

08-18-2003



102527550

**RECORDATION FORM COVER SHEET  
PATENTS ONLY**

Docket No.: BAL39US

TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies).

**Submission Type**

☒ New **8.8.03**  
☐ Resubmission (Non-Recordation)  
Document ID#   
☐ Correction of PTO Error  
Reel #  Frame #   
☐ Corrective Document  
Reel #  Frame #

**Conveyance Type**

☒ Assignment **10636219**  
☐ Security Agreement  
☐ License  
☐ Change of Name  
☐ Merger  
☐ Other   
**U.S. Government**  
(For Use ONLY by U.S. Government Agencies)  
☐ Departmental File ☐ Secret File

15535 U.S. PTO  
10/636219



08/08/03

**Conveying Party(ies)**

☐ Mark If additional names of conveying parties attached  
Name (line 1) **SILVERBROOK, KIA** Execution Date Month Day Year **12 30 2002**

Name (line 2)

**Second Party**

Name (line 1) **LAPSTUN, PAUL** Execution Date Month Day Year **12 30 2002**  
Name (line 2)

**Receiving Party**

☐ Mark If additional names of receiving parties attached

Name (line 1) **SILVERBROOK RESEARCH PTY. LTD.**  
Name (line 2)   
Address (line 1) **393 Darling Street**  
Address (line 2)   
Address (line 3) **Balmain** **NSW, Australia** **2041**  
City State/Country Zip Code

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

**Domestic Representative Name and Address**

Enter for the first Receiving Party only.

Name   
Address (line 1)   
Address (line 2)   
Address (line 3)   
Address (line 4)

08/11/2003 EFLORES 00000061 10636219  
02 FC:8021 40.00 UP

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Mail documents to be recorded with required cover sheet(s) information to:  
Commissioner of Patents and Trademarks, Box Assignments, Washington, D.C. 20231

08/12/2003 EFLORES 00000001 10636219

01 FC:8021 80.00 UP

**PATENT**  
**REEL: 014401 FRAME: 0629**

FORM PTO-1619C

Expires 06/30/99  
OMB 0651-0027

# RECORDATION FORM COVER SHEET CONTINUATION PATENTS ONLY

U.S. Department of Commerce  
Patent and Trademark Office  
**PATENT**

**Conveying Party(ies)**
☐ Mark if additional names of conveying parties attached
*Enter additional Conveying Parties*

Name (line 1) WALMSLEY, Simon Robert

Execution Date  
Month Day Year

12 30 2002

Name (line 2)

Execution Date  
Month Day Year

Name (line 1)

Name (line 2)

Name (line 1)

Execution Date  
Month Day Year

Name (line 2)

**Receiving Party(ies)**
☐ Mark if additional names of receiving parties attached
*Enter additional Receiving Party(ies)*

Name (line 1)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)

City

State/Country

Zip Code

Name (line 1)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)

City

State/Country

Zip Code

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**Application Number(s) or Patent Number(s)**
☐ Mark if additional numbers attached
*Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).***Patent Application Number(s)****Patent Number(s)**



**Correspondent Name and Address**

Country,

Area Code and Telephone Number **61-2-9818-6633**

Name **Kia Silverbrook**

Address (line 1) **Silverbrook Research Pty. Ltd.**

Address (line 2) **393 Darling Street**

Address (line 3) **Balmain, NSW 2041**

Address (line 4) **Australia**

**Pages**

Enter the total number of pages of the attached conveyance document including any attachments.

# **6**

**Application Number(s) or Patent Number(s)**

☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)


If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor.

Month Day Year  
**12 30 2002**

**Patent Cooperation Treaty (PCT)**

Enter PCT application number

only if a U.S. Application Number has not been assigned.

PCT  PCT  PCT   
PCT  PCT  PCT

**Number of Properties**

Enter the total number of properties involved.

# **3**

**Fee Amount**

Fee Amount for Properties Listed (37 CFR 3.41): \$ **120**

Method of Payment:  
Deposit Account

Enclosed ☒ Deposit Account ☐

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number:

#

Authorization to charge additional fees:

Yes ☐ No ☐

**Statement and Signature**

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.*

Kia Silverbrook, Paul Lapstun and Simon Robert Walmsley

Name of Person Signing

Signature

December 30, 2002

Date

**ASSIGNMENT OF APPLICATION**

Docket Number (Optional)

BAL39US

Whereas, I, KIA SILVERBROOK of 393 Darling Street  
Balmain, NSW 2041, Australia, hereafter  
referred to as applicant, have invented certain new and useful improvements in \_\_\_\_\_  
A Device That Reads Data Encoded As Dots

☐ for which an application for a United States Patent was filed on \_\_\_\_\_,  
Application Number \_\_\_\_\_ / \_\_\_\_\_.

