Client Code: PUNIV.004DV1

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| 1. Name of conveying party(ies): (List if multiple parties) 1) Bonnie L. Bassler 2) Stephan Schauder Additional name(s) of conveying party(ies) attached? () Yes (X) No 3. Nature of conveyance: (X) Assignment () Security Agreement () Merger () Change of Name () Other: Execution Date: (List as in section 1 if multiple signatures) 1) August 21, 2001 2) August 21, 2001 | Name and address of receiving party(ies): Name: PRINCETON UNIVERSITY Address: Office of Technology Licensing and Intellectual Property Fourth Floor, New South Bldg., P.O. Box 36 Princeton, New Jersey 08544-0036 Additional name(s) of receiving party(ies) attached? () Yes (X) No |
|---|---|
| | 4. Application number(s) or Patent number(s): (X) Patent Application No.: 10/300,818 Filing Date: November 19, 2002 Additional numbers attached? () Yes (X) No |
| Name and address of party to whom correspondence concerning document should be mailed: Name: Joseph J. Mallon KNOBBE, MARTENS, OLSON & BEAR, LLP Customer No. 20,995 Internal Address: Fourteenth Floor Street Address: 2040 Main Street City: Irvine State: CA ZIP: 92614 Attorney's Docket No.: PUNIV.004DV1 | 6. Total number of applications and patents involved: 1 7. Total fee (37 CFR 1.21(h)): \$40 (X) Authorized to be charged to deposit account 8. Deposit account number: 11-1410 Please charge this account for any additional fees which may be required, or credit any overpayment to this account. |
| 9. Statement and signature. To the best of my knowledge and belief, the foregoing information is true and correct, and any attached copy is a true copy of the original document. Joseph J. Mallon Name of Person Signing Signature 39.287 Registration No. Total number of pages including cover sheet, attachments and document: 3 | |

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PATENT REEL: 014371 FRAME: 0451 \bigcirc

Application No.: 09/853,832 Filing Date: May 10, 2001 PATENT
Client Code: PUNIV.004A

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ASSIGNMENT

WHEREAS, We, Bonnie L. Bassler, a United States citizen, residing at 39 Pine Street, Princeton, New Jersey 68542, and Stephan Schauder, a German citizen, residing at 120 Prospect Avenue, Apt. G-1, Princeton, New Jersey 08540, have invented certain new and useful improvements in COMPOUNDS AND METHODS FOR REGULATING BACTERIAL GROWTH AND PATHOGENISIS for which we have filed an application for Letters Patent in the United States, Application No. 09/853,832, filed May 10, 2001.

AND WHEREAS, PRINCETON UNIVERSITY, a NEW JERSEY University, with its principal place of business at Office of Technology Licensing and Intellectual Property, Fourth Floor, New South Building, Post Office Box 36, Princeton, New Jersey 08544-0036, desires to acquire our entire right, title, and interest in and to the said improvements and the said Application:

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) to me in hand paid, and other good and valuable consideration, the receipt of which is hereby acknowledged, we, the said inventors, do hereby acknowledge that we have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto the said ASSIGNEE, its successors, legal representatives and assigns, our entire right, title, and interest throughout the world in, to and under the said improvements, and the said application and all divisions, renewals and continuations thereof, and all Letters Patent of the United States which may be granted thereon and all reissues and extensions thereof, and all rights of priority under International Conventions and applications for Letters Patent which may hereafter be filled for said improvements in any country or countries foreign to the United States, and all Letters Patent which may be granted for said improvements in any country or countries foreign to the United States and all extensions, renewals and reissues thereof; and we hereby authorize and request the Commissioner of Patents of the United States, and any Official of any country or countries foreign to the United States, whose duty it is to issue patents on applications as aforesaid, to issue all Letters Patent for said improvements to the said ASSIGNEE, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND WE HEREBY covenant and agree that we will communicate to the said ASSIGNEE, its successors, legal representatives and assigns, any facts known to us respecting said improvements, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and generally do everything possible to aid the said ASSIGNEE, its successors, legal representatives and assigns, to obtain and enforce proper patent protection for said improvements in all countries.

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this 21 day of Avg , 200/

Bonnie I. Bassler

STATE OF NEW JEBS SS.

COUNTY OF HERCER SS.

On July, before me, <u>printed</u> personally appeared Bonnie L. Bassler personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument, and acknowledged to me that she executed the same in her authorized capacity, and that by her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

(SEAL)

ELENA CHIARCHIARO
Notary Public
State of New Jersey
MyCommission Expires Dec 08, 200

REEL-01437 FRAME: 0452

Application No.: 09/853,832 Filing Date: May 10, 2001 PATENT Client Code: PUNIV.004A

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IN TESTIMONY WHEREOF, I hereunto set my hand and seal this 21 day of august 2001.

Stephan Schauder

Notary Signature

STATE OF NEW JERSEY

On RUG-21, 2001, before me, ELEVA CHARCHER personally appeared Stephan Schauder personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument, and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

[SEAL]

\$\DOC\$\JOM\JOM-2003.D\QC 081301 ELENA CHIARCHIARO Notary Public State of New Jersey My Commission Expires Dec 06, 2005

RECORDED: 02/24/2004

PATENT REEL: 014371 FRAME: 0453