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PATENT

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Atty. Docket No. 6369/10566

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8.15.03

## ASSIGNMENT (DOCUMENT) COVER LETTER PATENT OR PATENT APPLICATION

1. NAMES OF PARTY(IES) MAKING TRANSFER

Name: Rex A. Hodge

2. IDENTITY OF PARTY(IES) TO WHOM TRANSFER IS MADE

This transfer is being made to:

Name: Anuvu, Inc.

Address: 3980 Research Drive  
Sacramento, California 95838

3. INTENTION OF DOCUMENT

A brief description of what the accompanying document intends to accomplish is that it is an:

☒ Assignment

☐ License

☐ Security Interest

☐ Other

4. IDENTIFICATION OF PATENT OR APPLICATION

Particulars of the patent or application to which the accompanying document applies are:

Inventors: Wyatt B. Orsbon, Rex A. Hodge, Rolf R. Becker

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6369/10566/EAN/658620.WPD

Page 1 of 3

PATENT  
REEL: 014396 FRAME: 0035

For (title): Electrochemical Fuel Cell Comprised of a Series of Conductive Compression Gaskets and Method of Manufacture

- (a) ☐ U.S. patent application executed on \_\_\_\_\_.
- (b) ☒ U.S. patent application serial no. 10/435,366 filed on 05/08/03.
- (c) ☐ U.S. patent no. \_\_\_\_\_ issued on                     .

5. **NAME AND ADDRESS TO WHICH CORRESPONDENCE SHOULD BE MAILED**

Please address all correspondence and return the recorded document to:

Audrey A. Millemann  
Weintraub Genshlea Chediak Sproul Law Corporation  
400 Capitol Mall, 11 Floor  
Sacramento, California 95814

6. **NUMBER OF APPLICATIONS OR PATENTS, AND TOTAL FEE DUE**

The number of applications or patents identified herein is: 1

Total Fee Due \$ 40

7. **DATE DOCUMENT WAS EXECUTED**

The accompanying document was executed on August 13, 2003.

8. **FEE PAYMENT**

Fee payment is provided for as follows:

- ☐ New Application transmittal (Item 13 - INCLUDED IN FILING FEE)
- ☐ FWC Transmittal (Item VII)
- ☐ Transmittal of Filing under 37 CFR 1.60(b) (Item 11)
- ☒ Attached is a check in the sum of \$120 (for three assignment documents)

☒ Charge Account No. 501176 if any additional fee is due for this assignment only.

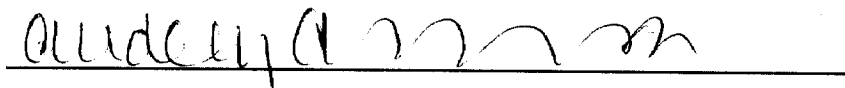
☐ Charge Account No. \_\_\_\_\_ in the sum of \_\_\_\_\_ A duplicate of this transmittal is attached.

9. **STATEMENT OF AUTHENTICITY**

The undersigned certifies that, to the best of his or her knowledge and belief, the information contained in this cover sheet is true and correct, and any copy of the document submitted for recording is a true copy of the original document.

10. **SIGNATURE**


Dated: August 14, 2003



Audrey A. Millemann, Reg. No. 44,942  
Weintraub Genshlea Chediak Sproul  
Law Corporation  
400 Capitol Mall, 11<sup>th</sup> Floor  
Sacramento, California 95814  
916/558-6033

Enclosure:  
assignment (Hodge)

I hereby certify, under 37 C.F.R. 1.10, that this document and those enclosed are being deposited with the United States Postal Service as "Express Mail Post Office To Addressee" to Commissioner of Patents and Trademarks, Washington, D. C. 20231, Express Mail Label No. EL939551233US, on August 14, 2003

By:   
Audrey A. Millemann

Note: Each paper or fee referred to as enclosed has the Express Mail label number placed thereon. 37 C.F.R. 1.10(b)