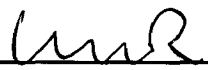
☒ for which an application for a United States Patent was executed on December 30, 2002, and

Whereas, SILVERBROOK RESEARCH PTY. LTD. of Balmain, New South Wales, Australia herein referred to  
"assignee" whose post office address is 393 Darling Street, Balmain, NSW 2041, Australia is de-  
sirous of acquiring the entire right, title and interest in the same;

Now, therefore, in consideration of the sum of one dollars (\$ 1.00 ), the receipt whereof is ac-  
knowledged, and other good and valuable consideration, I, the applicant, by these presents do sell, assign  
and transfer unto said assignee the full and exclusive right to the said invention in the United States/and the  
entire right, title and interest in and to any and all Patents which may be granted therefor in the United States/

I hereby authorize and request the Commissioner of Patents and Trademarks to issue said United States  
Patent to said assignee, of the entire right, title, and interest in and to the same, for his sole use and behoof;  
and for the use and behoof of his legal representatives, to the full end of the term for which said Patent may  
be granted, as fully and entirely as the same would have been held by me had this assignment and sale not  
been made.

Executed this 30TH day of DECEMBER, ~~1999~~ 2002,  
at Balmain, New South Wales, Australia.

  
(Signature)

State of \_\_\_\_\_) SS:

County of \_\_\_\_\_)

Before me personally appeared said \_\_\_\_\_  
and acknowledged the foregoing instrument to be his free act and deed this \_\_\_\_\_  
day of \_\_\_\_\_, 19\_\_\_\_.

Seal

\_\_\_\_\_  
(Notary Public)

Burden Hour Statement: This form is estimated to take 0.1 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner of Patents and Trademarks, Washington, DC 20231.

**ASSIGNMENT OF APPLICATION**

Docket Number (Optional)

BAL39US

Whereas, I, PAUL LAPSTUN of 393 Darling Street  
Balmain, NSW 2041, Australia, hereafter  
referred to as applicant, have invented certain new and useful improvements in \_\_\_\_\_  
A Device That Reads Data Encoded As Dots

☐ for which an application for a United States Patent was filed on \_\_\_\_\_,  
Application Number \_\_\_\_\_/\_\_\_\_\_.

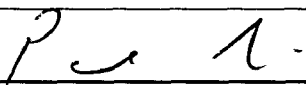
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sirous of acquiring the entire right, title and interest in the same;

Now, therefore, in consideration of the sum of one dollars (\$ 1.00), the receipt whereof is ac-  
knowledgeed, and other good and valuable consideration, I, the applicant, by these presents do sell, assign  
and transfer unto said assignee the full and exclusive right to the said invention in the United States and the and all countries in the world  
entire right, title and interest in and to any and all Patents which may be granted therefor in the United States;

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be granted, as fully and entirely as the same would have been held by me had this assignment and sale not  
been made.

Executed this 30TH day of DECEMBER, 2002,  
at Balmain, New South Wales, Australia

  
(Signature)

State of \_\_\_\_\_) SS:  
County of \_\_\_\_\_)

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Seal

\_\_\_\_\_  
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**ASSIGNMENT OF APPLICATION**

Docket Number (Optional)

BAL39US

Whereas, I, SIMON ROBERT WALMSLEY of 393 Darling Street  
Balmain, NSW 2041, Australia, hereafter  
referred to as applicant, have invented certain new and useful improvements in \_\_\_\_\_

A Device That Reads Data Encoded As Dots

☐ for which an application for a United States Patent was filed on \_\_\_\_\_,  
Application Number \_\_\_\_\_/\_\_\_\_\_.

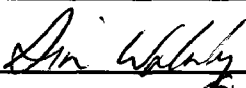
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and transfer unto said assignee the full and exclusive right to the said invention in the United States/and the  
entire right, title and interest in and to any and all Patents which may be granted therefor in the United States/

I hereby authorize and request the Commissioner of Patents and Trademarks to issue said United States  
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and for the use and behoof of his legal representatives, to the full end of the term for which said Patent may  
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