

REC

08-15-2003

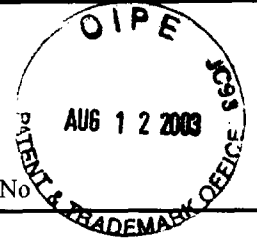
To the Honorable Commissioner for Patents: I

s) or copy(ies) thereof.

1. Name of Conveying Party(ies):

Hung-Cheng Tsai

102525659



Additional name(s) of conveying party(ies) attached?

☐ Yes☒ No

2. Name and Address of Party(ies) receiving an interest (assignee(s)):

Name: EZ Trend Technology Co., Ltd.

Address: 1F, No. 191, Sec. 1,
Shyh-Shyan Rd., Chia-Yi City,

Country: Taiwan

Additional name(s) and address(es) attached?

☐ Yes☒ No

3. Nature of Conveyance:

☒ Assignment☐

Merger

☐

Change of Name

☐ Verified Translation☐

Security Agreement

☐

Other: _____

Execution Date: May 16, 2003

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date(s) of the application is: _____

A. Patent Application No(s):B. Patent No(s):10/447,138

Others on additional sheet(s) attached?

☐ Yes☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

James B. Arpin
 Baker Botts L.L.P.
 The Warner; Suite 1300
 1299 Pennsylvania Avenue, N.W.
 Washington, D.C. 20004-2400
 (202) 639-7700 (telephone)
 (202) 639-7890 (facsimile)

6. Total number of applications and patents involved:

Application(s): 1
 + Patent(s): 0
 = Total: 1

7. Total Fee (37 C.F.R. § 3.41) \$ 40.00☒ Enclosed☐ Authorized to be charged to Deposit Account8. Deposit Account No.: 02-0375

(Duplicate copy of this sheet attached)

☒

Charge any underpayment or credit any overpayment to above Deposit Account

DO NOT USE THIS SPACE

9. Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

James B. Arpin

Name of Person Signing

Signature

August 12, 2003

Date

Total number of pages including cover sheet, attachments, and document:

3

08/14/2003 EC00PER 00000140 10447138

01 FC:8021

40.00

ASSIGNMENT

This assignment agreement is applicable to an invention entitled (Invention Title) ELECTRIC DOOR LOCK WITH A COUPLING MECHANISM FOR SELECTIVE ENGAGEMENT BETWEEN A DEADBOLT OPERATING SPINDLE AND A DOOR HANDLE

The PATENT RIGHTS referred to in this agreement are:

- (check one) ☒ a patent application for this invention, executed by the ASSIGNOR(S) concurrently with this assignment.
- ☒ U.S. patent application Serial No. 10/447,138, filed May 29, 2003.
- ☐ a U.S. patent application based on PCT International Application No. _____ filed on (date) _____ (U.S. patent application Serial No. _____, if known).
- ☐ U.S. patent No. _____, issued _____.

The PATENT RIGHTS also include all divisions, reissues, continuations and extensions of the patents and patent applications identified above.

The PATENT RIGHTS assigned under this agreement are:

- (check one) ☒ U.S. patent rights only.
- ☐ Worldwide patent rights. In this case, the assignee shall have the right to claim the benefit of the filing date of any U.S. or foreign patent application for this invention.

The ASSIGNOR(S) referred to in this agreement is (or are) the inventor(s) whose signatures appear on page 2 of this Assignment and any Supplemental Sheet(s).

The ASSIGNEE referred to in this agreement is:

(Name of Assignee) EZ TREND TECHNOLOGY CO., LTD.

(Address) 1F, No. 191, Sec. 1, Shyh-Shyan Rd., Chia-Yi City, Taiwan

The ASSIGNEE is:

- (check one) ☐ An Individual.
- ☐ A Partnership.
- ☒ A Corporation of Taiwan (specify state or country)
- ☐ (other) _____

The ASSIGNOR(S), in consideration of \$10.00 paid by the ASSIGNEE, and other good and valuable consideration, receipt of which is acknowledged, hereby assign(s) the following rights to the ASSIGNEE, its successors and assigns:

- the full and exclusive right to the invention;
- the entire right, title and interest in and to the PATENT RIGHTS;
- the right to sue and recover for any past infringement; and,
- the right to claim priority under 35 USC 119, 35 USC 120, or any other applicable provisions, based on any earlier patent applications for this invention.

