



To the Honorable Commissioner of Patents and

documents or copy thereof.

102525306

1. Name of conveying party(ies):

Ganesh Sambasivam  
Madhavan Sridharan  
Poornaprajna Acharya  
Joy Mathew

Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No

2. Name and address of receiving party(ies)

Name: **Biocon India Limited**

Internal Address: **20<sup>th</sup> K.M. Hosur Road  
Hebagodi - 561-229**

District: **Bangalore** State: **Karnataka**

Country: **INDIA**

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other

Execution Dates: **January 31, 2003**

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

**10/129,861, filed May 10, 2002**

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: **Sam Pasternak, Ph.D.**  
Reg. No.: **29,576**

Internal Address: **Choate, Hall & Stewart**

Street Address: **Exchange Place  
53 State Street**

City: **Boston** State: **MA** ZIP: **02109**

6. Total number of applications and patents involved: **1**

7. Total fee (37 CFR 3.41)..... \$ **40.00**

☒ Enclosed

☒ Authorized to be charged to deposit account

8. Deposit account number: **03-1721**

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9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

**Sam Pasternak Reg. No. 29,576**

Name of Person Signing

Total number of pages including cover sheet, attachments, and document: **6**

Signature

**August 11, 2003**

Date

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Atty Docket No.: 2001568-0012  
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**PATENT**  
REEL: 014377 FRAME: 0284

02-12-2003



102363099

To the Honorable Commissioner of Patents and Trade.....

nents or copy thereof.

<p>1. Name of conveying party(ies): <b>2-10-03</b> <b>Ganesh Sambasivani SambaSivam</b> <b>Madhavan Sridharan</b> <b>Poornaprajna Acharya</b> <b>Joy Mathew</b></p> <p>Additional name(s) of conveying party(ies) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>		<p>2. Name and address of receiving party(ies) Name: <b>Biocon India Limited</b> Internal Address: <b>20<sup>th</sup> K.M. Hosur Road</b> <b>Hebagodi - 561-229</b> District: <b>Bangalore</b> State: <b>Karnataka</b> Country: <b>INDIA</b></p> <p>Additional name(s) &amp; address(es) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>					
<p>3. Nature of conveyance: <input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name <input type="checkbox"/> Other</p> <p>Execution Dates: <b>January 31, 2003</b></p>							
<p>4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date of the application is:</p> <table border="1"><tr><td>A. Patent Application No.(s) <b>10/129,861, filed May 10, 2002</b></td><td>B. Patent No.(s)</td></tr></table> <p>Additional numbers attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>				A. Patent Application No.(s) <b>10/129,861, filed May 10, 2002</b>	B. Patent No.(s)		
A. Patent Application No.(s) <b>10/129,861, filed May 10, 2002</b>	B. Patent No.(s)						
<p>5. Name and address of party to whom correspondence concerning document should be mailed: Name: <b>Sam Pasternak, Ph.D.</b> <b>Reg. No.:29,576</b> Internal Address: <b>Choate, Hall &amp; Stewart</b> Street Address: <b>Exchange Place</b> <b>53 State Street</b> City: <b>Boston</b> State: <b>MA</b> ZIP: <b>02109</b></p>		<p>6. Total number of applications and patents involved: <b>1</b></p> <p>7. Total fee (37 CFR 3.41) ..... \$ <b>40.00</b> <input checked="" type="checkbox"/> Enclosed <input checked="" type="checkbox"/> Authorized to be charged to deposit account</p> <p>8. Deposit account number: <b>03-1721</b> (Attach duplicate copy of this page if paying by deposit account)</p>					
<p>DO NOT USE THIS SPACE</p>							
<p>9. Statement and signature. <i>To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.</i></p> <table border="0"><tr><td><b>Sam Pasternak Reg. No. 29,576</b> Name of Person Signing Total number of pages including cover sheet, attachments, and document: <b>6</b></td><td> Signature</td><td><b>February 4, 2003</b> Date</td><td></td></tr></table>				<b>Sam Pasternak Reg. No. 29,576</b> Name of Person Signing Total number of pages including cover sheet, attachments, and document: <b>6</b>	 Signature	<b>February 4, 2003</b> Date	
<b>Sam Pasternak Reg. No. 29,576</b> Name of Person Signing Total number of pages including cover sheet, attachments, and document: <b>6</b>	 Signature	<b>February 4, 2003</b> Date					

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Atty Docket No.: 2001568-0012  
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**PATENT**

REEL: 014377 FRAME: 0285

**COPY****ATTORNEY'S DOCKET NO.: 2001568-0012****ASSIGNMENT**

In consideration of One Dollar (\$1.00) and other good and valuable consideration, the receipt of which is hereby acknowledged, the undersigned **Ganesh Sambasivam** of Bangalore, India; **Madhavan Sridharan** of Karnataka, India, **Poornaprajna Acharya** of Karnataka, India, **Joy Mathew** of Karnataka, India, each hereby

