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U.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Alcon Universal, Ltd.

8-18-03

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☐

Assignment

☐

Merger

☐

Security Agreement

☒

Change of Name

☐

Other _____

Execution Date: 16 April 2002

2. Name and address of receiving party(ies)

Name: Alcon, Inc.

Internal Address: _____

Street Address: Bosch 69, CH-6331

City: Hunenberg Switzerland State: Zip: CH-6331

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s) 09/771/945

B. Patent No.(s) _____

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Jeffrey S. Schira

Internal Address: _____

Alcon Research, Ltd.

R&D Counsel, Q-148

Street Address: 6201 South Freeway

City: _____ State: _____ Zip: _____

6. Total number of applications and patents involved: ☒

7. Total fee (37 CFR 3.41).....\$ _____

☐

Enclosed

☒

Authorized to be charged to deposit account

8. Deposit account number:

501051

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9. Signature.

Jeffrey S. Schira

Name of Person Signing Reg. No. 34,922

Signature

14 August 2003

Date

Atty. Ref. No. 1835 A

Total number of pages including cover sheet, attachments, and documents: 3

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

08/19/2003 LMUELLER 00000081 501051 09771945

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PATENT
REEL: 014393 FRAME: 0420



**EXTRACT
OF THE REGISTER OF COMMERCE
OF THE CANTON OF ZUG**

Name: **Alcon, Inc.**
(formerly Alcon Universal Ltd (AG/SA))

Domicile, Address: HÜNENBERG, Bösch 69

Purpose: Purchase, hold, exploit and transfer of patents, trademarks, technical and industrial knowhow, render technical and administrative consulting services as well as hold industrial and commercial participation in other industrial and business establishments

Nature of Company: Company limited by shares
Registered: 13.03.1992
Latest articles: 25.03.2002

Company Capital: Share capital: CHF 61'395'000.00; divided in 306'975'000 registered shares at CHF 0.20 each; fully paid in

Participation capital: CHF 13'950'000.00 divided in 69'750'000 registered participation certificates at CHF 0.20, fully paid in

Instrument for publication: STJL (Swiss Trade Journal)

Special Notes: In-kind: Investment in subsidiaries and intangible rights according to the list on the appendix to the In-kind contract dated 21.12.1998 at the rate of CHF 298'022'970.00, for which 50'000 registered shares at CHF 1'000.00 have been issued

In-kind: Three claims in the amount of CHF 360'225'400.00 according to the In-kind contract dated 15.07.1999 at the rate of CHF 360'225'400.00, for which 6'000 registered shares at CHF 1'000.00 have been issued

Privileges: The registered participation certificates have privileges towards the ordinary shares relative to dividend and liquidation rights as described in the articles of association

Remarks: Conversion of 69'750'000 fully paid registered shares at CHF 0.20 par value each into 69'750'000 fully paid registered participation certificates at CHF 0.20 par value each

Board of Directors: Sear Timothy, citizen of Great Britain, in Fort Worth (US), Chairman and Delegate of the Board of Directors
Brabeck-Letmathe Peter, citizen of Austria, in La Tour-de-Peilz, Vice-Chairman of the Board of Directors
Bauer Werner, citizen of Germany, in Lutry
Cash James, citizen of the United States of America, in Wellesley (US)

Castañer Francisco, citizen of Spain, in Pully
De Vink Lodewijk, citizen of the United States of America, in
Peapack (US)
Geier Philip, citizen of the United States of America, in New York
(US)
Reichenberger Wolfgang, citizen of Ruswil, in Chambésy

They sign jointly by two

Further persons authorized
to sign:

Koller Guido, citizen of Oppikon, in Menzingen, Manager. He
signs jointly at two
Burger Michèle, citizen of Freienwil, in Nyon, Secretary. She has
single signature

Basler Stefan, citizen of Uerkheim, in Edlibach
Schneider Martin, citizen of Doerflingen, in Sins
They have joint procuration by two

Auditors:

KPMG Klynveld Peat Marwick Goerdeler SA, in Zurich

Special Auditors:

Zensor Revisions AG, in Zug

Last publication:

Swiss Trade Journal
Nr. 63 dated April 3, 2002; page 18, Id. 409102

Zug, April 16, 2002

REGISTER OF COMMERCE
OF THE CANTON OF ZUG

CH-170.3.017.372-9