As to all U.S. patent applications assigned under this agreement, the ASSIGNOR(S) hereby authorize(s) and request(s) the Commissioner of Patents and Trademarks to issue all Letters Patent to the ASSIGNEE as the assignee of the entire right, title and interest, for the sole use and enjoyment of said ASSIGNEE, its successors and assigns.

Further, the ASSIGNOR(S) agree(s) to communicate to said ASSIGNEE, or its representatives, any facts known to the ASSIGNOR(S) respecting said invention, and testify in any legal proceedings, sign all lawful papers, execute all divisional, continuation, substitute, renewal, reexamination and reissue applications, execute all necessary assignment papers to cause any and all Letters Patent to be issued to said ASSIGNEE, make all rightful oaths and generally do everything necessary or desirable to aid said ASSIGNEE, its successors and assigns, to obtain and enforce proper protection for said invention.

The ASSIGNORS authorize any attorneys and agents who have a power of attorney in this application to check any appropriate boxes and to insert the Serial Number and filing date in this document after it has been executed.

<u>Hung-Cheng TSAI</u> Name of sole or first inventor	<u>✓ Hung-Cheng Tsai</u> Signature	<u>May 16, 2003</u> Date
_____ Name of second inventor, if any	_____ Signature	_____ Date
_____ Name of third inventor, if any	_____ Signature	_____ Date
_____ Name of fourth inventor, if any	_____ Signature	_____ Date
_____ Name of fifth inventor, if any	_____ Signature	_____ Date
_____ Name of sixth inventor, if any	_____ Signature	_____ Date
_____ Name of seventh inventor, if any	_____ Signature	_____ Date
_____ Name of eighth inventor, if any	_____ Signature	_____ Date
_____ Name of ninth inventor, if any	_____ Signature	_____ Date