Sells, assigns and transfers to **Biocon India Limited** having a place of business in 20<sup>th</sup> K.M. Hosur Road, Hebagodi – 561-229, Bangalore District, Karnataka State, INDIA, its successors, assigns and legal representatives, all hereinafter referred to as the ASSIGNEE, his/her entire right, title and interest for the United States and all foreign countries, in and to any and all inventions which are disclosed in the application for United States Letters Patent filed May 10, 2002, Serial No. 10/129,861 entitled "PROCESS FOR MANUFACTURING SIMVASTATIN AND THE NOVEL INTERMEDIATES" and in and to said application and all divisional, continuing, substitute, renewal, reexamination, reissue and all other applications for Letters Patent which have been or shall be filed in the United States and all foreign countries on any of said inventions; and in and to all original, reissued, and reexamination patents which have been or shall be issued in the United States and all foreign countries on said inventions including the right to apply for patent rights in each foreign country and all rights to priority.

Agrees that said ASSIGNEE may apply for and receive Letters Patent for said inventions in its own name; and when requested, without charge to but at the expense of said ASSIGNEE, agrees to carry out in good faith the intent and purpose of this assignment by executing all divisional, continuing, substitute, renewal, reexamination, reissue, and all other patent applications on any and all said inventions, by executing all rightful oaths, assignments, powers of attorney and other papers, by communicating to said ASSIGNEE all facts known to him/her relating to said inventions and the history thereof, and generally by doing everything possible which said ASSIGNEE shall consider desirable for aiding in securing and maintaining proper patent protection for said inventions and for vesting title to said inventions and all applications for patents and all patents on said inventions, in said ASSIGNEE.

Hereby requests the Honorable Commissioner of Patents and Trademarks to issue said Letters Patent to said ASSIGNEE.

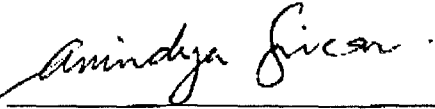
Covenants with said ASSIGNEE that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by him/her, and that full right to convey the same as herein expressed is possessed by him/her.

IN WITNESS WHEREOF, I hereto set my hand and seal at Bangalore,

this 31<sup>st</sup> day of January, 2003.

  
\_\_\_\_\_  
Ganesh Sambasivam

January 31, 2003  
\_\_\_\_\_  
Date


  
\_\_\_\_\_  
Witness

Dr. ANINDYA SIRCAR

Manager - IPR

Address

Biocon India Limited  
20<sup>th</sup> Km Hosur Road  
Electronics City P.O.  
Bangalore 561 229; INDIA

  
\_\_\_\_\_  
Witness

January 31, 2003  
\_\_\_\_\_  
Date

Mr. DINESH CHARAK

Manager - Legal

Address

Biocon India Limited  
20<sup>th</sup> Km Hosur Road  
Electronics City P.O.  
Bangalore 561 229; INDIA

IN WITNESS WHEREOF, I hereto set my hand and seal at Bangalore,

this 31<sup>st</sup> day of January, 2003.

  
Madhavan Sridharan



Witness

January 31, 2003

Date

Dr. ANINDYA SIRCAR

Manager - IPR

Address

Biocon India Limited

20<sup>th</sup> Km Hosur Road

Electronics City P.O.

Bangalore 561 229; INDIA



Witness

January 31, 2003

Date

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Electronics City P.O.

Bangalore 561 229; INDIA

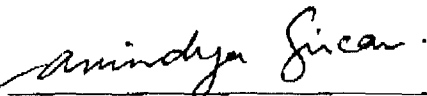
IN WITNESS WHEREOF, I hereto set my hand and seal at Bangalore.

this 31<sup>st</sup> day of January, 2003.

  
Poornaprajna Acharya

January 31, 2003

Date



Witness

Dr. ANINDYA SIRCAR

Manager - IPR

Address

Biocon India Limited

20<sup>th</sup> Km Hosur Road

Electronics City P.O.

Bangalore 561 229; INDIA

  
Witness

January 31, 2003

Date

Mr. DINESH CHARAK

Manager - Legal

Address

Biocon India Limited

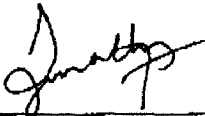
20<sup>th</sup> Km Hosur Road

Electronics City P.O.

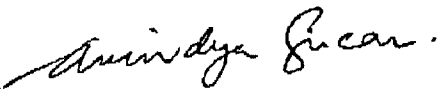
Bangalore 561 229; INDIA

IN WITNESS WHEREOF, I hereto set my hand and seal at Bangalore.

this 31<sup>st</sup> day of January, 2003.

  
\_\_\_\_\_  
Joy Mathew

January 31, 2003  
\_\_\_\_\_  
Date

  
\_\_\_\_\_  
Witness

Dr. ANINDYA SIRCAR  
Manager - IPR  
Address  
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