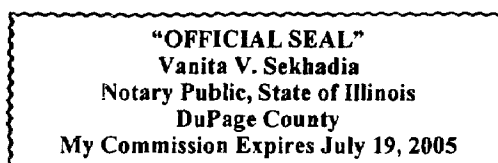
  
Marc R. Blumberg

Date: 6/6/03

United States of America )  
State of ILLINOIS )ss.:  
City of NAPERVILLE )  
County of Du PAGE )

On this 6<sup>th</sup> day of JUNE, 2003, before me personally came Marc R. Blumberg, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

  
Notary Public

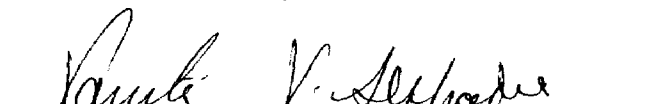


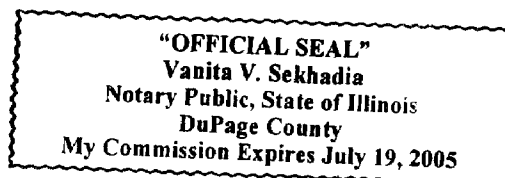
  
Michael J. Kalscheur

Date: 6/6/03

United States of America )  
State of ILLINOIS )ss.:  
City of NAPERVILLE )  
County of Du PAGE )

On this 6<sup>th</sup> day of June, 2003, before me personally came Michael J. Kalscheur, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

  
Notary Public



Date: 6/10/2003

Matteo Palitto  
Matteo Palitto

United States of America )  
State of ILLINOIS )ss.:  
City of NAPERVILLE )  
County of DUPAGE )

On this 10 day of JUNE, 2003, before me personally came Matteo Palitto, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

Carol Lorenzi  
Notary Public



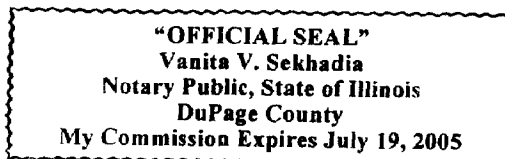
Douglas W. Sturm  
Douglas W. Sturm

Date: 6/6/03

United States of America )  
State of ILLINOIS )ss.:  
City of NAPERVILLE )  
County of DUPAGE )

On this 6<sup>th</sup> day of JUNE, 2003 before me personally came Douglas W. Sturm, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

Vanita V. Sekhadia  
Notary Public



William F. Pranaitis, Jr.

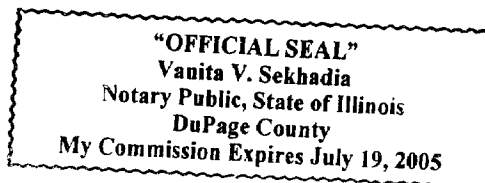
William F. Pranaitis, Jr.

Date: 6/6/03

United States of America )  
State of ILLINOIS )ss.:  
City of NAPERVILLE )  
County of DU PAGE )

On this 6<sup>th</sup> day of June, 2003 before me personally came William F. Pranaitis, Jr., to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

Vanita V. Sekhadia  
Notary Public



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