O I P E JCS
 AUG 12 2003
 PATENT & TRADEMARK OFFICE

FEE TRANSMITTAL [ASSIGNMENT BRANCH]		Complete If Known																																											
		Application No.		10/447,138																																									
		Filing Date		May 29, 2003																																									
		First Named Inventor		Hung-Cheng Tsai																																									
		Examiner Name		To be assigned																																									
		Group Art Unit		3677																																									
Total Amount Of Payment (\$)		40.00		Attorney Docket No.		018150.0275																																							
METHOD OF PAYMENT (check one)				FEE CALCULATION (continued)																																									
1. <input type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to Deposit Account No. 02-0375 in the name of Baker Botts L.L.P. <input type="checkbox"/> Charge any additional fee required under 37 C.F.R. §§ 1.16 and 1.17 to Deposit Account No. 02-0375 .				3. ADDITIONAL FEES <table style="width:100%; border-collapse: collapse;"> <tr> <th style="text-align: left;">Fee Description</th> <th style="text-align: right;">Fee Paid</th> </tr> <tr><td><input type="checkbox"/> Surcharge - late filing fee or oath</td><td style="text-align: right;">\$</td></tr> <tr><td><input type="checkbox"/> Surcharge - late provisional filing fee or cover sheet</td><td style="text-align: right;">\$</td></tr> <tr><td><input type="checkbox"/> Extension for reply with 2 month</td><td style="text-align: right;">\$</td></tr> <tr><td><input type="checkbox"/> Notice of Appeal</td><td style="text-align: right;">\$</td></tr> <tr><td><input type="checkbox"/> Filing Brief in Support of Appeal</td><td style="text-align: right;">\$</td></tr> <tr><td><input type="checkbox"/> Request for Oral Hearing</td><td style="text-align: right;">\$</td></tr> <tr><td><input type="checkbox"/> Utility Issue Fee (or reissue)</td><td style="text-align: right;">\$</td></tr> <tr><td><input type="checkbox"/> Design Issue Fee</td><td style="text-align: right;">\$</td></tr> <tr><td><input type="checkbox"/> Plant Issue Fee</td><td style="text-align: right;">\$</td></tr> <tr><td><input type="checkbox"/> Petitions to Commissioner</td><td style="text-align: right;">\$</td></tr> <tr><td><input type="checkbox"/> Petition to Revive (unavoidable)</td><td style="text-align: right;">\$</td></tr> <tr><td><input type="checkbox"/> Petition to Revive (unintentional)</td><td style="text-align: right;">\$</td></tr> <tr><td><input type="checkbox"/> Petitions Related to Provisional Applications</td><td style="text-align: right;">\$</td></tr> <tr><td><input type="checkbox"/> Submission of Information Disclosure Statement</td><td style="text-align: right;">\$</td></tr> <tr><td><input type="checkbox"/> Filing Submission After Final Rejection</td><td style="text-align: right;">\$</td></tr> <tr><td><input checked="" type="checkbox"/> Recordation of Assignment</td><td style="text-align: right;">\$ 40.00</td></tr> <tr><td><input type="checkbox"/> Filing Request for Reexamination</td><td style="text-align: right;">\$</td></tr> <tr><td><input type="checkbox"/> Other (specify) _____</td><td style="text-align: right;">\$</td></tr> </table>				Fee Description	Fee Paid	<input type="checkbox"/> Surcharge - late filing fee or oath	\$	<input type="checkbox"/> Surcharge - late provisional filing fee or cover sheet	\$	<input type="checkbox"/> Extension for reply with 2 month	\$	<input type="checkbox"/> Notice of Appeal	\$	<input type="checkbox"/> Filing Brief in Support of Appeal	\$	<input type="checkbox"/> Request for Oral Hearing	\$	<input type="checkbox"/> Utility Issue Fee (or reissue)	\$	<input type="checkbox"/> Design Issue Fee	\$	<input type="checkbox"/> Plant Issue Fee	\$	<input type="checkbox"/> Petitions to Commissioner	\$	<input type="checkbox"/> Petition to Revive (unavoidable)	\$	<input type="checkbox"/> Petition to Revive (unintentional)	\$	<input type="checkbox"/> Petitions Related to Provisional Applications	\$	<input type="checkbox"/> Submission of Information Disclosure Statement	\$	<input type="checkbox"/> Filing Submission After Final Rejection	\$	<input checked="" type="checkbox"/> Recordation of Assignment	\$ 40.00	<input type="checkbox"/> Filing Request for Reexamination	\$	<input type="checkbox"/> Other (specify) _____	\$
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2. <input checked="" type="checkbox"/> Check Enclosed. The Commissioner is hereby authorized to charge any variance between the amount enclosed and the Patent Office charges to Deposit Account No. 02-0375 in the name of Baker Botts L.L.P., The Warner, Suite 1300, 1299 Pennsylvania Avenue, N.W., Washington, D.C. 20004-2400.																																													
FEE CALCULATION																																													
1. BASIC FILING FEE <input type="checkbox"/> Large Entity <input checked="" type="checkbox"/> Small Entity																																													
			<u>Fee Paid</u>																																										
Utility Filing Fee			\$																																										
Design Filing Fee			\$																																										
Plant Filing Fee			\$																																										
Reissue Filing Fee			\$																																										
Provisional Filing Fee			\$																																										
2. EXTRA CLAIMS FEES																																													
CLAIMS AS AMENDED																																													
For	Number Present	Highest Number Paid For	Extra	Rate		Amount																																							
				Large Entity	Small Entity																																								
TOTAL CLAIMS		20		x \$ 18.00	x \$ 9.00	\$0.00																																							
INDEPENDENT CLAIMS		3		x \$ 84.00	x \$ 42.00	\$0.00																																							
MULTIPLE DEPENDENT CLAIMS				\$ 280.00	\$ 140.00	\$0.00																																							
TOTAL EXTRA CLAIMS FEES						\$0.00																																							
SUBMITTED BY						Complete (if applicable)																																							
Typed or Printed Name		James B. Apple		Registration No.		33,470																																							
Signature		Date 8/12/03		Deposit Account User ID		02-0375																																							