

8/12/03

08-21-2003

*Resulm*

FORM PTO-1595  
(Rev. 6-93)  
OMB No. 0651-0011 (exp. 4/94)



102530525

R SHEET U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
Atty Dkt No.: 2002P16864US (10808/097)

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):  
Michael Maldei, et al.  
  
Additional name(s) of conveying party(ies) attached?  Yes  No

2. Name and address of receiving party(ies)  
Name: Infineon Technologies North America Corp.  
Internal Address:  
  
Street Address: 1730 North First Street  
  
City: San Jose State: CA ZIP: 95112  
  
Additional name(s) & address(es) attached?  Yes  No

3. Nature of conveyance:  
 Assignment  Merger  
 Security Agreement  Change of Name  
 Other  
Execution Date: See Attached

4. Application number(s) or patent number(s):  
If this document is being filed together with a new application, the execution date of the application is:           
A. Patent Application No.(s) 10/383,191  
Filed: March 6, 2003  
B. Patent No.(s)  
  
Additional numbers attached?  Yes  No

5. Name and address of party to whom correspondence concerning document should be mailed:  
Name: Elsa Keller  
Internal Address: Infineon Technologies North America Corp.  
c/o Siemens Corporation  
Intellectual Property Department  
Street Address: 170 Wood Avenue South, 5<sup>th</sup> Floor  
City: Iselin State: NJ ZIP: 08830

6. Total number of applications and patents involved: 1  
7. Total Fee (37 CFR 3.41) ..... \$ 40.00  
 Enclosed (paid 3/12/2003)  
 Authorized to be charged to deposit account  
8. Deposit Account No.

DO NOT USE THIS SPACE

9. Statement and signature  
To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.  
  
A. James Richardson      *A. James Richardson*      8/12/03  
Name of Person Signing      Signature      Date  
Reg. No. 26,983  
Total number of pages including cover sheet, attachments, and document:

Recordation Form Cover Sheet  
Continuation Sheet

1. Name of conveying parties:

Michael Maldei	Signed: 3/03/2003
Malati Hegde	Signed: 3/03/2003
Guenter Gerstmeier	Signed: 3/03/2003
Jinwhan Lee	Signed: 3/03/2003
Steven M. Baker	Signed: 3/03/2003
Jon S. Berry II	Signed: 2/26/2003
Brian Cousineau	Signed: 3/03/2003
Wenchao Zhang	Signed: 3/03/2003

3. Execution Date: 2/26/2003 and 3/03/2003

4. Execution date of the application is: 2/26/2003 and 3/03/2003

U.S. PTO  
383191  
03/06/03

Form PTO-1595  
(Rev. 03/01)  
OMB No. 0651-0027 (exp. 5/31/2002)  
Tab settings ⇄ ⇄ ⇄

U.S. DEPARTMENT OF COMMERCE  
U.S. Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):  
Michael Maldei, et al. **3.6.03**  
Additional name(s) of conveying party(ies) attached?  Yes  No

2. Name and address of receiving party(ies)  
Name: \_\_\_\_\_  
Internal Address: \_\_\_\_\_  
Street Address: 1730 North First Street  
City: San Jose State: CA Zip: 95112  
Additional name(s) & address(es) attached?  Yes  No

3. Nature of conveyance:  
 Assignment  Merger  
 Security Agreement  Change of Name  
 Other \_\_\_\_\_  
Execution Date: Attached

4. Application number(s) or patent number(s): **10383191**  
If this document is being filed together with a new application, the execution date of the application is: Attached  
A. Patent Application No.(s) \_\_\_\_\_  
B. Patent No.(s) \_\_\_\_\_  
Additional numbers attached?  Yes  No

5. Name and address of party to whom correspondence concerning document should be mailed:  
Name: Dean E. McConnell  
Internal Address: \_\_\_\_\_  
Street Address: Brinks Hofer Gilson & Lione  
One Indiana Square, Suite 1600  
City: Indianapolis State: IN Zip: 46204-2033

6. Total number of applications and patents involved: **1**  
7. Total fee (37 CFR 3.41).....\$ 40.00  
 Enclosed  
 Authorized to be charged to deposit account  
8. Deposit account number:  
23-1925

DO NOT USE THIS SPACE

9. Signature.  
Dean E. McConnell, Reg. 44,916  
Name of Person Signing  
*Dean E. McConnell*  
Signature  
3/6/03  
Date  
Total number of pages including cover sheet, attachments, and documents: **11**

Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents & Trademarks, Box Assignments  
Washington, D.C. 20231

Recordation Form Cover Sheet  
Continuation Sheet

1. Name of conveying parties:

Michael Maldei	Signed: 3/03/2003
Malati Hegde	Signed: 3/03/2003
Guenter Gerstmeier	Signed: 3/03/2003
Jinwhan Lee	Signed: 3/03/2003
Steven M. Baker	Signed: 3/03/2003
Jon S. Berry II	Signed: 2/26/2003
Brian Cousineau	Signed: 3/03/2003
Wenchao Zhang	Signed: 3/03/2003

3. Execution Date: 2/26/2003 and 3/03/2003

4. Execution date of the application is: 2/26/2003 and 3/03/2003

**ASSIGNMENT**

For good and valuable consideration, we, **Michael Maldei** residing at, 4609 Regency Drive, Durham, NC 27713, a citizen of Germany; **Malati Hegde**, residing at 918 Woodway Bluff Circle, Cary, NC 27513, a citizen of India; **Guenter Gerstmeier**, residing at 315 Edisto Court, Chapel Hill, NC 27514, a citizen of Germany; **Jinwhan Lee**, residing at 4129 Lake Lynn Drive, 107, Raleigh, NC 27613, a citizen of South Korea; **Steven M. Baker**, residing at 115 Brickford Ct., Morrisville, NC 27560, a citizen of USA; **Jon S. Berry II**, residing at ~~1323 Grand Harmony Pl., Durham, NC 27713~~, a citizen of USA; **Brian Cousineau**, residing at 2519 Hyde Street, Burlington, NC 27217, a citizen of USA; and **Wenchao Zhang**, residing at E-25, E.S. King Village, Raleigh, NC 27607, a citizen of China;

