

08-22-2003

R SHEET

U.S. DEPARTMENT OF
COMMERCE
Patent and Trademark Office

CF/079B

To the Commissioner of Pa

102532188

original documents or copy thereof.

1. Name of conveying party(ies):

(1) Michael Sweeting,
(2) Howard W. Lutnick, and
(3) Lee Amaitis

8-13-03

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date(s): (1) 07/28/03, (2) 07/25/03, and (3) 07/30/03

2. Name and address of receiving party(ies)

Name: eSPEED, Inc.

Internal Address: _____

Street Address: 135 East 57th Street

City: New York State: NY ZIP: 10022

Additional name(s) & address(es) attached?
☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution dates of the application are: (1) 07/28/03, (2) 07/25/03, and (3) 07/30/03.

A. Patent Application No.(s):

10640829

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Hassan Albakri

Internal Address: Fish & Neave

Street Address: 1251 Avenue of the Americas

City: New York State: NY ZIP: 10020

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41).....\$ 40.00

☒ Enclosed
☐ Authorized to be charged to deposit account
☒ Charge excess/credit overpayment to deposit account

8. Deposit account number:

06-1075

(Attach duplicate copy of this page if paying by deposit account)

08/21/2003 GTON11 00000010 10640829

01 FC:8021 40.00 DP

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Hassan Albakri

Name of Person Signing

(Limited Recognition)

Signature

Date

Total number of pages including cover sheet, attachments, and document:

5

A S S I G N M E N T

Whereas we, Michael Sweeting, residing at and having a post office address at Innes House, 10 Ayling Lane, Aldershot, Hampshire, GU11 3LZ, England, Howard W. Lutnick, residing at and having a post office address at 180 East 64th Street, New York, New York 10021, and Lee Amaitis, residing at and having a post office address at 20 Port Chester Terrace, London, England, W2 3TL, United Kingdom, have made an invention in:

SYSTEMS AND METHODS FOR
BID/OFFER LIQUIDITY SPREAD TRADING

and are about to make application for United States Letters Patent therefor, the same being executed by us on even date herewith; and

WHEREAS, eSpeed, Inc., a corporation organized and existing under the laws of Delaware and having an office and place of business at 135 East 57th Street, 5th Floor, New York, New York 10022, is desirous of acquiring the entire interest in said invention, said United States patent application and in any Letters Patent which may issue thereon;

NOW, THEREFORE, be it known that for and in consideration of the sum of One Dollar (\$1.00) to each of us paid, and other good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, we do hereby sell, assign and transfer unto the said eSpeed, Inc., its successors, assigns and legal representatives, all right, title and interest in and to said invention and any improvements thereon for all countries of the world, and in and to said United States patent application, including any continuations and divisions thereof, and any substitute applications therefor, and any patents which may issue thereon, and any reissues of the same, and including the right to claim priority under the International Convention based on said

patent application; and all right, title and interest in and to every patent application filed or to be filed on said invention in any other country, including renewals, revivals, continuations and divisions thereof, and any substitute applications therefor, and any and all patents which may issue thereon, and any reissues and extensions of the same; and we hereby authorize and request competent authorities to grant and issue any and all patents on said invention to the said eSpeed, Inc., as the assignee of the entire interest therein; and we further agree to execute upon request of the assignee such additional documents, if any, as are necessary and proper to secure patent protection on said invention throughout all countries of the world, and to otherwise give full effect to and perfect the rights of the assignee under this Assignment.

IN TESTIMONY WHEREOF, we have hereunto signed our names and affixed our seals on the date(s) indicated hereinafter.

28th JULY 2003

Date



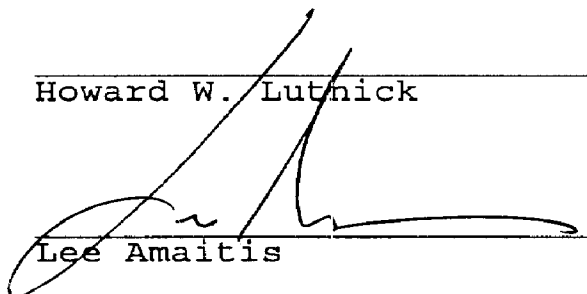
Michael Sweeting

Date

Howard W. Luthnick

30th JULY 2003

Date



Lee Amaitis

A S S I G N M E N T

Whereas we, Michael Sweeting, residing at and having a post office address at Innes House, 10 Ayling Lane, Aldershot, Hampshire, GU11 3LZ, England, Howard W. Lutnick, residing at and having a post office address at 180 East 64th Street, New York, New York 10021, and Lee Amaitis, residing at and having a post office address at 20 Port Chester Terrace, London, England, W2 3TL, United Kingdom, have made an invention in:

SYSTEMS AND METHODS FOR
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NOW, THEREFORE, be it known that for and in consideration of the sum of One Dollar (\$1.00) to each of us paid, and other good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, we do hereby sell, assign and transfer unto the said eSpeed, Inc., its successors, assigns and legal representatives, all right, title and interest in and to said invention and any improvements thereon for all countries of the world, and in and to said United States patent application, including any continuations and divisions thereof, and any substitute applications therefor, and any patents which may issue thereon, and any reissues of the same, and including the right to claim priority under the International Convention based on said

patent application; and all right, title and interest in and to every patent application filed or to be filed on said invention in any other country, including renewals, revivals, continuations and divisions thereof, and any substitute applications therefor, and any and all patents which may issue thereon, and any reissues and extensions of the same; and we hereby authorize and request competent authorities to grant and issue any and all patents on said invention to the said eSpeed, Inc., as the assignee of the entire interest therein; and we further agree to execute upon request of the assignee such additional documents, if any, as are necessary and proper to secure patent protection on said invention throughout all countries of the world, and to otherwise give full effect to and perfect the rights of the assignee under this Assignment.

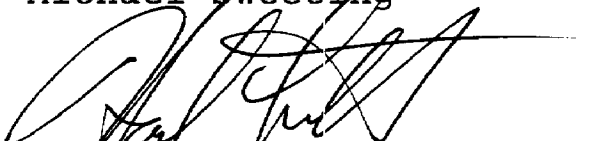
IN TESTIMONY WHEREOF, we have hereunto signed our names and affixed our seals on the date(s) indicated hereinafter.

Date

25 July, 2003

Date

Michael Sweeting



Howard W. Lutnick

Date

Lee Amaitis