Form PTO-1595, 1/31/92	RECORDATION	COVER SHEET U.S	S. DEPARTMENT OF COMMERCE Patent and Trademark Office
	PATENI	S ONLY	Patent and Trademark Offici
To the Honorable Commissio	ner of Patents and Trademarks: I	Please record the attached o	original documents or copy thereof
1. Name of conveying	) party(ies): 2	. Name and Address	of receiving party(ies):
1. U.S. Department of Energy		1. The Regents of the University of California	
1000 Independence Avenue S.W		1111 Franklin Street	
Washington, D.C. 2	0585.	Oakland, CA 9460	7-5200
Attorney Docket No.:IL	- 8039D		
<ol><li>Nature of conveyar</li></ol>	ice:		
Assignment	Execu	ution Date: February	4, 2003
If this document is beir	(s) or patent number(s): In filed together with a new on date of the application is:		
A. Patent Application No.(s)		B. Patent No.(s)	
07/883,910 filed 5/15/1992		5,221,211 issued 6/22/1993	
<ol> <li>Name and address of party to whom correspondence concerning document should be mailed:</li> </ol>		6. Total Number of applications and patents involved: ONE	
Name: Alan H. Thomps	son	7. Total fee	<b>\$</b> 40.00
Address: Lawrence Liver	nore Nat. Laboratory	Enclosed	
Patent Group, L-703		Authorized charge deposit account	
Box 808, L-703		8. Deposit Account number:	
Livermore, CA		12-0695	
	DO NOT USE	THIS SPACE	
9. Statement and Sig	asture		
	ledge and belief, the foregoing	g information is true and o	correct and any attached copy
Nancy J. Stone			
	Name of Person Signing Signature		Date
		Total number of pages o	omprising cover sheet 1

## PATENT REEL: 014394 FRAME: 0651

## 700070198

Class Waiver Filed in the Name of the Government

## ASSIGNMENT AND CONFIRMATORY LICENSE

Title: Electrical Receptacle Inventor(s): Robert Leong Contract Number: W-7405-ENG-48 Contractor Docket No.: IL-8039D Contractor: Regents of the University of California (Lawrence Livermore National Laboratory)

DOE Docket No. S-76,649 (RL-11872) U.S. Patent Application S.N: 07/883,910 Filing Date: May 15, 1992 U.S. Patent No.: 5,221,211 Issue Date: June 22, 1993 Class Waiver Number: W(C) 96-004-025

Foreign patent applications filed or intended to be filed at contractor's expense: None.

The Contractor certifies that a true copy of the provisions which govern patent rights in "subject inventions" under the above-identified contract has been previously submitted to the U.S. Department of Energy by certification dated <u>February 29, 1996</u>.

WHEREAS, the above-identified invention is a subject invention under the above-identified contract;

WHEREAS, the Government of the United States, as represented by the U.S. Department of Energy has been assigned the entire right, title and interest in and to the above-identified invention in the United States; and

WHEREAS, a waiver of Government rights in the above-identified invention, having an effective date of April 22, 1997, has been granted to the Contractor.

NOW, in conformity with said waiver of Government rights, the Government of the United States as represented by the U.S. Department of Energy, HEREBY ASSIGNS AND TRANSFERS to the Contractor the entire right, title and interest to the above-identified invention, including the above-identified patent applications and any resulting patents, EXCEPT FOR a paid-up license under the above-identified contract and the above-identified waiver and such other rights required under 10 CFR 784 to be retained or reserved to the Government.

ACCORDINGLY, the Contractor hereby confirms that under the provisions of the above-identified contract governing patent rights and the above-identified waiver, it has granted to the Government a nonexclusive, nontransferable, irrevocable, paid-up license to practice or have practiced for or on behalf of the United States the subject invention throughout the world. This license applies to the above-identified invention, the above-identified patent application(s), and any and all divisions or continuations thereof and any resulting patent or reissue patent which may be granted thereon. This instrument is also confirmatory of the rights required to be granted to the Government under 10 CFR 784 where a waiver is granted to an invention, patent application, and any resulting patent, and of all other rights reserved to the Government under 10 CFR 784.

The Government reserves for itself, and is hereby granted by the Contractor, the irrevocable power to inspect and make copies of the file wrapper(s) of the above-identified U.S. patent application and of any related or continuation patent application(s), whether domestic or foreign, for the above-identified invention.

It is understood and agreed that this instrument does not preclude the Government from asserting rights under the provisions of the above-identified contract or any other agreement between the Government and the Contractor, or any other rights of the Government with respect to the above-identified invention.

Approved and granted on behalf of the Government of the United States.

Banks Witness

UNITED STATE DEPARTMENT 'n ENERGY Bv: Paul A// Gottlieb

Assistant General Counsel for Technology Transfer and Intellectual Property

Approved, accepted and consented to on behalf of The Regents of the University of California

Witness:

Nancy J. Stone, Administrative Specialist (Print/Type Name and Title)

9-29-<u>00</u> luch (Official's Signature) (Date)

Janet G. Tulk, Laboratory Counsel (Print/Type Official's Name and Title)

> PATENT REEL: 014394 FRAME: 0652

## **RECORDED: 03/04/2004**