5209 Cottage Oaks  
Raleigh, NC  
JB 27616-617

Hereby sell, assign, and transfer to **Infineon Technologies North America Corp.**, a corporation organized and existing under the laws of the state of Delaware, having its principal place of business at **1730 North First Street, San Jose, CA 95112**,

hereinafter "Assignee", its successors, assigns and legal representatives, the entire right, title and interest in and for the United States and all foreign countries, in and to any and all improvements which are disclosed in the application for United States Letters Patent, which has been executed by the undersigned concurrently herewithin, and is entitled:

**MICROELECTRONIC CAPACITOR STRUCTURE WITH RADIAL CURRENT FLOW**

and in and to said application and all divisional, continuing substitute, renewal, reissue, and all other applications for Letters Patent which have been or shall be filed in the United States and all foreign countries on any of said improvements; and in and to all original and reissued patents which have been or shall be filed in the United States and all foreign countries on said improvements;

Agree that Assignee may apply for and receive Letters Patent for said improvements in its own name, and that, when requested, without charge to but at the expense of said Assignee, their successors, assigns, and legal representatives to carry out in good faith the intent and purpose of this agreement, the undersigned will execute all divisional, continuing substitute, renewal, reissue, and all other patent applications on any and all said improvements; execute all rightful oaths, assignments, powers of attorney and other papers; communicate to said Assignee, its successors, assigns, and representatives, all facts known to the undersigned relating to said improvements and the history thereof; and

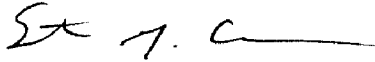
generally do everything possible which said Assignee, its successors, assigns, or representatives shall consider desirable for aiding in securing and maintaining proper patent protection for said improvements and for vesting title to said improvements and all applications for patents and all patents on said improvements, in said Assignee, its successors, assigns, and legal representatives; and

Covenant with said Assignee, its successors, assigns and legal representatives that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.

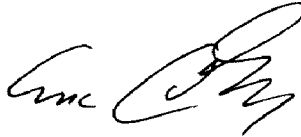
Date: 03/03/03

  
Michael Maldei

Witness 1: Stephen M. Camacho  
(type name)



Witness 2: ERIC CORDES  
(type name)



generally do everything possible which said Assignee, its successors, assigns, or representatives shall consider desirable for aiding in securing and maintaining proper patent protection for said improvements and for vesting title to said improvements and all applications for patents and all patents on said improvements, in said Assignee, its successors, assigns, and legal representatives; and

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Date: 03/03/2003

Malati  
**Malati Hegde**

Witness 1: Stephen M. Camacho  
(type name)

S. M. C.

Witness 2: ERIC CORDES  
(type name)

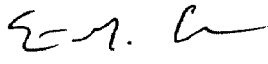
Eric Cordes


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Date: 03-03-03

  
Guenter Gerstmeier

Witness 1: Stephen M. Camacho   
(type name)

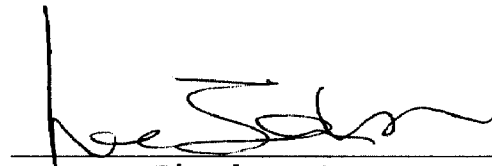
Witness 2: ERIC CORDES   
(type name)

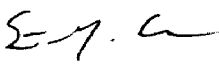



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Date: 03-03-03

  
Jinwhan Lee

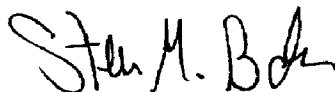
Witness 1: Stephen M. Camacho   
(type name)

Witness 2: ERIC CORDES   
(type name)

generally do everything possible which said Assignee, its successors, assigns, or representatives shall consider desirable for aiding in securing and maintaining proper patent protection for said improvements and for vesting title to said improvements and all applications for patents and all patents on said improvements, in said Assignee, its successors, assigns, and legal representatives; and

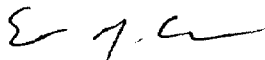
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Date: 3/3/03

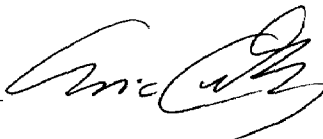


Steven M. Baker

Witness 1: Stephen Camacho  
(type name)



Witness 2: ERIC CORDES  
(type name)



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Date: 2/26/03

Jon S. Berry II  
Jon S. Berry II

Witness 1: Jennifer Hudcaby  
(type name) Jennifer Hudcaby

Witness 2: Stephen M. Camacho  
(type name) Σ 7 C

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Date: 03/07/03

B.J. Cousineau  
Brian Cousineau

Witness 1: Stephen M. Amadio *S.M.A.*  
(type name)

Witness 2: ERIC CORDES *Eric Cordes*  
(type name)

generally do everything possible which said Assignee, its successors, assigns, or representatives shall consider desirable for aiding in securing and maintaining proper patent protection for said improvements and for vesting title to said improvements and all applications for patents and all patents on said improvements, in said Assignee, its successors, assigns, and legal representatives; and

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Date: 03/03/03

Wenchao Zhang  
Wenchao Zhang

Witness 1: Stephen M. Camacho  
(type name)

E. M. C

Witness 2: ERIC CORDES  
(type name)

Eric Cordes