Practitioner's Docket No. 6369/10566**PATENT**

For: ☒ U.S. and/or ☒ Foreign Rights  
For: ☒ U.S. Application or  
      ☐ U.S. Provisional Application  
For: ☐ U.S. Patent  
For: ☐ PCT Application  
By: ☒ Inventor(s) or ☐ Present Owner

**ASSIGNMENT OF INVENTION**

In consideration of the payment by ASSIGNEE to ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration,

**ASSIGNOR:**

Inventor(s) or person(s) or  
entity(ies) who own the  
invention

Rex A. Hodge*(type or print name(s) of ASSIGNOR(S))*3980 Research Drive

Address

Sacramento, CA 95838US

Nationality

*(if assignment is by person or entity to whom invention was previously assigned  
and this was recorded in PTO, add the following)*

Recorded on \_\_\_\_\_

Reel \_\_\_\_\_

Frame \_\_\_\_\_

hereby sells, assigns and transfers to

**ASSIGNEE:**Anuvu, Inc.*(type or print name(s) of ASSIGNEE(S))*3980 Research Drive

Address

Sacramento, CA 95838a California corporation

Nationality

and the successors, assigns and legal representatives of the ASSIGNEE

Express Mail Label No. EL939551233US

(Assignment of Invention [16-3]—page 1 of 3)

**PATENT**  
**REEL: 014396 FRAME: 0038**

(complete one of the following)

☒ the entire right, title and interest

☐ an undivided \_\_\_\_\_ percent (\_\_\_\_\_% ) interest

for the United States and its territorial possessions

(check the following box, if foreign rights are also to be assigned)

☒ and in all foreign countries, including all rights to claim priority,

in and to any and all improvements which are disclosed in the invention entitled:

Electrochemical Fuel Cell Comprised of a Series of Conductive Compression Gaskets  
and Method of Manufacture

Name of inventor(s) Wyatt B. Orsbon, Rex A. Hodge, Rolf R. Becker

(check and complete (a), (b), (c), (d), (e), (f), or (g))

and which is found in (37 C.F.R. § 3.21)

(a) ☐ U.S. patent application executed on even date herewith

(b) ☐ U.S. patent application executed on \_\_\_\_\_

(c) ☐ U.S. provisional application naming the above inventor(s) for the above-entitled invention.

☐ Express mail label no.: \_\_\_\_\_

Mailed: \_\_\_\_\_

☐ To comply with 37 C.F.R. § 3.21 for recordal of this assignment, I, an ASSIGNOR signing below, hereby authorize and request my attorney to insert below the filing date and application number when they become known.

(d) ☒ U.S. application no. 10 / 435,366  
filed on May 8, 2003

(e) ☐ International application no. PCT/ \_\_\_\_\_ / \_\_\_\_\_

(f) ☐ U.S. patent no. \_\_\_\_\_ issued \_\_\_\_\_

☐ A change of address to which correspondence is to be sent regarding patent maintenance fees is being sent separately.

(also check (g), if foreign application(s) is also being assigned)

(g) ☐ and any legal equivalent thereof in a foreign country, including the right to claim priority

and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, renewal, or substitute thereof, and as to letters patent any reissue or re-examination thereof.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

(Assignment of Invention [16-3]—page 2 of 3)

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, I/We have hereunto set hand and seal this \_\_\_\_\_ day  
of \_\_\_\_\_  
Date of signing

**WARNING:** The date of signing must be the same as the date of execution of the application, if item (a) was checked above.

Date: August 13, 2003

  
\_\_\_\_\_  
Signature of ASSIGNOR(S)

Date: \_\_\_\_\_

Date: \_\_\_\_\_

Date: \_\_\_\_\_

(if ASSIGNOR is a legal entity, complete the following information)

\_\_\_\_\_  
(type or print the name of the above person  
authorized to sign on behalf of ASSIGNOR)

\_\_\_\_\_  
Title

**NOTE:** No witnessing, notarization or legalization is necessary. If the assignment is notarized or legalized, then it will only be prima facie evidence of execution. 35 U.S.C. § 261. Use next page if notarization is desired.

☐ Notarization or Legalization Page Added.

(Assignment of Invention [16-3]—page 3 of 3)