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DEPARTMENT OF COMMERCE
Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Tube Ice, LLC

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:



Assignment



Merger



Security Agreement



Change of Name



Other _____

Execution Date: 3/9/2003

2. Name and address of receiving party(ies)

Name: Ice One, Inc.

Internal Address: _____

Street Address: 7301 Intermodal Dr.

City: Louisville State: KY Zip: 40210

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s) 08/983,332

B. Patent No.(s) _____

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: H. Roy Berkenstock

Internal Address: _____

Street Address: Wyatt, Tarrant & Combs, LLC

1715 Aaron Brenner Dr., Suite 800

City: Memphis State: TN Zip: 38120-4367

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41).....\$ 40.00



Enclosed



Authorized to be charged to deposit account

8. Deposit account number:

50-2346

DO NOT USE THIS SPACE

9. Signature.

H. Roy Berkenstock

Name of Person Signing

8/4/03

Date

Total number of pages including cover sheet, attachments, and documents: 3

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

08/14/2003 6TDM11 00000007 502346 08983332

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PATENT
REEL: 014374 FRAME: 0163

ASSIGNMENT OF PATENT RIGHTS

The "Assignor" referred to in this assignment is Ice One, Inc., 7301 Intermodal Drive, Louisville, Kentucky, 40258, a Kentucky corporation. The "Assignee" referred to in this assignment is Tube Ice, LLC, 1000 West Ormsby Avenue, Louisville, Kentucky 40210, a Kentucky limited liability company.

This assignment is made in exchange for good and valuable consideration in hand paid by the Assignee, the receipt and sufficiency of which are acknowledged by the Assignor.

The Assignor hereby assigns to the Assignee, its successors and assigns, the entire right, title and interest in:

- (1) U.S. Patent Application No. 08/983,332, dated April 4, 1996, entitled: **CIRCUIT APPARATUS AND CONFIGURATION FOR REFRIGERATION SYSTEMS;**
- (2) Mexico Patent Application No. 979515, dated December 3, 1997, entitled: **CIRCUIT APPARATUS AND CONFIGURATION FOR REFRIGERATION SYSTEMS;** and
- (3) Canada Patent Application No. 2,223,405, dated April 4, 1997, entitled: **CIRCUIT APPARATUS AND CONFIGURATION FOR REFRIGERATION SYSTEMS**

and any continuations, continuations-in-part, divisions, reissues, reexamination certificates, or renewals thereof, including the right to recover for past infringements thereof.

The Assignor hereby agrees, upon the request of the Assignee, its successors and assigns, to perform any and all other acts necessary and proper to vest all rights conveyed by this assignment in the Assignee, its successors and assigns.

The Assignor further agrees that said Patent Applications and/or Letters Patent will be held and enjoyed by the Assignee, its successors and assigns, to the full end of the term for which said Patent Applications and/or Letters Patent have been or will be granted, as fully and entirely as the same would have been held and enjoyed by the Assignor if this assignment had not been made.

WITNESS my hand and seal this 4th day of May, 1999.

ICE ONE, INC.
a Kentucky corporation

By: 

Richard L. Cloud
President

STATE OF KENTUCKY)
 : SS
COUNTY OF JEFFERSON)

The foregoing instrument was acknowledged before me this 4th day of May, 1999, by Richard L. Cloud, President of Ice One, Inc., a Kentucky corporation.

My commission expires: 3-9-2003


Notary Public