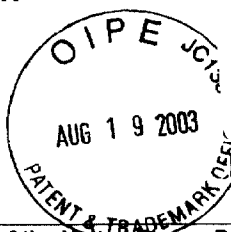


08-22-2003

U.S. Department of Commerce
Patent and Trademark Office
PATENT



102532650

6/19/03

RECORDATION FORM COVER SHEET PATENTS ONLY

S-99,271

TO: The Director of the United States Patent and Trademark Office: Please record the attached original document(s) or copy(ies).

Submission Type

- ☒ New
- ☐ Resubmission (Non-Recordation)
Document ID#
- ☐ Correction of PTO Error
Reel # Frame #
- ☐ Corrective Document
Reel # Frame #

Conveyance Type

- ☐ Assignment ☐ Security Agreement
- ☐ License ☐ Change of Name
- ☐ Merger ☒ Other

U.S. Government

(For Use ONLY by U.S. Government Agencies)

- ☒ Departmental File ☐ Secret File

Conveying Party(ies)

☐ Mark If additional names of conveying parties attached

Name (line 1)

Execution Date
Month Day Year

Name (line 2)

Second Party

Name (line 1)

Execution Date
Month Day Year

Name (line 2)

Receiving Party

☐ Mark If additional names of receiving parties attached

Name (line 1)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)

City

State/Country

Zip Code

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Domestic Representative Name and Address

Enter for the first Receiving Party only.

Name

Address (line 1)

Address (line 2)

Address (line 3)

Address (line 4)

FOR OFFICE USE ONLY

Public burden reporting for this collection of information is estimated to average approximately 30 minutes per Cover Sheet to be recorded, including time for reviewing the document and gathering the data needed to complete the Cover Sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Chief Information Officer, Washington, D.C. 20231 and to the Office of Information and Regulatory Affairs, Office of Management and Budget, Paperwork Reduction Project (0651-0027), Washington, D.C. 20503. See OMB Information Collection Budget Package 0651-0027, Patent and Trademark Assignment Practice. DO NOT SEND REQUESTS TO RECORD ASSIGNMENT DOCUMENTS TO THIS

Mail documents to be recorded with required cover sheet(s) information to:
Mail Stop Assignment Recordation Services, Director of the United States Patent and Trademark Office,
P.O. Box 1450, Alexandria, VA 22313-1450

PATENT

REEL: 014401 FRAME: 0086

Correspondent Name and Address

Area Code and Telephone Number

202-586-2802

Name Betty A. Winchester

Address (line 1) U. S. Department of Energy

Address (line 2) 1000 Independence Avenue, S.W.

Address (line 3) Washington, D. C. 20585-0162

Address (line 4)

Pages

Enter the total number of pages of the attached conveyance document including any attachments.

1

Application Number(s) or Patent Number(s)

☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)

10/345,550

If this document is being filed together with a new Patent Application, enter the date the patent application was

Month Day Year

Patent Cooperation Treaty (PCT)

Enter PCT application number only if a U.S. Application Number has not been assigned.

PCT PCT PCT
PCT PCT PCT

Number of Properties

Enter the total number of properties involved.

1

Fee Amount

Fee Amount for Properties Listed (37 CFR 3.41): \$ 0.00

Method of Payment:

Enclosed ☐

Deposit Account ☐

Deposit Account

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number:

#

Authorization to charge additional fees:

Yes ☐

No ☒

Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

Brenda K. Banks

Name of Person Signing

Signature

Date

CONFIRMATORY LICENSE

Title: "Electrofriction Method of Manufacturing Squirrel Cage Rotors"
Inventor(s): John S. Hsu
Serial No.: 10/345,550
Filing Date (U.S.): January 16, 2003
Contractor: UT-Battelle, LLC
DOE Contract No.: DE-AC05-00OR22725

The invention identified above is a "Subject Invention" under the above-numbered contract. A copy of the provision of the above-numbered contract (certified on 04-13-00) governing patent rights in the Subject Invention at the time the invention was made, has been submitted to the U. S. Department of Energy.


The Contractor hereby confirms that under the provisions of the above-numbered contract governing patent rights, it has granted to the Government a nonexclusive, nontransferable, irrevocable, paid-up license to practice or have practiced for or on behalf of the United States the subject invention throughout the world. This license applies to the invention in the above-identified patent application and any and all divisions or continuations thereof and any resulting patent or reissue patent which may be granted thereon.

It is understood and agreed that this document does not preclude the Government from asserting rights under the provisions of said contract or any other agent between the Government and the Contractor, or any other rights of the Government with respect to the above-identified invention.

The Contractor hereby grants the Government an irrevocable power to inspect and make copies of the above-identified application.

Signed this 30th day of June, 2003.

UT-Battelle, LLC

By 
Alexander R. Fischer, Director
Technology Transfer and Economic Development

CONFIRMATORY LICENSE

Title: Personal, Closed-Cycle Cooling and Protective Apparatus and Thermal Battery Therefor
Inventor(s): James W. Klett and Lynn B. Klett
Serial No.: 10/359,892
Filing Date (U.S.): February 6, 2003
Contractor: UT-Battelle, LLC
DOE Contract No.: DE-AC05-00OR22725

The invention identified above is a "Subject Invention" under the above-numbered contract. A copy of the provision of the above-numbered contract (certified on 04-13-00) governing patent rights in the Subject Invention at the time the invention was made, has been submitted to the U. S. Department of Energy.

The Contractor hereby confirms that under the provisions of the above-numbered contract governing patent rights, it has granted to the Government a nonexclusive, nontransferable, irrevocable, paid-up license to practice or have practiced for or on behalf of the United States the subject invention throughout the world. This license applies to the invention in the above-identified patent application and any and all divisions or continuations thereof and any resulting patent or reissue patent which may be granted thereon.

It is understood and agreed that this document does not preclude the Government from asserting rights under the provisions of said contract or any other agent between the Government and the Contractor, or any other rights of the Government with respect to the above-identified invention.

The Contractor hereby grants the Government an irrevocable power to inspect and make copies of the above-identified application.

Signed this 30th day of June, 2003.

UT-Battelle, LLC

By 

Alexander R. Fischer, Director
Technology Transfer and Economic Development